

Equatorial Coronal Holes and Their Relation to the High-Speed Solar Wind Streams

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Abstract

The heating of the solar corona and the origin and acceleration of the solar wind are among the important unsolved problems of space plasma and solar physics. Coronal holes (CHs) have been known as the source of the fast solar wind. However, the plasma properties at the base of CHs have not yet been fully understood. The purpose of this thesis work is to study equatorial CHs and their relation to the origin and propagation of the high-speed solar wind streams by combining observations with both space-based (SOHO and WIND) and ground-based (NSO/KP) instruments. With the high spectral and spatial resolution of SUMER on SOHO, the morphology of equatorial CHs was investigated and compared with the quiet-Sun region by deducing 2-D images in ultraviolet emission line parameters (intensity, Doppler shift and width), which provide useful information about the plasma properties in different layers of the solar atmosphere. The relationship between line parameters and the underlying photospheric magnetic field was studied morphologically and statistically. Furthermore, a comparison of coronal and in situ (at 1 AU) observations was made to study the geometrical expansion factor of the solar wind stream tube. The main findings can be summarized as follows:

- The bases of equatorial CHs seen in chromospheric lines generally have similar properties as normal QS regions. An obvious difference has been found in the shape of the H I $L\beta$ line, which has very asymmetric profiles (skewed towards the blue side) in CHs. Loop-like fine structures are the most prominent features in the transition region.
- Apparent blue shifts are found in Dopplergrams deduced from transition region lines formed at a temperature below $5 \cdot 10^5$ K (although on average they are red shifted). Structures with bluer shifts usually have also broader line widths. They seem to represent plasma above large concentrations of unipolar magnetic field, without obvious bipolar photospheric magnetic features nearby.
- Blue shifts deduced from the Ne VIII ($T_e \approx 6.3 \cdot 10^5$ K) and Mg X ($T_e \approx 1.1 \cdot 10^6$ K) lines predominate in the CH region. Larger-scale outflow are mainly associated with the network where unipolar magnetic field dominates (open magnetic funnels). Red or less blue shifts in EUV bright points indicate that they are unlikely the main source of the fast solar wind.
- The Mg X line broadening shows a clear trend to increase with the increasing magnetic field strength. The spectroscopically obtainable quantity of $v\sqrt{I}$ (with $I \sim n_e^2$), which is used as a proxy for the coronal mass flux of the nascent fast solar wind, also reveals a clear positive correlation to the magnetic field strength.
- Expansion factors of the solar wind stream tube are determined consistently from two independent groups of parameters, relating to the conservation of the mass flux and magnetic flux, respectively.

The observational results concerning the source of the fast solar wind in CHs obtained in this work are expected to provide a clearer physical picture of the plasma conditions prevailing at the coronal hole base, and thus to be important constraints on theory.

Publications and contributions

Parts of the results of this thesis were taken from the following publications and conference contributions.

1. Publications

L. D. Xia and E. Marsch, Equatorial Coronal holes and Their Relation to the High-Speed Solar Wind Streams, in Conference Proceeding: “Solar Wind Ten”, American Institute of Physics (AIP), p.319-322, 2003.

L. D. Xia, E. Marsch and W. Curdt, On the Outflow in an Equatorial Coronal Hole, *A&A*, 399, L5-L9, 2003.

2. Conference contributions

L. D. Xia, E. Marsch, I. E. Dammasch and K. Wilhelm, SUMER Observations of Coronal Holes on the Disk, XXVI General Assembly of the European Geophysical Society, Nice, France, 25 - 30 March, 2001 (oral report).

L. D. Xia and E. Marsch, Equatorial Coronal Holes and Their Relation to Solar Wind High-Speed Streams, Solar Wind 10, Pisa, Italy, 17-21 June, 2002 (poster).

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List of Abbreviations

Spacecraft and instrument abbreviations

ACE	Advanced Composition Explorer
ATM	Apollo Telescope Mount
CDS	Coronal Diagnostic Spectrometer
CELIAS	Charge, ELe ment and Isotope Analysis System
EIT	Extreme-ultraviolet Imaging Telescope
ERNE	Energetic and Relativistic Nuclei and Electron experiment
GOLF	Global Oscillations at Low Frequencies
HCO	Harvard College Observatory
HRTS	High Resolution Telescope and Spectrograph
IMP	Interplanetary Monitoring Platform
LASCO	Large Angle and Spectrometric COronagraph
LASP	Laboratory for Atmospheric and Space Physics
MCS	Multichannel Spectrometer
MDI/SOI	Solar Oscillations Investigation/Michelson Doppler Imager
MFI	Magnetic Field Investigation
NSO/KP	National Solar Observatory at Kitt Peak
OSO	Obiting Solar Observatory
SMM	Solar Maximum Mission
SOHO	Solar and Heliospheric Observatory
SUMER	Solar Untraviolet Measurements of Emitted Radiation
SWAN	Solar Wind ANisotropies
SWE	Solar Wind Experiment
SWICS	Solar Wind Ion Composition Spectrometer
SXT	Soft X-ray Telescope
TRACE	Transition Region And Coronal Explorer
UVCS	UltraViolet Coronagraph Spectrometer
UVS	Ultraviolet Spectrometer
UVSP	Ultraviolet Spectrometer and Polarimeter
VIRGO	Variability of solar IRradiance and Gravity Oscillations

Other abbreviations

AR	Active region
AU	Astronomical unit
BP	Bright point
CH	Coronal hole
DEM	Differential emission measure

DQE	Detection quantum efficiency
ECH	Equatorial coronal hole
EE	Explosive event
EUV	Extreme-ultraviolet
FOV	Field of view
FUV	Far-ultraviolet
GSE	Geocentric Solar Ecliptic
IMF	Interplanetary magnetic field
IPS	Interplanetary scintillation
KBr	Potassium Bromide
LOS	Line-of-sight
MCP	Microchannel Plates
MHD	Magnetohydrodynamics
PCH	Polar coronal hole
QS	Quiet Sun
RSC	Rear slit camera
UMR	Unipolar magnetic region
UTC	Coordinated universal time
UV	Ultraviolet
VUV	Vacuum-ultraviolet
XDL	Cross-delay-line

Chapter 1

Introduction

1.1 The Sun and solar wind

Although the Sun, our star, is an ordinary G2 main sequence star, it is the only star in the universe close enough to us to be studied in detail. The Sun is a huge gas ball with a radius of about 6.96×10^5 km, a mass of about 1.99×10^{33} kg, a surface temperature of about 5770 K and a luminosity of about 3.85×10^{26} W. It consists mainly of hydrogen (about 90%, by number) and helium (about 10%) and other minor components (about 0.1%). The huge radiation energy of the Sun originally comes from its center part ($0 \sim 0.25 R_{\odot}$), the Sun's core, where nuclear reactions consume hydrogen to form helium. This energy is transported outward through the radiative zone ($0.25 \sim 0.75 R_{\odot}$) by radiation (mostly gamma-rays and X-rays), and then through the outermost convection zone ($0.75 \sim 1 R_{\odot}$) by convective motions of fluid flows.

The Sun has no solid surface, however, the outer layer above the convection zone is defined as the solar atmosphere, which can be observed directly by optical means. According to its physical properties, we divide further the solar atmosphere into four layers, namely, the photosphere, the chromosphere, the transition region and the corona. The temperature and density profiles, which vary with height above the photosphere are shown in Figure 1.1.

The photosphere is a thin layer above the convection zone with a thickness of about 400 km. It is the transition layer between the interior and the outer atmosphere of the Sun, and the only layer of the Sun which can be seen by human eyes. The most solar radiation emitted into interplanetary space comes directly from this layer. Because of this, the gas becomes cooler and its temperature reaches a minimum of about 4500 K at the top of the photosphere. The main features that can be readily observed in this layer are the dark sunspots, bright faculae, and small granules. Additional patterns, which include supergranules, large-scale flows and oscillations, can be found by studying Doppler shifts of the photospheric material.

The chromosphere is the region above the photosphere where the temperature rises from 4500 to about 20,000 K. The chromosphere gets its name from its apparent reddish colour (H_{α} emission) during total solar eclipses. A variety of features can be observed in chromosphere. Among them, the chromospheric network associated with the boundaries of supergranular cells and jet-like spicules above the limb are the basic structures in the quiet Sun. Other features include bright plages around sunspots, dark filaments across the disk, and prominences above the limb.

The transition region is traditionally defined as the interface between the hot corona and much cooler chromosphere, where the temperature changes rapidly from about 20,000 K

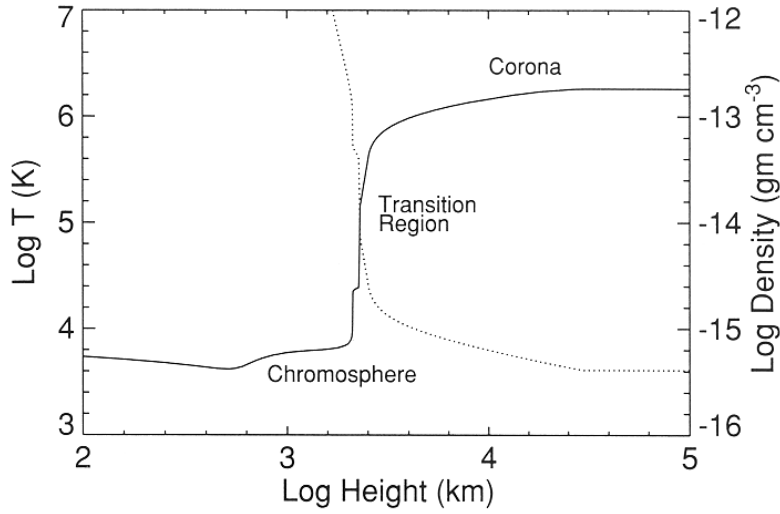


Figure 1.1: The average temperature and density profiles varying with height above the photosphere show that temperature and density change dramatically from the chromosphere to the coronal base. After Mariska (1992)

up to 1 MK (million Kelvin). A large number of UV/EUV lines emitted by the transition region can be observed. Detailed physical properties of this layer still remain unclear. Recent studies show that the transition region is dominated by fine loop-like structures.

The corona is the outer atmosphere of the Sun. It is visible only during total eclipses by human eyes. This coronal light contains different spectral contributions: K-corona contributed by photospheric light scattered off coronal electrons; F-corona resulting from the Fraunhofer lines diffracted by the dust in interplanetary space; E-corona originating by the atomic emission from the hot plasma. The corona is structured in various features including coronal holes, streamers, plumes, and loops. In the corona, the plasma has a high temperature in excess of 1 MK, and is highly diluted. The magnetic field extending from the chromospheric network becomes more homogeneous in this layer. The field lines channel the mechanical energy and the plasma flow. Consequently, the overall shape of the corona changes with the sunspot cycle, which is directly related to the change of the magnetic topology of the Sun (see upper panel in Figure 1.2).

The hot corona extends radially outward into interplanetary space. The flow quickly becomes supersonic within several solar radii to form the solar wind, which permeates then into the whole interplanetary space. At the same time, the solar magnetic field lines are dragged by the flow due to the high electrical conduction of the wind plasma, and the solar rotation is, at a sidereal period of about 25 days, leading to a spiral configuration of the magnetic field. The main component of the solar wind are protons and electrons with an admixture of a few percent of alpha particles and heavy, much less abundant ions in different ionization stages. The solar wind was theoretically predicted by Parker (1958) and confirmed by direct measurements in the early 1960's (Gringauz *et al.*, 1960; Snyder and Neugebauer, 1963; Neugebauer and Snyder, 1966). In Figure 1.2 (bottom panel),

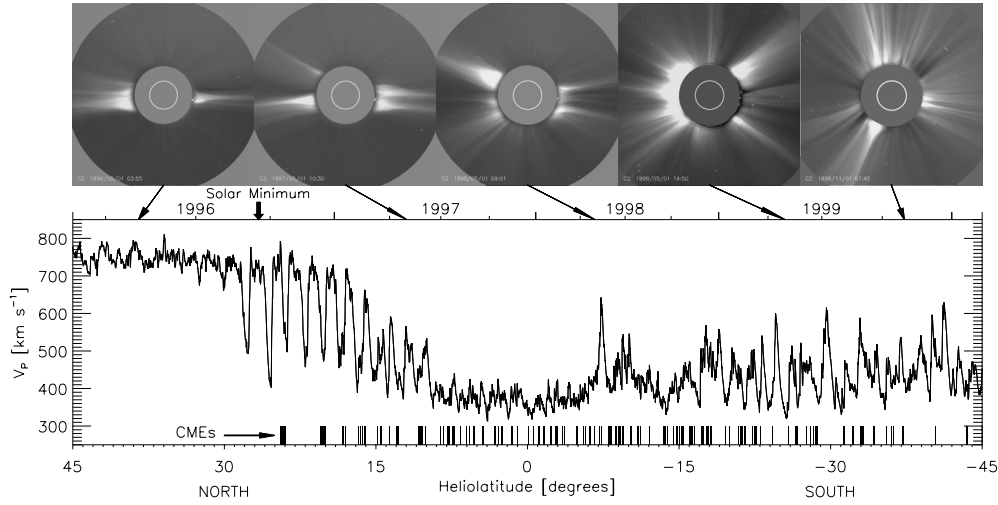


Figure 1.2: The shape of the solar corona changing in time as seen by the LASCO coronagraph on SOHO and the associated solar wind flow velocity versus heliographic latitude as measured in situ on Ulysses. Note that the Sun reached its past activity minimum in 1996 and maximum in 2000. After McComas et al. (2000)

the solar wind flow velocity is plotted versus heliographic latitude as measured in situ on Ulysses. Characterized by their different flow velocities, two basic modes of the solar wind, namely, the slow and fast wind, can be seen in this plot. The fast wind has average velocity of about 750 km s^{-1} , while the slow wind has a typical velocity less than 400 km s^{-1} .

The earliest observations of the solar atmosphere could be retrospectively to about 2000 years ago, when the ancient Chinese began to record their observations of sunspots and eclipses. However, modern optical observations of the Sun started at the beginning of 17th century, when Galileo used the telescope to observe sunspots and Newton found the prismatic spectrum of the visible sunlight. Since the beginning of 20th century, the spectral analysis has become a very important method to study the solar atmosphere. Moreover, earlier studies of the corona were usually made with the help of eclipses. Today, we can produce artificial eclipses in coronagraphs that cover the disk of the Sun in order to obtain the emission coming only from coronal ions. These coronagraphs produce images of the E-corona. Radiation in FUV/EUV and X-ray wavelengths originates in the solar atmosphere mainly above the photosphere. This allows us to view the upper solar atmosphere against the disk of the Sun. However, radiation in these wavelengths can only be observed above the absorbing Earth's atmosphere. A large number of instruments onboard spacecrafts and rockets have been developed, such as instruments on spacecrafts OSO, Skylab, SMM, Spacelab and recent Yohkoh, SOHO and TRACE. For the solar wind, various in situ observations have been carried out on spacecrafts such as Helios, IMP, WIND, Ulysses, ACE and SOHO.

1.2 Great puzzle: coronal heating and solar wind acceleration

One of the most surprising discoveries in solar physics was the high temperature of the corona. Early observations of the visible spectrum of the corona revealed bright emission lines at wavelengths that did not correspond to any known materials. This led astronomers to propose the existence of “coronium” as the principal gas in the corona. In 1939, the mystery was solved when Grotrian and Edlén showed that the green line was emitted by a species of highly ionized iron, Fe XIV. This led to the discovery of another mystery. Since Fe XIV is an iron atom that has lost 13 of its 26 orbital electrons, such an ion can only exist in a very hot gas with a temperature of about 2 MK. This means that the corona is hundreds of times hotter than the surface of the Sun. As usually, the corona should be cool rapidly due to the loss of its heat by radiation and the solar wind. It is no doubt that additional energy of some form has to be pumped up from the Sun’s surface, but what and how? There are many ideas to explain the extraordinary warmth of the Sun’s corona. They could be dissipation of waves and/or electrical currents, which are induced by convective motions in the photosphere and magnetic activities. On the other hand, it has been found since long time that the properties of the fast solar wind cannot be adequately described by models based on classical heat conduction if not extended coronal heating in some form is provided.

It is clear that the heating of the solar corona and the origin and acceleration of the solar wind are among the important unsolved problems of space plasma and solar physics.

1.3 Outline of the thesis

In this thesis, the general properties of equatorial coronal holes and their relation to the high-speed solar wind streams will be investigated, by combining the remote sensing observations of the Sun (with SUMER, EIT, MDI on board SOHO and NSO/KP) as well as in situ observations of the solar wind (with SWE and MFI on board WIND). We expect that such coordinated observations can give us a clearer physical picture of the plasma conditions prevailing at the coronal hole base.

The thesis is organized as follows: A review of “coronal holes and origin of the fast solar wind” is given in Chapter 2; In Chapter 3, the instrumentation and diagnostic principles are described in details; Then we illustrate observations and general methods of data analysis in Chapter 4; The morphology of equatorial coronal holes are discussed in Chapter 5; In Chapter 6, we present results obtained from statistical and quantitative analysis; Equatorial coronal holes and their relation to the high-speed solar wind streams observed at 1 AU are studied in Chapter 7; Then, in Chapter 8, it is followed by a discussion of the implications of our observational results to coronal heating and solar wind acceleration; Finally, a brief summary is given in the last chapter.

Chapter 2

Review: Coronal Holes and Origin of the Fast Solar Wind

2.1 Overall description of coronal holes

2.1.1 Introduction

Coronal holes are regions with significantly reduced emission in all spectral lines formed at coronal temperatures (at a lower temperature, coronal holes are also distinguishable if seen in the He I and He II emission), which can last over long period of time. Physically, they are known as regions of a low plasma density and a low electron temperature and of open magnetic fields. In the large-scale open fields, which are rooted on the Sun's surface and expand from there divergently outward into the interplanetary space, the plasma can be strongly accelerated, becomes supersonic, and forms the fast solar wind.

The earliest discovery of coronal holes outside the polar caps may be dated back to the 1950's. By analysing ground-based monochromatic images of the Fe XIV line intensity (5303 Å, green coronal line) observed in the 1940's, Waldmeier (1957, 1975) recognized that regions with very low emission could be identified on several consecutive rotations and called them 'Löcher', which mean holes in German. Since the 1960's, with the beginning of space-based observations, coronal holes have been studied intensively. In the

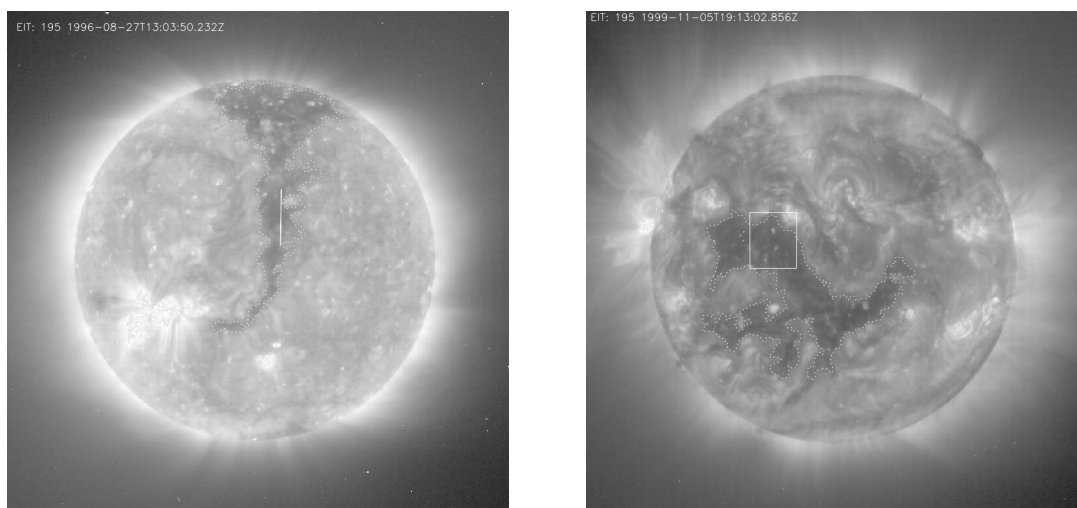


Figure 2.1: The EIT images show different types of the coronal hole. Left: north polar coronal hole and its equatorward extension resembling an “Elephant’s trunk” (1996); Right: isolated equatorial coronal hole (1999).

early 1970's, they were identified as the source of the fast solar wind by observational evidence (e.g., Krieger *et al.*, 1973) as well as by theoretical arguments (Noci, 1973).

As a general classification, coronal holes may roughly be grouped into two categories. First, during the solar minimum, two persistent large coronal holes of opposite magnetic polarity cover each pole of the Sun, which are named polar coronal holes (PCHs) and are associated with the polar fields. This was one of the earliest discoveries from Skylab (1973–1974) (Bohlin, 1977a). Second, smaller coronal holes are frequently present as isolated features at lower latitudes around the maximum of the solar cycle. If such holes occurred near the Sun's equator, we usually define them as equatorial coronal holes (ECHs). In addition, during the declining phase of the solar cycle, the polar coronal hole may occasionally extend from the polar cap to the equatorial region, which is an example shown in Figure 2.1. Here we classify such a hole as an equatorial coronal hole.

2.1.2 Coronal holes seen in various wavelengths

Coronal holes can be distinguished from other structures in a wide range of spectra, including the radio, near infrared, visible light, ultraviolet and X-ray wavelengths. This has been found by the time of Skylab (Bohlin, 1977b).

Since the early 1960's, many soft X-ray (2–60 Å) images have been obtained from instruments onboard rockets or space-crafts. The soft X-rays are formed at coronal temperatures of several million degrees, and thus can be observed directly against the cooler solar disk. In soft X-rays, coronal holes appear as dark regions with sharply reduced emission. The intensity, for example, observed by AS&E sounding rocket, was found to be lower by a factor of about 1/3 for the coronal hole than for the adjacent large-scale closed-loop structures (Krieger *et al.*, 1973). The boundaries of the holes defined by the soft X-rays are rather complicated. On the one hand, foreground coronal emission may frequently hide a coronal hole or give a systematic displacement of its boundaries from their true surface locations for a hole far from the disk center (Bohlin, 1977b). On the other hand, a magnetic interaction near the boundaries may result in a more diffuse boundary of the coronal hole, as was found by recent observations of the Yohkoh Soft X-ray Telescope (Kahler and Hudson, 2002).

Ultraviolet emission lines and continua have a wide range of formation temperatures from about 10^4 K to several MK, which are emitted from the chromosphere, transition region and corona of the solar atmosphere, respectively. Therefore, they can also be observed directly against the solar disk. Advantages of using FUV/EUV to probe the upper solar atmosphere are that they can be observed with detailed spatial and spectral resolutions, so that detailed spatial structures and line shapes can be analyzed. Like the X-rays, EUV lines formed at coronal temperatures generally have greatly depressed emissions in coronal holes. Seen in these lines, the network structure is nearly totally indistinguishable from the cell interiors. With the exception of He I, He II and their continua which behave also like coronal lines, other chromospheric lines show a less pronounced effect in the coronal

holes when compared with quiet regions (see, e.g., Huber *et al.*, 1974; Withbroe, 1977). Such behaviours of the coronal holes can be seen elsewhere in the EIT full-disk images.

The radio emission has been assumed to be produced by thermal bremsstrahlung in the quiet Sun and the coronal hole. Although radio observations have the disadvantage of a low angular resolution, and easily suffer from refraction and scattering by irregularities in the atmosphere, they can be used to probe the solar atmosphere spanning from the lower chromosphere to the outer corona by estimating the density and temperature (see, e.g., Papaianis and Baker, 1982). The first radio observations of coronal holes were reported by Dulk and Sheridan (1974). Seen around the metric wavelength, a pronounced decrease of the radio brightness could be found inside coronal holes compared with that in the quiet Sun. Spatially, there is a close relationship between the dark regions seen on radio maps and the coronal holes identified by EUV, X-ray and white-light observations (Dulk and Sheridan, 1974; Papaianis and Baker, 1982).

In visible wavelengths, the photospheric emission is too bright on the disk so that coronal emission is impossible to detect. However, corona holes above the limb can be observed as very dark features during eclipses or by coronagraphs and K-coronameters. Observations by coronagraphs at the Fe XIV line (5303 Å) led to the earliest attention of the coronal holes on the disk (Waldmeier, 1957). Above the limb, the result has been confirmed again by recent observations of LASCO C1 on SOHO (Schwenn *et al.*, 1997).

The well known absorption line formed by He I in a near infrared wavelength at 10830 Å shows an apparent signature in coronal holes (Harvey *et al.*, 1975). It has a greatly reduced absorption in coronal holes. This line is very useful since it can be observed from the ground.

2.1.3 The morphology of coronal holes

Occurrence, size and distribution

The polar coronal holes are persistent features during the solar minimum, shrink slowly with the increase of the solar activity, and are generally absent at the maximum phase (Broussard *et al.*, 1978). They can extend down to a latitude of 60° in each hemisphere (Wang *et al.*, 1996). During the Skylab mission (June 1973–January 1974), it was found that the polar holes occupied about 15% of the total area of the Sun (Bohlin, 1977a). Their area decreases with the increase of sunspot numbers (see, e.g., Broussard *et al.*, 1978; Dorotovic, 1996; Bravo and Stewart, 1997). However, the number of non-polar holes and their distribution on the solar disk changed significantly with the solar cycle (Broussard *et al.*, 1978; Insley *et al.*, 1995; Belenko, 2001; Sanchez-Ibarra, 1990). They are very few and are confined to a narrow band near the equator at the solar minimum. At the solar maximum, smaller non-polar holes dominate at mid-latitudes, but with a large spread in latitudes. Such holes have a distribution on the disk very similar to a butterfly diagram, if it is plotted versus the solar cycle. The area occupied by them was found to be from about 1% to 5% of the total area of the Sun during Skylab mission (Bohlin, 1977a).

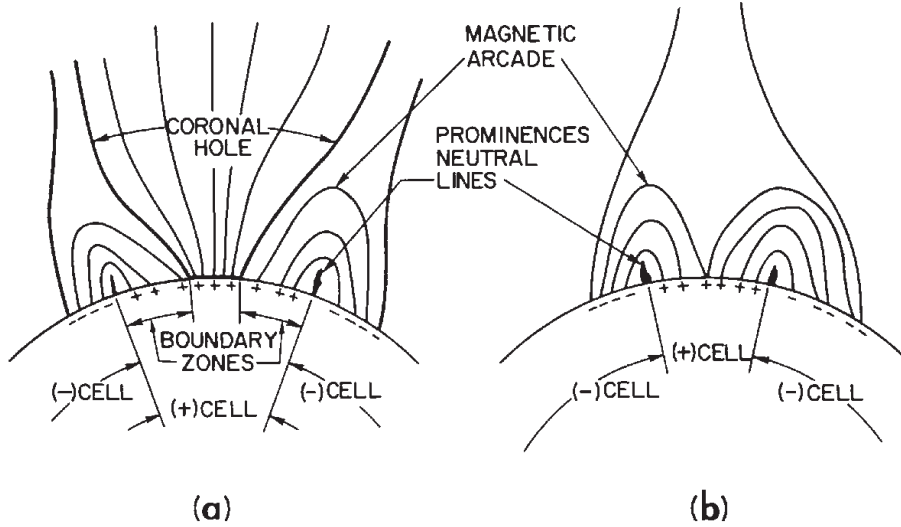


Figure 2.2: (a) Outline of the magnetic field configuration in which a coronal hole occurs; (b) A coronal hole may be absent if an unipolar magnetic region has a small size ($\leq 30^\circ$). After Bohlin and Sheeley (1978)

Formation and evolution

It has been found that the existence of the coronal holes is directly associated with the disk activity. An “unbalanced flux” model was suggested to be responsible for the formation of a coronal hole (Timothy *et al.*, 1975; Bohlin and Sheeley, 1978). The key model assumptions can be described as follows. Two or more bipolar magnetic regions (BMRs) are sufficiently close together to form a unipolar magnetic region (UMR), which can not be connected back to the Sun’s surface (the flux becomes unbalanced). A coronal hole then occurs if this unipolar magnetic region is large enough (see Figure 2.2a), while a coronal hole may be absent if the size of this UMR is insufficient (see Figure 2.2b). After the formation of a coronal hole, it can remain nearly unchanged in area between successive rotations. Very often holes are either growing or decaying at a typical rate of $1.5 \times 10^4 \text{ km}^2 \text{ s}^{-1}$ (Bohlin, 1977a). During Skylab, this rate was true for the polar holes as well as lower-latitude holes. The lifetimes of non-polar coronal holes were found to range from one solar rotation to more than 10 solar rotations, while the polar holes are permanent features during the solar minimum. However, the physical mechanisms for the formation and maintenance of coronal holes are not yet well understood.

Previous studies of the rotational characteristics found that holes, which extend from the poles to the equatorial region, tend to rotate nearly rigidly (see, e.g., Timothy *et al.*, 1975; Wagner, 1975). This has been confirmed later by many authors (see recent work, Kahler and Hudson, 2002). However, the isolated holes may exhibit significant differential rotation, but still rotate more rigidly than the photosphere (Insley *et al.*, 1995). Wang *et al.* (1996) suggested that the rigid rotation of the polar hole extensions is caused by the in-

teraction between the bipolar magnetic region (BMR) and the background dipole field. In their explanation, the coronal hole has dynamic boundaries, where field-line reconnections continually take place in the corona, thus the coronal field configuration rearranges itself instantaneously in response to the differential motion of the photosphere. This process keeps the hole rotating nearly rigidly. Moreover, it is worth noting that a rigidly rotating corona may also be a general feature for the normal solar corona. Recently, new observations by LASCO C1 coronagraph on SOHO have shown a general rigidly rotating Fe XIV (green coronal line) corona with a period of about 27.5 days at solar minimum, without significant deviation with latitude or with distance from the Sun (Stenborg *et al.*, 1999; Inhester *et al.*, 1999).

2.1.4 Underlying photospheric magnetic fields

The field strengths for holes have been measured by various authors (see, e.g., Levine, 1977; Bohlin and Sheeley, 1978; Harvey *et al.*, 1982; Belenko, 2001). Following the studies by them, the average net flux density (field strength) in a coronal hole is signed that means the hole is predominantly unipolar. For holes at low and middle latitudes, where the photospheric magnetic field can be measured reliably, average field strengths were found to vary with solar cycle, ranging from 3 to 36 G near the solar maximum and from 1 to 7 G near the minimum during 1975–1980 (Harvey *et al.*, 1982). The total magnetic flux for these holes was estimated to be about $2 - 5 \times 10^{21}$ Mx near the minimum and $10 - 15 \times 10^{21}$ Mx near the maximum. For polar coronal holes, measurements of the magnetic field are difficult due to the line-of-sight geometry. By indirect measurements through the number of faculae, the total flux in polar coronal holes was estimated to be about 10×10^{21} Mx (Sheeley, 1976). If this estimated value was accepted, Harvey *et al.* (1982) noted that the decrease of the magnetic flux in polar holes was roughly compensated by the increase of the flux in low-latitude holes during 1975–1980. On the other hand, the average magnetic field strength was previously estimated to range from “no more than a few Gauss” (Howard and LaBonte, 1981) to “6 G above 55° latitude” (Svalgaard *et al.*, 1978). Recently, interplanetary magnetic fields above the polar hole have been measured during the Ulysses mission, and the average field strength of the radial component was deduced to be about 3.1 nT at 1 AU (Forsyth *et al.*, 1996). Thus the magnetic strength at the base of these polar holes can be estimated to be about 10 G by magnetic flux conservation, if an expansion factor of 7 is assumed for these holes. This estimation is also consistent with the modelling work by Banaszkiewicz *et al.* (1998), who used a simple analytic model for the coronal magnetic field to describe solar minimum conditions.

While the magnetic field in a coronal hole is dominated by a single polarity, a significant amount of the magnetic flux with opposite polarity also exists. Levine (1977) suggested that this portion of the flux must be locally closed. Observations of the photospheric magnetic field suggested that the flux of this opposite polarity occupies about 10% in coronal holes (see Wilhelm, 2000, and references therein). However, this ratio may vary in different coronal holes. For example, a ratio of about 30% has been measured in the coronal

holes observed in 1996 (Belenko, 2001). According to the FUV observation, Wilhelm (2000) also argued that the expected ratio would likely be much higher than a value of 10%.

2.1.5 Fine structures in coronal holes

As viewed in a large scale, coronal holes are much more homogeneous when observed at coronal lines in ultraviolet and X-ray wavelengths in comparison to other regions of the Sun. However, if they are seen in chromospheric and transition region lines, the network is the most basic and obvious structure. Moreover, coronal holes appear highly structured at any time if they are observed with high spatial and temporal resolution. Such structures include polar plumes, bright points, spicules, macrospicules, explosive events and so on. The scales of these structures in coronal holes spatially range from 1 arcsec to several tens of arcsec, and temporally from 1 minute to several days. Because they may be very important to understand the basic processes of coronal heating and solar wind acceleration, numerous efforts have been made since the beginning of high-resolution observations. We give here a brief description of them.

Network

The chromospheric network is the most common feature in both coronal holes and quiet regions. It appears as white or dark patches seen in spectroheliograms observed in the chromosphere and low transition region. From photospheric magnetograms, the network is identified as regions with clusters of magnetic flux fibers that are suggested to have small sizes of less than $1''$ and field strengths of 1–2 kG (see, e.g., Zwaan, 1987, and references therein). Over a time scale of hours to a day, its location and magnetic flux are relatively steady, although the shape of some clusters changes apparently. The network has been found to correspond to the boundaries of supergranulation cells that have a typical diameter of about 3×10^5 km. The width of the network is about $10''$ (Reeves, 1976; Patsourakos *et al.*, 1999) in the chromosphere and transition region. The area occupied by the network is estimated to be 10% in the upper photosphere. For the transition region, it was found that the network occupies 40%–50% of the area and contributes about 70% of the total emission (Reeves, 1976; Gallagher *et al.*, 1998).

In the network, the magnetic pressure dominates the thermal pressure, the magnetic fields are firstly constrained at the boundaries of supergranular cells, created by the convective motion of the photospheric material, but then expand quickly with height from the transition region into the lower corona and attain a funnel shape due to the changing equilibrium between the magnetic and thermal pressure in the gravitationally stratified solar atmosphere. Under the assumption of a static atmosphere, Gabriel (1976) constructed the first network model (see Figure 2.3). This model interprets the enhanced emission in the network as the main result of reduced temperature gradient there. Instead of Gabriel's

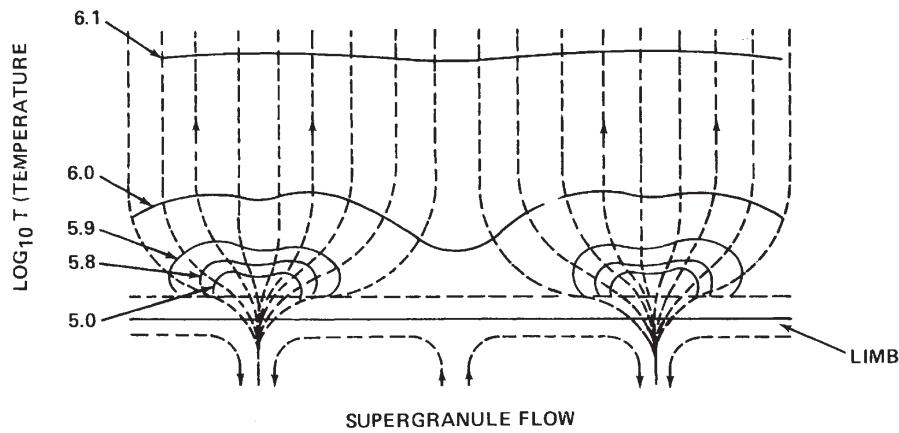


Figure 2.3: Gabriel's model: magnetic configuration and temperature contours. After Gabriel (1976)

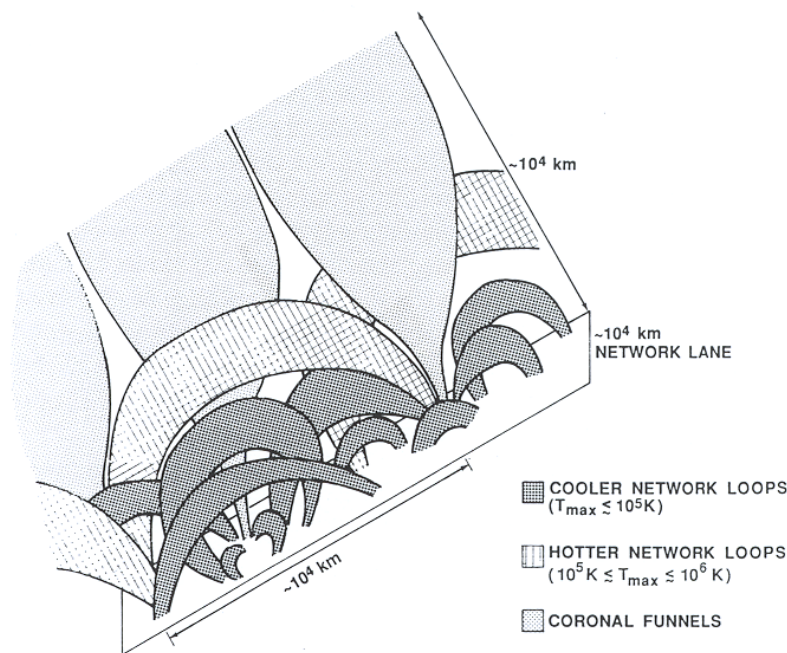


Figure 2.4: Dowdy's model: magnetic structures in the network lane. A 'magnetic junkyard' is collected into the network lanes by supergranulation flow. After Mariska (1992)

conduction driven model, a flow driven model can also attain a similar magnetic configuration (Pneuman and Kopp, 1978). Based on the fact that many fine-scale structures with mixed polarities are present in the photospheric magnetic network, Dowdy *et al.* (1986) suggested a modified model in which only a fraction of the network flux opens into the corona as a funnel shape. The rest of the network is occupied by a population of low-lying loops with lengths less than 10^4 km (see Figure 2.4).

In the coronal hole, the properties of the network are very similar to the quiet Sun, if observed below the middle transition region. Above the upper transition region, the network appears more hazy or nearly absent. The network has been suggested as the ultimate source of the solar wind by both theoretical ideas (Axford and McKenzie, 1992, 1997; Marsch and Tu, 1997) and observations (Hassler *et al.*, 1999). This will be discussed in Sections 2.4.2 and 2.5.2.

Bright points

Bright points (BPs) are the common events in both coronal holes and quiet Sun regions. They were first observed in soft X-ray images and called X-ray bright points (XBPs) (Vaiana *et al.*, 1970). Actually, they are visible at X-ray, EUV and radio wavelengths with enhanced coronal emission, and often associated with plumes in polar coronal holes. Bright points have a typical size of about $10'' - 40''$, often with a $5'' - 10''$ bright core; and a typical lifetime ranging from 2 to 48 hours (see, e.g., Golub *et al.*, 1976; Habbal *et al.*, 1990; Habbal, 1992). Bright points were found to appear on the magnetograms as bipolar features, except for very newly emerged or old and decayed ones. The total magnetic flux in a typical bright point living about 8 hours was estimated to be about 2×10^{19} Mx (Golub *et al.*, 1977). In the cooler transition-region lines, bright points are spatially coinciding with network boundaries, but they are not easily distinguishable from the rest part of the network, since they all have enhanced emission. Furthermore, the properties of bright points are very similar in both CH and QS regions (Esser and Habbal, 1997). Non-thermal velocities derived from the C IV line width were found to be only marginally larger inside the CH than in the QS region (Dere *et al.*, 1989b).

Plumes

Plumes are ray-like structures above the polar caps. They were first found in white light as polar rays (see, e.g., Saito, 1965; Koutchmy, 1977). Since the Skylab time, they were also investigated in EUV wavelengths (see, e.g., Bohlin *et al.*, 1975) and in soft X-rays (see, e.g., Ahmad and Webb, 1978). The typical plumes have a lifetime of several hours to days. At the base of plumes, bright points are observed in EUV, soft X-rays and radio emission. Plumes are estimated to occupy about 10% of the polar hole volume and reveal superradial expansion to 30 solar radius, if seen in white light (Deforest *et al.*, 2001). Various efforts have been made to measure the temperature and density of plumes at the base and above the limb (see, e.g., Ahmad and Withbroe, 1977; Walker *et al.*, 1993; Deforest *et al.*, 1997; Wilhelm *et al.*, 1998b; Young *et al.*, 1999). From these studies, plumes were identified as cooler and denser structures as compared to the surrounding atmosphere in coronal holes.

One question to be answered is what are the magnetic structures that plumes are associated with at the base. Some observations have shown that plumes are rooted in unipolar regions (see, e.g., Harvey, 1965; Newkirk and Harvey, 1968; Deforest *et al.*, 1997). Deforest *et al.* (1997) reported observations from MDI/SOHO and CDS/SOHO data and

showed that plumes are located over complex unipolar magnetic flux concentrations (with field strengths of about 100 G at the photosphere) on the boundaries of chromospheric network cells. However, many people suggested that plumes are associated with mix-polarity magnetic features at the footpoints (see, e.g., Ahmad and Withbroe, 1977; Habbal, 1992; Wang and Sheeley, 1995; Wang *et al.*, 1997; Wang, 1998), and formed by magnetic interaction between bipoles and background unipolar fields. Wang *et al.* (1997) argued that the previous conclusion by Deforest *et al.* (1997) may result from the loss of most of the minority flux. This loss is caused by a cutoff below $|B_{\text{los}}| \sim 20$ G on the line-of-sight polar magnetic fields in their study.

Another important question concerning plumes and interplume regions in polar coronal holes is what a role they play on the origin of the fast solar wind. While some authors suggested that plumes can provide nearly all the mass flux for the fast solar wind (see, e.g., Ahmad and Webb, 1978; Mullan and Ahmad, 1982; Walker *et al.*, 1993), others show that they can not be the main source, based on modelling calculations (Wang, 1994) and Doppler shift measurements (Hassler *et al.*, 1997; Wilhelm *et al.*, 1998b; Wilhelm, 1999; Wilhelm *et al.*, 2000).

Spicules

Spicules are traditionally seen on the limb in the H_α line. They are jet-like structures (with sharp boundaries that extend from the chromosphere upward into the corona) with a velocity of about 25 km s^{-1} . Their bases lie 1000 to 2000 km above the photosphere and their roots disconnect from the surface. After having reached their maximum of height, spicules are found either falling back into the chromosphere or fading out in chromospheric spectral lines. Typically, spicules have lengths ranging from 5000 to 10000 km, widths from several hundred to 2000 km and lifetimes from 1 to 10 minutes, respectively. They are estimated to cover 1–2% of the Sun’s surface. The temperature of spicules is inferred to be around 10^4 K, similar to that of the upper chromosphere. Their typical density is measured to be approximately 10^{11} cm^{-3} .

On the solar disk, spicules are not yet well identified perhaps due to being either too small or too transparent (Kneer, 1992). However, many authors identify them as dark and bright mottles because most of their physical parameters are very similar to those of spicules, except for the relatively lower velocity in mottles (Grossmann-Doerth and Schmidt, 1992; Tsiropoula *et al.*, 1993). They appear to cluster together as “bushes” (near the limb) or “rosettes” (on the disk) around the supergranular cell boundaries, and occur rarely in active regions where the magnetic fields are much stronger. Spicules are reported to be slightly taller and oriented more nearly vertical in the polar coronal holes, and somewhat more numerous in polar latitudes than in low latitudes. However, the exact physical conditions of spicules are not yet well defined from observations because of their small sizes.

Spicules can also be observed above the limb in EUV lines. According to the SUMER observations (Budnik *et al.*, 1998; Wilhelm *et al.*, 2000; Wilhelm, 2000), EUV spicules

have a width of $10'' - 20''$ when seen in transition region lines, and disappear at a temperature above 0.5 MK. Spicules and macrospicules have also different orientations observed in CH regions (see the figures in Wilhelm, 2000; Wilhelm *et al.*, 2000). The connection between the H_α and EUV spicules has not yet established. However, it is suggested that the EUV spicules are very likely a hot sheath of the cooler H_α spicules (Sterling, 2000).

The detailed properties of spicules have been reviewed by many authors (see, e.g., Beckers, 1968, 1972; Sterling, 2000; Wilhelm, 2000, and references therein). It is believed that spicules originate from the magnetic network. Some mechanisms for generating spicules have been suggested, for example, convective instabilities, magnetic reconnections, shock waves and Alfvén waves. The mass and energy flow carried by spicules may play a very important role on the overall mass and energy balance of the chromosphere and corona (Withbroe and Noyes, 1977). Averaged over the Sun's surface, spicules carry an upward mass flux of about $4 \times 10^{-9} \text{ g cm}^{-2} \text{ s}^{-1}$ into the corona, if 1% areal coverage of spicules is considered. Compared with that, the mass flux taken away by the solar wind is about $3 \times 10^{-11} \text{ g cm}^{-2} \text{ s}^{-1}$. Because the portion taken away by the solar wind is only 1%, most of the spicular material must fall back into the chromosphere. It has been suggested that this falling material may likely be responsible for the systematic redshifts measured in transition region lines. This idea is consistent with the fact that the globally averaged downward hydrogen flux inferred from UV observations is comparable to the upward hydrogen flux in spicules (Withbroe, 1983).

Explosive events

Explosive events were first observed by NRL/HRTS instrument (Brueckner and Bartoe, 1983). They occur very frequently (a global birthrate of 600 events per second), with a small spatial scale of 1500 km, a short lifetime of 60 s and a mean energy of 10^{24} ergs per event. They can be seen in spectral lines with a formation temperature ranging from $2 \times 10^4 - 5 \times 10^5$ K, with line profiles shown as highly broadened and/or shifted in both red and blue wings (non-Gaussian shape) (Brueckner and Bartoe, 1983; Dere *et al.*, 1984, 1989a; Innes *et al.*, 1997a). Explosive events tend to occur throughout the quiet-Sun network, where mixed-polarity magnetic features are present (Chae *et al.*, 1998b). Dere *et al.* (1991) suggested that explosive events are formed by fast magnetic reconnection. Observations with SUMER showed that explosive events are not visible in emission lines of Si II, C I and O I, which are formed at a low temperature in the chromosphere. Moreover, explosive events produce apparently bi-directional flows with high velocities. This imply that they are indeed associated with magnetic reconnection (Innes *et al.*, 1997a,b). Recently, Winebarger *et al.* (2002) analyzed the energetics of explosive events observed by SUMER and found that these events globally release about $4 \times 10^4 \text{ ergs cm}^{-2} \text{ s}^{-1}$ of energy flux, which indicates that they may not be significant for heating the solar atmosphere directly. However, their power-law spectral index for the energy may imply that there are currently undetectable events with a lower energy of about 10^{22} ergs, which would release enough energy to heat the solar atmosphere.

2.2 FUV/EUV observations of coronal holes

Since the beginning of the 1970's, numerous observations with high spatial and spectral resolution in FUV/EUV have been carried out onboard spacecrafts and rockets. This has provided us with powerful means to probe the solar atmosphere. FUV/EUV spectral analysis can be used to derive plasma parameters, such as the electron density, temperature, bulk and non-thermal velocity. These parameters are very crucial for a good understanding of the detailed physical processes occurring in coronal holes, as well as for the modelling work. In the following some results from previous studies, in particular, recent results during SOHO mission, will be reviewed. The diagnostic methods will be discussed in Chapter 3.

2.2.1 Observations of FUV/EUV radiance

During the Skylab mission, Huber *et al.* (1974) analyzed the spectroheliograms obtained by Apollo Telescope Mount (ATM) near the center of the solar disk. The lines analyzed by them have formation temperatures ranging from 10^4 K to 1 MK. Although the hole boundary was obvious only in Mg X, Ne VIII and Ne VII lines, a more thorough study of these spectroheliograms showed that all lines had weakened network boundaries within the coronal hole. Their data showed further that intensities of various lines were more uniform and weaker inside the hole than outside, depending on the formation temperatures. This trend is consistent with previous observations with the Harvard College Observatory (HCO) on OSO-4 (Munro and Withbroe, 1972). The intensity decrease of Mg X (625 Å) is found to be a factor of about 5, and the network structure seen in this line appears totally absent. Lines formed in the chromosphere and transition region have an intensity reduction of about 15–30% for the cell area, and 30–35% for the network. However, using the same instrument, Reeves (1976) found that intensities of the transition region lines have no obvious difference in the cell interiors between holes and quiet regions. Furthermore, measurements of the emission of various ions above a polar coronal hole showed an increase of the limb height in the holes, which gave a quantitative indication of the increased thickness of the transition region underlying coronal holes (Huber *et al.*, 1974). This effect is particularly apparent for the Ne VII line (465 Å), confirming the previous report by Tousey *et al.* (1973). These results led to the conclusion that the thickness of the transition region increases by a factor of about 5–10 (Munro and Withbroe, 1972; Huber *et al.*, 1974). On the other hand, Doschek *et al.* (1975) argued that the apparent increase of the limb height may have been contributed by inhomogeneities (e.g., spicules).

During the SOHO mission, SUMER, CDS and EIT have been used to observe both polar holes and equatorial holes on the disk and/or above the limb. Coronal holes usually appear as dark features in the EIT full-disk images obtained in its coronal channels. The EIT full-disk images are very useful for tracing the coronal holes, and can also be used to study the behaviours of the holes at a high temperature above 1 MK.

In polar coronal holes, Stucki *et al.* (2000b, 2002) analyzed a large number of lines observed by SUMER and CDS. They found that the variations of average intensities between holes and quiet regions are generally in agreement with a previous study by Huber *et al.* (1974), except for the Ne VII line observed by CDS, which seems to be unaffected by the hole. Del Zanna and Bromage (1999) studied the “Elephant’s Trunk” coronal hole occurring in 1996 using data obtained by CDS. Again, their data showed that the network seen in coronal lines is absent in the hole. Lemaire *et al.* (1999) reported a small coronal hole near the equator, observed by SUMER in several transition region lines in 1997. These lines have formation temperatures in the range of $1 \times 10^5 \text{K}$ to $6.3 \times 10^5 \text{K}$ (N III, N IV, S V, O IV, O V and Ne VIII). They found that the average intensity of transition region lines is lower by 30%–40% in the coronal hole than in the quiet regions. Wilhelm *et al.* (2002) have analyzed several equatorial coronal holes observed by SUMER in 1999. They found that the characteristics of equatorial coronal holes are very similar to those of polar coronal holes observed during the sunspot minimum. The radiance ratios decrease with the formation temperature from $L(\text{CH})/L(\text{QS})=1$ in the continuum to about 0.3 for Mg IX. All coronal holes studied by them show no difference for the brightness and the structure of the chromospheric network seen in the continua and the Si II and O II lines.

A detailed morphological study of both coronal holes and quiet Sun has been recently carried out by Feldman *et al.* (2000b). Some of their results may be summarized as follows: For the lines at temperatures between $2 \times 10^4 - 6 \times 10^5 \text{K}$, most of the emission comes from elongated loop-like structures. These structures have typical visible lengths of $10'' - 20''$, widths of $2''$ at most and lifetimes of 5–10 min. Among them, the brightest structures are rooted along the network boundaries with orientations mainly perpendicular to the boundaries, and appear very similar at largely different temperatures. In images taken in these lines (except for He I and He II), coronal holes are almost indistinguishable from quiet Sun regions.

2.2.2 Underlying chromosphere and transition region

From the discussions above, we may summarize that the morphological properties of the chromosphere and transition region in coronal holes are quite similar to the ones of the normal quiet Sun, although the transition region lines exhibit some decrease in their intensities. For the transition region, the main difference between the quiet Sun and the coronal hole may be that coronal hole has a lower density, by a factor of about 2, and a higher limb extension than the quiet Sun (see, e.g., Munro and Withbroe, 1972; Huber *et al.*, 1974). As we have mentioned above, this led them to propose that the temperature gradients in the transition region are somewhat lower in coronal holes than the quiet Sun, and consequently, that the pressure and the conductive flux may be reduced in coronal holes.

For the chromosphere in coronal holes, it should be mentioned that some authors also found a pronounced enhancement of the brightness relative to the quiet Sun in various

chromospheric emission lines (Ly α , Ly β , Ca II and Mg II, which are formed at temperatures less than 20,000 K) (Gopalswamy *et al.*, 1999), as well as in microwaves at frequencies between 15 and 39 GHz (Bocchialini and Vial, 1996).

2.3 Plasma parameters deduced from observations

2.3.1 Plasma velocity inferred by Doppler shifts

Given a sufficient spectral resolution, Doppler shifts can well be measured by determining the line central position. In a statistical sense, Doppler shifts are caused by the bulk motions or wave motions of plasmas. Measurements of Doppler shifts are very important to understand the dynamical nature of the source regions of the fast solar wind - coronal holes. Since the 1970's, numerous authors have measured Doppler shifts in various lines. In particular, during the SOHO mission, measurements of Doppler shifts were widely studied in various regions of the Sun with the SUMER spectrometer. Because the spectra observed by SUMER contain many lines in a wide range of wavelengths and have a high spectral resolution, new interesting results have been found in both CH and QS regions.

Upper transition region and coronal lines ($T_e > 5 \times 10^5$ K)

During the Skylab mission, Doppler shifts of three coronal lines were measured in an equatorial coronal hole¹ with a rocket-borne grazing-incidence stigmatic spectrograph covering the spectral region 200–700 Å (Cushman and Rense, 1976). They found that these lines are on average blueshifted relative to outside a CH, for Si XI (303 Å) about 13 km s⁻¹, for Mg X (610 Å) about 12 km s⁻¹, for Mg IX (368 Å) about 14 km s⁻¹. Rottman *et al.* (1982) measured the line Mg X (624 Å) with the rocket-borne EUV spectrometer LASP, and reported that the maximal measured shift corresponds to a velocity (relative to outside an ECH) of about 12 km s⁻¹ in the Mg X line. For the polar hole, the mean relative blueshift of this same line was about 8 km s⁻¹ (Orrall *et al.*, 1983).

During SOHO mission, the Ne VIII resonance line at 770 Å, because of its high brightness, was frequently used to study Doppler shifts in coronal holes. With the updated rest wavelength², it was found that this line is averagely blueshifted in coronal holes as well as in quiet and active regions, but the blueshift is larger in coronal holes (Hassler *et al.*,

¹Later, Cushman and Rense (1977) pointed out that this coronal hole was not a X-ray coronal hole at that time when they measured it, but it was indeed a region of open magnetic field and became a X-ray coronal hole (Skylab CH 4) on the next solar rotation.

²New rest wavelengths of the Mg X line at 624 Å, the Ne VIII line at 770 Å and the Fe XII line at 1240 Å were deduced by Peter and Judge (1999); Dammasch *et al.* (1999c,b), which seem to be the most accurate values obtained by using SUMER data. The new wavelengths suggested by them are 624.965 Å for Mg X, 770.428 Å for Ne VIII and 1241.990 Å for Fe XII, respectively. They differ from the old values 624.943 Å, 770.409 Å and 1240.00 Å by 0.019 Å, 0.022 Å and -0.01 Å, respectively.

1999; Peter and Judge, 1999; Dammasch *et al.*, 1999c; Wilhelm *et al.*, 2000; Teriaca *et al.*, 1999). The radial outflow velocity, derived from the line-of-sight Doppler shift, ranged from 5 to 12 km s⁻¹ for polar coronal holes (Hassler *et al.*, 1999; Dammasch *et al.*, 1999c; Wilhelm *et al.*, 2000). It should be mentioned that in these measurements an absolute wavelength calibration has been made, by using either cold lines as reference lines or assuming zero shifts above the solar limb.

In equatorial coronal holes, Wilhelm *et al.* (2002) reported outflow velocities of about 5 km s⁻¹ for the Ne VIII line and 15 km s⁻¹ for the Mg IX line (706 Å) with respect to quiet regions.

Transition region lines ($T_e < 5 \times 10^5$ K)

In the quiet Sun, one of the most interesting discoveries is that the emission lines of the transition region are systematically redshifted (see, e.g., Brekke *et al.*, 1997, and references therein). In Figure 2.5, all measured transition lines, with a formation temperature below 5.5×10^5 K, show apparent redshifts of about 2 to 12 km s⁻¹. For lines with a formation temperature above 5.5×10^5 K (e.g., Ne VIII, Mg X and Fe XII), the Doppler shifts measured during the SOHO mission are blue, which seems to be in contradiction to previous measurements. This difference is mainly due to the use of new rest wavelengths of these lines. In addition, the redshifts show apparently a temperature dependence, which have a peak velocity near $\log T=5.2$.

Interesting implications arise from these results. If the measured Doppler shift can be interpreted as a steady plasma flow, the persistent downflow would imply that plausible mechanisms should be given to interpret how this mass flux is injected/fed into the transition region. Several hypotheses have been proposed to explain this question: the return of spicular material (see, e.g., Pneuman and Kopp, 1978; Athay and Holzer, 1982; Athay, 1984; Cheng, 1992); siphon flows in coronal loops (Boris and Mariska, 1982; Mariska and Boris, 1983); asymmetric heating of coronal loops (McClymont and Craig, 1987; Mariska, 1988; McClymont, 1989; Spadaro *et al.*, 1991); radiatively cooling condensations (Reale *et al.*, 1996, 1997). On the other hand, some authors suggested that the persistent redshifts may be interpreted as effects of nanoflare-induced waves propagating through coronal loops (Hansteen, 1993; Wikstøl *et al.*, 1997). However, this mysterious problem has not yet been solved well. Detailed comments on advantages and disadvantages of every theory can be found in Brekke *et al.* (1997).

Similar measurements have not yet been studied systematically in coronal holes. Up to now, observations show that some transition region lines are on average also redshifted, but tend to have smaller velocities than in quiet regions (Dere *et al.*, 1989b; Doschek *et al.*, 1976). More data need to be analyzed to study their behaviours in coronal holes, however.

With a rocket-borne spectrograph, Rottman *et al.* (1981, 1982) analyzed the O V line (629 Å) in an ECH. They obtained an apparent average outflow of about 3 km s⁻¹ relative to the

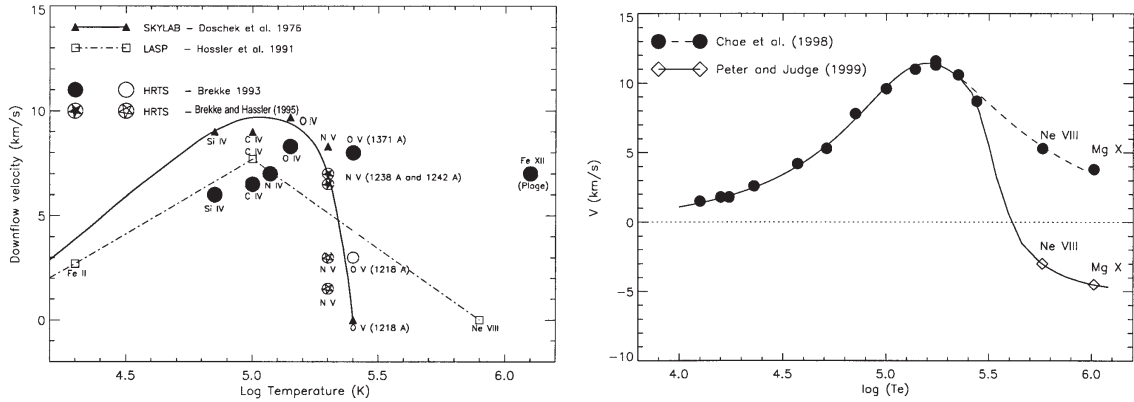


Figure 2.5: Observations of Doppler shift versus temperature in the quiet Sun. Left: measurements from pre-SOHO era; Right: measurements by SUMER during SOHO mission; After Brekke (1999)

quiet Sun, and the maximum shift corresponded to a velocity of about 7 km s^{-1} . During the sixth rocket flight of NRL/HRTS (High Resolution Telescope and Spectrograph), Dere *et al.* (1989b) observed a coronal hole on the solar disk. With a nearly absolute calibration of the wavelength determined by the chromospheric line Si I, they obtained an average Doppler shift of the C IV resonance lines (1548 Å and 1550 Å) that is a redshift of 2 km s^{-1} for the hole, and 10 km s^{-1} for the quiet region. Furthermore, they found that there is a larger proportion of outflowing plasma in the hole than in the quiet Sun. Inside the coronal hole 26% of the Doppler shifts are blueshifts, as compared with 7% in the quiet Sun. The average velocity in regions with blueshifts inside the hole is 5 km s^{-1} .

During the SOHO mission, observations with SUMER and CDS were used to study Doppler shifts of transition region lines in polar coronal holes (Warren *et al.*, 1997a; Peter and Judge, 1999; Stucki *et al.*, 2000a,b, 2002). Warren *et al.* (1997a) reported that the C II (1037 Å), N IV (765 Å) and O VI (1038 Å) lines observed by SUMER are predominantly redshifted in the coronal hole. For N IV and O VI, however, there are more blueshifted profiles in the hole than in the quiet Sun. This latter tendency was also confirmed by Stucki *et al.* (2000b, 2002), who analyzed 26 spectral lines observed by SUMER and 14 lines by CDS, and found that all lines formed above 10^5 K , as well as He I and He II lines formed below 10^5 K , are blueshifted inside the coronal hole compared to the normal quiet Sun. Moreover, this shift difference tends to increase with the temperature. Unfortunately, in the work by Stucki *et al.* (2000b, 2002), all Doppler shifts in the hole are compared to the quiet Sun, without absolute wavelength calibration.

In contrast to some authors (Dere *et al.*, 1989b; Warren *et al.*, 1997a), Peter and Judge (1999) and Peter (1999) reported that an evidence of a net outflow in polar holes was found in C IV and O VI lines. They suggested that this difference may result from the different filling factor of blueshifts between the ECH and PCH, because they found 67% of the area in PCH is filled by blueshifts.

Net blueshifts were also measured in polar holes with a radial outflow velocity of about

8 km s⁻¹ for the He I absorption line (10830 Å) (Dupree *et al.*, 1996), and with a line of sight velocity of about 3 km s⁻¹ for the He I emission line (584 Å) (Wilhelm *et al.*, 2000).

Doppler shifts related to line intensity and chromospheric network

As we have discussed in Section 2.1.5, there are various structures within the coronal hole. Such structures can be distinguished by emission brightness or magnetic flux. One important question to be answered is what a role they play in the origin of the fast solar wind. Studying the relationships between Doppler shifts and line intensities may be used to probe the nature of the source regions of the fast solar wind.

In a low-latitude coronal hole, the Solar Maximum Mission (SMM) satellite has been used to observe Dopplergrams of the C IV line (Mullan and Waldron, 1987). In contrast with the results observed in other regions on the Sun (Gebbie *et al.*, 1981; Athay *et al.*, 1983a,b; Peter, 1999), they found that scatter plots of C IV emission intensity versus velocity do not show a pronounced positive correlation. This has been confirmed also by Peter (1999).

In a polar coronal hole during the solar minimum, the relationship between the Doppler shift of the Ne VIII line (770 Å) and chromospheric network has been studied by Hassler *et al.* (1999). They found strong evidence that the largest outflow velocities are closely associated with the underlying chromospheric network, whereby they used the Si II (1533 Å) brightenings in the radiance image as an indication of the chromospheric magnetic network. An extended work, done by Stucki *et al.* (2000a), who did a statistical analysis, showed a positive correlation between the blue shift of the Ne VIII line and the intensity of the N IV line (765 Å) (used also as an indicator of the network) in the polar coronal hole, and thus corroborated the results of Hassler *et al.* (1999).

Another interesting observational result is that the largest blue shifts of the Ne VIII line coincide spatially with very dark regions in the intensity image of the coronal hole when seen in the same line (Wilhelm *et al.*, 2000). Bright points and polar plumes seen in Ne VIII do, however, not show signatures of outflow.

2.3.2 Non-thermal velocity

The non-thermal velocity is deduced from the measured Doppler width after subtraction of the portion contributed by instrumental broadening and thermal broadening (see Eq. 3.31 in Section 3.4.3). Previous measurements of the line width showed that spectral lines emitted by an optically thin plasma in the solar atmosphere are generally broadened in excess of their thermal width, under the assumption that they are formed in ionization equilibrium, and that the ion temperature equals to the electron temperature. It is suggested that this extra broadening can result either from the temperature of the ions being higher than that of electrons (non-thermal equilibrium) or from small-scale velocity fields induced by wave and turbulent motions.

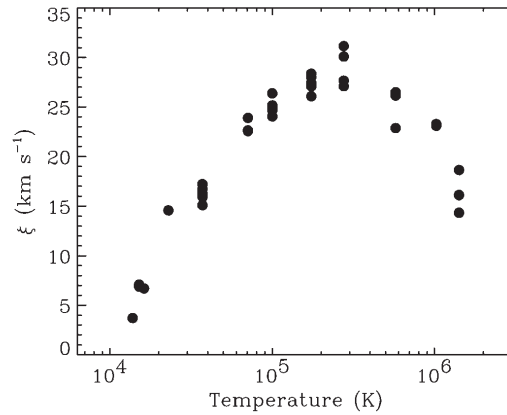


Figure 2.6: Observations of non-thermal velocity versus temperature in the quiet Sun. After Chae *et al.* (1998a)

In the quiet Sun, the non-thermal velocity was found to be about 5–10 km s^{−1} in the upper chromosphere and to increase further with the temperature (see, e.g., Mariska *et al.*, 1978). At a temperature of about 3×10^5 K, Warren *et al.* (1997b) measured an average non-thermal velocity of 34 km s^{−1} for O VI in the quiet Sun. In the upper transition region and corona, Mariska (1992) summarized previous observations and concluded that the non-thermal velocity must peak at some temperature in the upper transition region and then either remain roughly constant or begin to decrease in the corona. Moreover, using SUMER data, Chae *et al.* (1998a) found that line widths begin to decrease above some temperature at about 3×10^5 K (see Figure 2.6).

In the coronal hole, Dere *et al.* (1989b) deduced the line widths of C IV profiles from HRTS and showed that the non-thermal broadening is only marginally greater in the coronal hole than in the quiet Sun. The average most probable values are 24 km s^{−1} in the quiet Sun and 25 km s^{−1} in the coronal hole. Using SUMER data, similar results were also obtained by several authors (Lemaire *et al.*, 1999; Stucki *et al.*, 2000b; Wilhelm *et al.*, 2002). Wilhelm *et al.* (2002) found that the Mg IX line has a Doppler width of 151 mÅ ($v_{1/e} = 64$ km s^{−1}) in ECH compared to 143 mÅ ($v_{1/e} = 61$ km s^{−1}) in QS regions.

Above the limb in the polar coronal hole, SUMER observations (see, e.g., Marsch *et al.*, 1997; Wilhelm *et al.*, 1998b; Doschek *et al.*, 2001) showed that some lines have widths increasing with height, and confirmed the previous study by Hassler *et al.* (1990). However, some lines, for example, the Mg IX and Ne VII have no such tendency. In addition, the widths of the Si VIII, Ne VIII and Mg VIII line grow up to a certain height and then become roughly constant.

2.3.3 The density and temperature

The major results for densities and temperatures, which were inferred from a number of observations in or above coronal holes of the pre-SOHO era, have been summarized by

Habbal *et al.* (1993); Esser and Habbal (1997). From these studies, the derived electron density is about $3 \times 10^8 \text{ cm}^{-3}$ on the disk and about $2 \times 10^8 \text{ cm}^{-3}$ (with a wide range from $0.4 - 7 \times 10^8 \text{ cm}^{-3}$) just above the limb. The temperature in the coronal hole was concluded to be likely below than 10^6 K , lower than in surrounding quiet regions.

During the last solar minimum (August - September 1996), an equatorial coronal hole named “Elephant’s Trunk”, which extended from the north pole down to below the equator, was intensively studied by many authors. Del Zanna and Bromage (1999) analyzed spatially averaged spectra within the hole observed by CDS on SOHO and made use of line intensity ratios to obtain the density, temperature and element abundances inside and outside the coronal hole. It was shown that the coronal hole has coronal electron densities of about $2 - 3 \times 10^8 \text{ cm}^{-3}$, a factor of 2 lower than the quiet Sun areas near the hole. The underlying transition region has densities of about $1 \times 10^{10} \text{ cm}^{-3}$ in the network and higher values in the cell center, as being deduced from the O IV ratio. The temperature of peak emission is found to be at about $8 \times 10^5 \text{ K}$, compared to about $9.7 \times 10^5 \text{ K}$ in the quiet Sun.

In addition, the same Elephant’s Trunk coronal hole was also observed later in October by the CDS instrument and the Nancay Radioheliograph (France) (Drago *et al.*, 1999). Using the Differential Emission Measure (DEM) derived from EUV line intensities in the radio transfer equation and comparing the results with the observed radio brightness temperatures, Drago *et al.* (1999) estimated the coronal temperature and electron density at the hole base of about $T_c \leq 9 \times 10^5 \text{ K}$ and $N_e \simeq 3 \times 10^8 \text{ cm}^{-3}$. During the same period, Young and Esser (1999) deduced significantly lower electron densities in the north polar hole. Using the line ratio Mg VIII 315 Å/317 Å obtained by CDS, their studies yield upper limits of $2.3 \times 10^{-7} \text{ cm}^{-3}$ and $6.5 \times 10^{-7} \text{ cm}^{-3}$ from two coronal hole spectra, respectively. It should be mentioned that in the work of Young and Esser (1999) only dark regions in the hole were selected. This may be the reason why they got much lower densities.

Just above the limb of a polar coronal hole, Warren and Hassler (1999) measured electron densities using 5 line pairs (Si III, Ne VII, Mg VIII, Si VIII and Mg IX obtained with SUMER) with a formation temperature between 0.03 MK and 1 MK. The electron densities were estimated to range from $5.9 \times 10^8 \text{ cm}^{-3}$ to $1.7 \times 10^8 \text{ cm}^{-3}$. More detailed measurements above the limb will be discussed in Section 2.4.1.

2.4 Coronal holes and the fast solar wind

2.4.1 The fast solar wind

Properties of the fast solar wind at 1 AU

According to a summary by Marsch (1999), the solar wind can be generally grouped into three types: the steady fast wind originating on open field regions in coronal holes, the

unsteady slow wind coming probably from the temporarily open streamer belt and the transient wind caused by large coronal mass ejections.

The average properties at 1 AU of two basic modes of the solar wind, namely, the fast and slow wind, are summarized in Table 2.1 (Axford and McKenzie, 1997). Their detailed morphological and kinetic properties have been reviewed after the Helios mission by Marsch (1991) and Schwenn (1990). According to the in situ observations, some quantities are on average nearly constant for both modes of the solar wind, for example the proton flux and magnetic flux. For instance, Marsch and Richter (1984) found that the proton and magnetic flux ranged from $2 - 4 \times 10^8 \text{ cm}^{-2} \text{ s}^{-1}$ and $3.28 \pm 1.49 \text{ nT AU}^2$ respectively deduced from Helios data. The values are also confirmed by Ulysses observations over the poles. However, the slow wind generally shows highly variable properties, while the fast wind is quite stable and has very constant properties.

We emphasize some observational properties that are of great importance for determining the acceleration mechanism of the fast wind. First, the protons are found to be generally hotter than the electrons, and are anisotropic, with the perpendicular temperature larger than the parallel one. Second, heavy ions, which have nearly mass-proportional temperatures, are hotter than the protons, and are also faster than the protons by about the local Alfvén speed. Third, the electron distribution function shows halo/core structure. In

Table 2.1: Two modes of solar wind flow (after Axford and McKenzie (1997))

Property (1 AU)	Low Speed	High Speed
Speed (V)	$< 400 \text{ km s}^{-1}$	$700 - 900 \text{ km s}^{-1}$
Density (n)	$\sim 10 \text{ cm}^{-3}$	$\sim 3 \text{ cm}^{-3}$
Flux (nV)	$\sim 3 \times 10^8 \text{ cm}^{-2} \text{ s}^{-1}$	$\sim 2 \times 10^8 \text{ cm}^{-2} \text{ s}^{-1}$
Magnetic field (B_r)	$\sim 2.8 \text{ nT}$	$\sim 2.8 \text{ nT}$
Temperatures	$T_p \sim 4 \times 10^4 \text{ K}$ $T_e \sim 1.3 \times 10^5 \text{ K}$	$T_p \sim 2 \times 10^5 \text{ K}$ $T_e \sim 10^5 \text{ K}$
Coulomb collisions	important	negligible
Anisotropy	T_p isotropic	$T_p(\perp) > T_p(\parallel)$
Beams	none	fast ion beams + electron “strahl”
Structure	filamentary, highly variable	uniform, slow change
Composition	He/H $\sim 1 - 30\%$	He/H $\sim 5\%$
Waves	both directions	outwards propagating
Minor species	n_i/n_p variable $T_i \sim T_p$ $V_i \sim V_p$	$n_i/n_p \sim \text{constant}$ $T_i \sim AT_p$ $V_i \sim V_p + V_A$
Associated with	streamers, transiently open field	coronal holes
Sunspot minimum	$\pm 15^\circ$ from equator	$> 30^\circ$
Sunspot maximum	dominant at most latitudes	less frequent

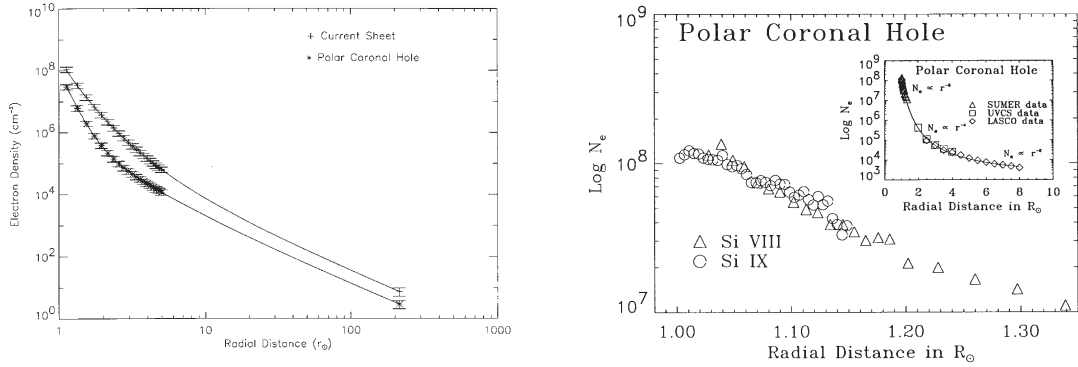


Figure 2.7: Density profile versus heliocentric distance. Left: measurements from Skylab heliograph and SWICS/Ulysses (Sittler and Guhathakurta, 1999); Right: measurements by CDS, SUMER and LASCO on SOHO (Doyle et al., 1999).

addition, Alfvén waves are the major micro-structures in the fast solar wind, with their propagating direction outward. This implies that their source lies on the Sun.

Properties of the fast solar wind near the Sun

The properties of the fast solar wind near the Sun deduced mainly from observations of CDS, UVCS and SUMER on SOHO have recently been reviewed by Marsch (1999) and Cranmer (2002).

Near the Sun, the electron density varying with the heliocentric distance has been constructed from remote-sensing combined with in-situ observations. Using the Si VIII line ratio (1445 Å/1440 Å) obtained by SUMER, Wilhelm *et al.* (1998b) deduced electron densities in interplume regions to be about $1 \times 10^8 \text{ cm}^{-3}$ at $1.02 R_{\odot}$ and drop to about $8 \times 10^6 \text{ cm}^{-3}$ at $1.3 R_{\odot}$. Their data also show that plume regions are denser than interplume regions. In Figure 2.7, two sets of density profiles are summarized by Doyle *et al.* (1999) measured with SUMER, CDS and LASCO (left panel) and by Sittler and Guhathakurta (1999) measured with Skylab and Ulysses (right panel), respectively. These two measurements are rather consistent with each other.

A summary of temperature and outflow-velocity measurements was recently given by Cranmer (2002), based on remote-sensing observations (SUMER, UVCS, CDS and Yohkoh), IPS observations and in situ observations (Helios, IMP, Ulysses and Voyager). In Figure 2.8, double sets of curves represent roughly the lower and upper values of each parameter.

From the SUMER and CDS observations, a quite surprising result is that the electrons are rather cold in coronal holes. The electron temperature (T_e) derived by Wilhelm *et al.* (1998b), who used the line pair Mg IX 749 Å/706 Å obtained by SUMER, was found to range from 0.75 MK to 0.88 MK at heights between $1.03 - 1.6 R_{\odot}$. Using the line pair O VI 173 Å/1032 Å obtained from CDS and SUMER, respectively, David *et al.* (1998)

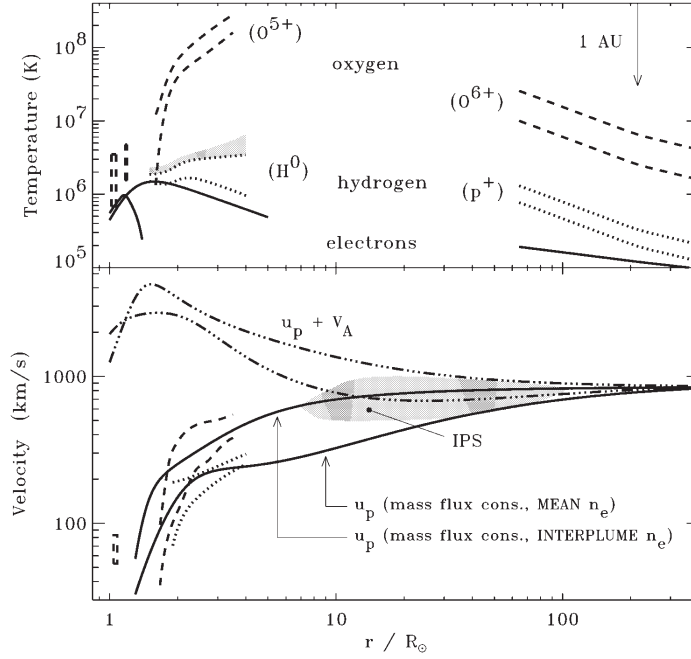


Figure 2.8: Properties of the fast solar wind near the Sun. Upper panel: Temperature profiles of electrons (solid), hydrogen (dotted) and oxygen (dashed); Bottom panel: Velocity profiles of protons (solid), hydrogen (dotted) and oxygen (dashed). After Cranmer (2002).

derived the electron temperature as a function of height ($1.0 - 1.33 R_{\odot}$) above the limb in a north polar hole (see the lower solid curve in the upper panel of Figure 2.8), as well as in a west equatorial streamer. Their data suggest that in the coronal hole T_e has a maximum of less than 1 MK at a height around $1.15 R_{\odot}$, then falls off again and has a value of about 0.5 MK at $1.3 R_{\odot}$. However, this trend is somewhat contrary to results deduced from X-ray observation with Yohkoh (Aschwanden and Acton, 2001) and indicated by in situ measurements of ion fractions in the fast solar wind with SWICS/Ulysses (Geiss *et al.*, 1995; Ko *et al.*, 1997), which suggest that the electron temperature can reach a maximum of more than 1.3 MK at $1.5 R_{\odot}$ (see discussion in Esser and Edgar, 2000; Cranmer, 2002; Marsch *et al.*, 2003).

SUMER measurements also showed evidence that the ions are much hotter than the electron even very near the Sun (below $1.1 R_{\odot}$). Their temperatures exhibit a slight trend to increase with increasing mass-per-charge number (Tu *et al.*, 1998, 1999).

At heliocentric distance between $1.5 - 4 R_{\odot}$, UVCS observations of spectral lines such as H I Ly α and O VI can be used to deduce an equivalent thermal velocity by analyzing Doppler widths, and the outflow velocity by the Doppler dimming technique. Above polar coronal holes, these studies indicate that ions are hotter than electrons, and that their temperatures are anisotropic. In particular, the minor ion O^{5+} has a very high perpendicular kinetic temperature in excess of 100 MK at $3 R_{\odot}$ and a strong temperature anisotropy with $T_{\perp}/T_{\parallel} \approx 10 - 100$ (Kohl *et al.*, 1997, 1998). Moreover, the O^{5+} and Mg^{9+} ions are

also found to be heated more than in mass proportion (Kohl *et al.*, 1999). On the other hand, the outflow velocity as deduced from O^{5+} is found to be larger than that from H^0 (Kohl *et al.*, 1998; Li *et al.*, 1998; Cranmer *et al.*, 1999). The higher outflow velocity and temperature of O^{5+} as compared to the protons indicate strong preferential heating and acceleration of this minor ion.

In equatorial coronal holes, the UVCS observations exhibited properties different from those in polar coronal holes, however. Outflow velocity and perpendicular thermal velocity observed in a large equatorial coronal hole in 1999 were found to be only 3 – 4 and 2 times lower at similar heights in comparison with those observed in polar holes during 1996 and 1997 (Miralles *et al.*, 2001b,a). However, in situ observations with the ACE spacecraft indicate that the fast solar wind associated with this hole has a velocity of $600 - 700 \text{ km s}^{-1}$, only about 15% less than that observed by Ulysses above the poles during the solar minimum.

2.4.2 Coronal holes: sources of the fast solar wind

The solar wind has been theoretically modelled and observed since more than four decades. However, its source regions were not known until the beginning of the 1970's. With the development of the space technology, detailed studies of the coronal holes became possible with X-rays and UV spectra. The direct connection of a recurrent, high-speed solar wind stream with a coronal hole was found by Krieger *et al.* (1973). This was in agreement with theoretical arguments by Pneuman and Kopp (1971) and Noci (1973), who studied the plasma-magnetic field interactions and the energy balance in the coronal hole, respectively. This association between coronal holes and high-speed solar wind streams was a little later confirmed further by a number of authors using increasingly extensive sets of observations (see, e.g., Neupert and Pizzo, 1974; Nolte *et al.*, 1976; Sheeley *et al.*, 1976). During the Skylab workshop, Hundhausen (1977) came to some basic conclusions attained by previous studies. First, large near-equatorial coronal holes can produce high-speed streams observed in the Earth's orbit, with the interplanetary magnetic polarity agreeing with the dominant polarity of the photospheric magnetic field underlying the hole. The observed velocities at 1 AU appear to be closely related with the size of the coronal holes (Nolte *et al.*, 1976). Second, some high-speed streams can be tracked back to regions on the Sun, without pronounced coronal holes near the equator observed in X-rays. Third, small coronal holes may not cause high-speed streams.

For polar coronal holes, direct in situ observation has been carried out by Ulysses, which is the first spacecraft flying over the Sun's poles (see, e.g., Geiss *et al.*, 1995; Woch *et al.*, 1997; McComas *et al.*, 2000). The solar wind speed varying with heliographic latitude is shown in Figure 2.9. Fast solar wind with speeds of about 750 km s^{-1} emanating from the polar coronal holes were found to be dominant during the sunspot minimum. During the solar maximum around the year 2000, the solar wind speed above the south pole was reduced to be about 400 km s^{-1} , indicating the absence of the polar coronal hole. All these results directly demonstrate that the fast solar wind comes from the coronal hole.

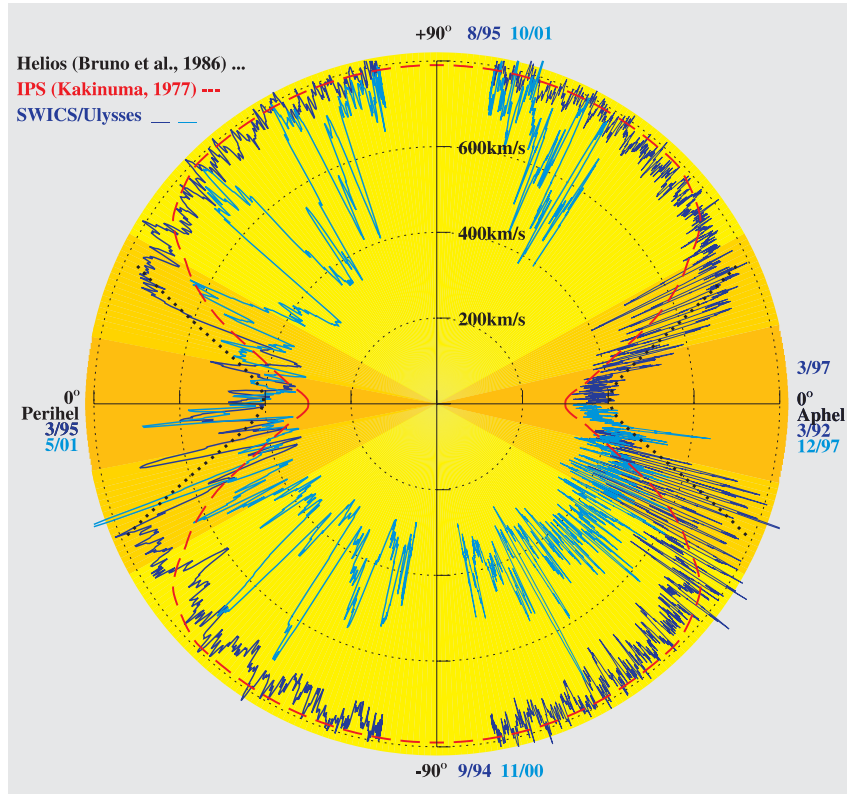


Figure 2.9: SWICS/Ulysses observations: This polar diagram shows that the 1-day average of the proton speed varies with heliographic latitude. The plot comprises SWICS data from March 1992 to October 2001. The speed profiles are also compared with fits to Helios and IPS observations. The highest latitude reached by Ulysses is 80° . After Woch et al. (1997), note that the plot with new data obtained from December 1997 to October 2001 was added later by the authors.

At the base of the coronal hole, a detailed picture concerning the origin of the fast solar wind was first obtained by SUMER observations in the polar coronal hole. The Dopplergram deduced from the line profile of Ne VIII showed strong evidence that the wind originates in the chromospheric network (Hassler *et al.*, 1999). This is consistent with theoretical ideas that the solar wind is initially accelerated in the coronal funnels by dissipation of high-frequency waves, which we will discuss in the next section.

2.5 Heating and acceleration mechanisms in coronal holes

2.5.1 The mass and energy balance

No doubt, the ultimate source of the solar wind lies in the lower layers of the solar atmosphere. Above the photosphere, the outer solar atmosphere consists of the chromosphere

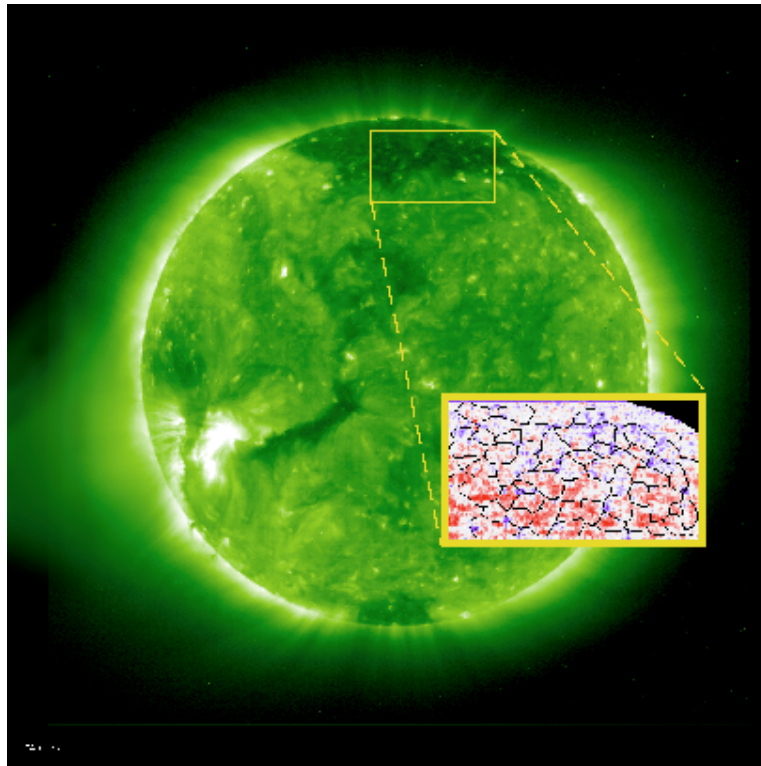


Figure 2.10: Source of the fast solar wind: EIT image at $195 \text{ \AA}$ shows the corona at solar minimum. A portion of the polar coronal hole insert is shown as a Dopplergram of the Ne VIII line scanned by SUMER. The blue shifts from the chromospheric network are interpreted as outflow of the nascent fast solar wind (Hassler et al., 1999).

and corona, being separated by a very thin transition region. The mass and energy balance in these regions is particularly important to understand the origin of the solar wind. Substantial progress on this issue has been made, based on the measurements by various experiments in FUV/EUV and X-ray wavelengths.

Considering the energy balance from the chromosphere to the inner corona, one concludes that the primary mechanisms responsible for energy loss include radiation, thermal conduction and mass loss in form of the solar wind. Meanwhile, the primary mechanisms responsible for energy deposition are considered in the form of dissipation of waves and magnetic energy, thermal conduction and mass flow. In different layers, these mechanisms may have different effects on the energy balance. Withbroe and Noyes (1977) summarized the various energy losses (given in Table 2.2) for the chromosphere and corona of the quiet Sun, coronal hole and active region, respectively. This summary was based on empirical modelling studies by many authors (see Withbroe and Noyes, 1977, and references therein). Furthermore, the total energy required to heat the corona was suggested to be the same for both coronal holes and the quiet Sun by considering uncertainties of estimates. By using data from remote sensing and in situ observations as constraints, the empirical model indicates that the total non-radiative energy input is about $5 \times 10^5 \text{ erg}$

Table 2.2: Chromospheric and coronal energy losses (after Withbroe (1977))

Parameter	QS	CH	AR
Transition layer pressure (dyn cm^{-2})	2×10^{-1}	7×10^{-2}	2
Coronal temperature (K, at $\sim 1.1 R_{\odot}$)	$1.1 \sim 1.6 \times 10^6$	10^6	2.5×10^6
Coronal energy losses ($\text{erg cm}^{-2} \text{ s}^{-1}$)			
Conduction flux F_c	2×10^5	6×10^4	$10^5 \sim 10^7$
Radiative flux F_r	10^5	10^4	5×10^6
Solar wind flux F_w	$\leq 5 \times 10^4$	7×10^5	$(< 10^5)$
Total corona loss $F_c + F_r + F_w$	3×10^5	8×10^5	10^7
Chromospheric radiative losses ($\text{erg cm}^{-2} \text{ s}^{-1}$)			
Low chromosphere	2×10^6	2×10^6	$\geq 10^7$
Middle chromosphere	2×10^6	2×10^6	10^7
Upper chromosphere	3×10^5	3×10^5	2×10^6
Total chromospheric loss	4×10^6	4×10^6	2×10^7
Solar wind mass loss ($\text{g cm}^{-2} \text{ s}^{-1}$)	$\leq 2 \times 10^{-11}$	2×10^{-10}	$(< 4 \times 10^{-11})$

$\text{cm}^{-2} \text{ s}^{-1}$ and its major portion appears to be dissipated within $1 - 2 R_{\odot}$ above the Sun's surface (Withbroe, 1988).

According to these studies, because the measured emission in the coronal hole is very similar to the quiet Sun, the physical properties of the chromosphere in both regions are assumed the same. The chromosphere has relatively low temperatures. Here the radiation losses are predominant and balance the energy input by mechanical heating. In the lower corona below about $2 R_{\odot}$, electron collisions and high temperatures lead to high thermal conduction. This is particularly true for the quiet Sun, where the strong inward thermal conduction exceeds the combined outward losses by radiation and mass flux, and lead to the establishment of a sharp transition region. In the coronal hole, this inward conduction is reduced significantly, which may reduce the temperature gradient of the transition region. Therefore, here most of the heat source is required only to balance the large energy loss by the solar wind.

2.5.2 Heating and acceleration mechanisms

According to in situ observations of the solar wind in interplanetary space, it has been found since a long time that the properties of the fast solar wind cannot be adequately described by models based on classical heat conduction if not extended coronal heating in some form is added (Holzer and Axford, 1970). This extended energy, required to boost the thermal expansion velocity to $500 - 600 \text{ km s}^{-1}$ at distances beyond the sonic point, is estimated to be approximately $1 \times 10^5 \text{ erg cm}^{-2} \text{ s}^{-1}$ (see, Parker, 1991, and references therein). On the other hand, the energy flux that must be deposited for the compensation of the total loss of the corona is about $5 \times 10^5 \text{ erg cm}^{-2} \text{ s}^{-1}$, and its major portion appears

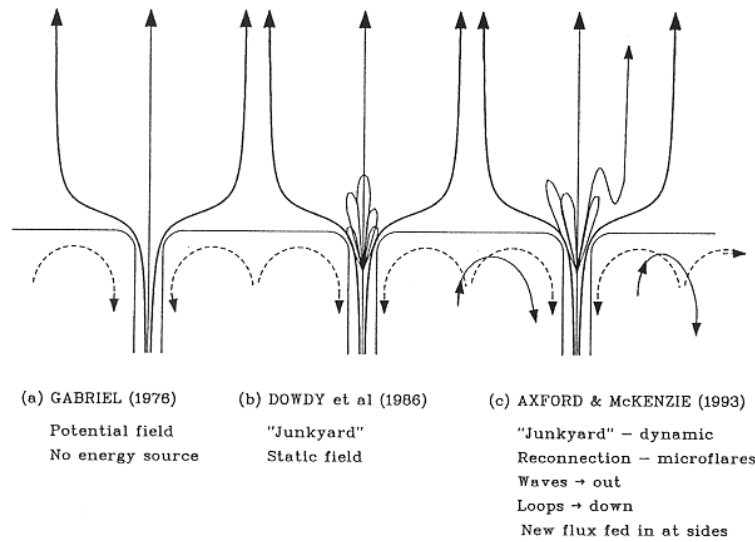


Figure 2.11: (a) Gabriel's model; (b) Dowdy's model; (c) Magnetic furnace: magnetic reconnection occurs continuously in the funnels, releasing energy and plasma into the open field lines and also creating loops with hot trapped plasma. The destroyed magnetic flux is continuously replenished by flux advection through the supergranulation flow. After Axford et al. (1999).

to be dissipated within $1 - 2 R_{\odot}$ above the Sun's surface, as discussed above (Withbroe, 1988).

The proposed physical processes responsible for heating the corona near the Sun can be basically grouped into two types: current dissipation and wave dissipation. Plasma may be heated by ohmic dissipation of the currents generated by magnetic reconnection. Plasma waves may dissipate via collisions, e.g., viscosity, thermal conduction and electrical resistivity, and/or via kinetic wave-particle interactions. Generally, acoustic waves and slow-mode MHD waves are easily damped before they reach the corona, while Alfvén waves and fast-mode MHD waves are considered to be the only modes that can survive in the corona. Unfortunately, low-frequency Alfvén waves are not considered as heating source of the corona near the Sun because of their large dissipation lengths. However, dissipation of high-frequency Alfvén waves is suggested to be a candidate for heating this part of the corona (see, e.g., Marsch, 1992; Axford and McKenzie, 1992, 1997). The key point of this model is that the high-frequency Alfvén waves can be strongly absorbed at the cyclotron frequency of ions in a rapidly declining magnetic field (frequency sweeping), leading to fast heating near the Sun. This cyclotron-resonant heating process was demonstrated to work well since very long time for the distant solar wind (Marsch *et al.*, 1982). Preferential heating and acceleration of the ions very near the Sun, which have been found by observations with UVCS and SUMER, show that this idea may also be applied to the corona.

Although the detailed processes concerned the form of energy dissipations are still un-

known, there is a general agreement that the ultimate heating source is the activity of the network magnetic fields (network flares) (see, e.g., Parker, 1991; Axford and McKenzie, 1992, 1997; Marsch *et al.*, 2003). It is suggested that these flares release energy (in the form of waves, shocks and energetic particles) via magnetic connection driven continually by photospheric magnetoconvection resulting from the granulation and supergranulation. Such a dynamic picture of the network is described in Figure 2.11, which was developed from the static models suggested by Gabriel (1976) and Dowdy *et al.* (1986). Different types of such flares have been named as “pico-flares”, “nano-flares” and “micro-flares”. They are essentially similar concepts but have different characteristic scales, with typical energies ranging from 10^{21} erg to 10^{26} erg, and sizes from about 10 – 100 km to 2000 km. The “pico-flare” with the lowest energy and smallest size, a concept recently suggested by Marsch *et al.* (2003), is expected to be able to produce waves with high frequencies of 1 – 10000 Hz, which are required for heating by the cyclotron-resonant damping mechanism.

2.5.3 Recent modelling studies of the coronal funnels

Various models have been developed to study the heating and acceleration of the plasma associated with coronal holes and unipolar magnetic fields. Basic equations of the fast solar wind generally comprise the conservation equations for mass, momentum, energy and magnetic flux. The boundary conditions are usually chosen in accordance with remote sensing or in situ observations. However, additional pressure term in the momentum equation and additional heating term in the energy equation, as well as the choice of the boundary conditions, are treated differently by various authors. A detailed discussion about the various models can be found in recent reviews (Marsch, 1999; Marsch *et al.*, 2003).

Models with simultaneous treatments of the chromosphere, transition region and corona associated with the nascent fast solar wind have been developed by various authors (see, e.g., Hammer, 1982; Withbroe, 1988; Hansteen and Leer, 1995; Lie-Svendsen *et al.*, 2002). However, these models contained neither the detailed physical processes of the heating nor the detailed magnetic field configurations in the funnels.

Recently, some modelling work has been done to study the physical properties in a coronal funnel. Coronal heating and acceleration was considered as being due to cyclotron damping of Alfvén waves and thrusting by Alfvén wave pressure (see, e.g., Marsch and Tu, 1997; Hackenberg *et al.*, 2000; Li, 2002; Vocks and Marsch, 2002). The resulting plasma properties depend largely on the geometry of the funnel and the details of the wave-energy transport and dissipation process. According to these studies, the plasma can be driven to a flow speed of several tens of km s^{-1} , essentially by a large thermal pressure gradient at the base of the funnel. This pressure gradient results from the quick expansion of the magnetic flux tube, causing rapid heating with height through the high-frequency wave sweeping (resonant absorption) mechanism.

Chapter 3

Instrumentation and Diagnostic Principles

3.1 Introduction

3.1.1 Overview of observations at FUV/EUV wavelengths

Traditionally, the spectrum with wavelengths ranging from 100 Å to 2000 Å is part of the vacuum-ultraviolet (VUV). They can be further divided into extreme-ultraviolet (EUV) with wavelengths from 100 Å to 1200 Å and far-ultraviolet (FUV) with wavelengths from 1200 Å to 2000 Å (Wilhelm, 2003). Measurements of FUV/EUV spectra emitted by the Sun can provide us with a powerful diagnostic tool to study essential physical processes occurring in the upper solar atmosphere. An obvious advantage from the coronal point of view is that the radiation from the photosphere, due to its low temperature, dominates only in visible wavelengths, while the FUV/EUV emission originates mainly in the overlying layers including the chromosphere, transition region and low corona. Therefore, detailed observations of such regions become possible even against the solar disk. However, the traditional ground-based observations can not be made in FUV/EUV wavelengths, owing to their strong absorption by ozone and other molecules in the Earth's atmosphere. Thus, such measurements can only be made with instruments on board sounding rockets or spacecrafts flying at an altitude of at least 150 km (Noyes, 1982). Consequently, detailed studies of upper solar atmosphere with FUV/EUV spectra were highly restricted before the space era.

The first ultraviolet observations of the Sun from space were made, using a German V-2 rocket, on 10 October 1946 by US Naval Research Laboratory (NRL) (Baum *et al.*, 1946). Spectrograms with a wavelength range from 2200 Å to 3400 Å were obtained at various altitudes from 1 to 88 km. Since then, many space programs, with instruments both on sounding rockets and spacecrafts, have been carried out. These programs included early missions, such as OSO, Skylab, SMM, Spacelab and a large number of rocket-borne experiments, and recent missions as SOHO and TRACE. With the development of the experimental technology, great progress has been made in this research field. Highlights of the previous achievements using various instruments at FUV/EUV wavelengths have been reviewed by some authors (see, e.g., Mariska, 1992; Mason and Monsignori Fossi, 1994; Wilhelm, 2003).

Many space-based and rocket-borne missions had been launched before the Skylab time, which include the Orbiting Solar Observatory (OSO) series. The instruments onboard generally had both low spectral resolutions (larger than 0.1 Å) and low spatial resolutions (larger than 5"). For example, many spectral lines with wavelengths between 300 Å and 1400 Å were observed by the Harvard College Observatory (HCO) spectroheliometer

S055 on OSO-4 and OSO-6 (cf. Huber *et al.*, 1973), with a spectral resolution of $1.6 \text{ \AA}$. These measurements were used to model the chromosphere, transition region and corona. Since the Skylab time, many instruments, such as the S082A slitless spectrograph and S082B slit spectrograph on Skylab/ATM, UVS and MCS on OSO 8, UVSP on SMM, HRTS on board both Spacelab 2 and rockets, and so on, were operated with high spectral and/or spatial resolutions. With instruments on Skylab/ATM, coronal holes were intensively studied at EUV wavelengths, combined with observations of X-ray, white light and photospheric magnetic fields (Zirker, 1977). Moreover, systematic outflows in coronal holes were deduced from line profiles in the wavelength range $610\text{--}630 \text{ \AA}$, which were observed by an EUV sounding rocket spectrometer (Rottman *et al.*, 1982; Hassler *et al.*, 1990, 1991). Another instrument - the NRL/High Resolution Telescope and Spectrograph (HRTS) should be mentioned here, because it had both high spectral resolution ($0.05 \text{ \AA}$) and high spatial resolution ($1''$). HRTS was operated at wavelengths longer than $1150 \text{ \AA}$. Many important phenomena occurring in the transition region, such as systematic redshifts, explosive events and fine structures, were first studied with HRTS (see, e.g., Bartoe and Brueckner, 1975; Brueckner *et al.*, 1986; Dere *et al.*, 1987, 1991).

Although previous studies have provided us with a wealth of information about the outer solar atmosphere, there is no doubt that further observations with high spatial resolution, high time resolution and wide spectral coverage are needed because of the highly structured and dynamic nature of the solar atmosphere. The successful launch and operation of SOHO provides such a possibility. In particular, the SUMER instrument has a capacity combining high time, spectral and spatial resolutions, and moreover, wide spectral coverage.

3.1.2 SOHO mission

The Solar and Heliospheric Observatory (SOHO) is one of the space missions of the Solar Terrestrial Science Programme (STSP) and was built and is operated together by the European Space Agency (ESA) and the National Aeronautics and Space Administration (NASA). SOHO was launched on 2 December 1995 and was then put into a halo orbit with a period of 180 days around the first Lagrangian point (L1) on 14 February 1996. This L1 point is located in an orbit between the Sun and Earth and about 1.5 million kilometers away from the Earth, where the centrifugal forces and gravitational forces of Sun and Earth balance. Unlike observatories in Earth's orbit, SOHO can continuously observe the Sun for the whole day without the limitations caused by day and night effects.

The general propose of the spacecraft SOHO is to study the Sun, from its inner core to the outer corona, and the solar wind by using both remote sensing and in situ observations. The three main scientific goals of the SOHO mission can be summarized as follows (Domingo *et al.*, 1995):

- (1) Study of the solar interior, using the techniques of helioseismology
- (2) Study of the heating mechanisms of the solar corona, and

Table 3.1: The SOHO scientific instruments (source: Domingo et al., 1995)

Investigation	Measurements
Helioseismology GOLF Global Oscillations at Low Frequencies VIRGO Variability of solar IRradiance and Gravity Oscillations MDI/SOI Solar Oscillations Investigation/Michelson Doppler Imager	Global Sun velocity oscillations ($l=0-3$) Low degree ($l = 0 - 7$) irradiance oscillations and solar constant Velocity oscillations, harmonic degree up to 4500
SUMER Solar Atmosphere Remote Sensing Solar Ultraviolet Measurements of Emitted Radiation CDS Coronal Diagnostic Spectrometer EIT Extreme-ultraviolet Imaging Telescope UVCS UltraViolet Coronagraph Spectrometer LASCO Large Angle and Spectrometric COronagraph SWAN Solar Wind ANisotropies Solar Wind in situ	Plasma flow characteristics (temperature, density, velocity) chromosphere through corona Temperature and density: transition region and corona Evolution of chromospheric and coronal structures Electron and ion temperatures, densities, velocities in corona ($1.3-10 R_{\odot}$) Evolution, mass, momentum and energy transport in corona ($1.1-30 R_{\odot}$) Solar wind mass flux anisotropies and its temporal variations
CELIAS Charge, ELEMENT and Isotope Analysis System COSTEP Comprehensive SupraThermal and Energetic Particle analyser ERNE Energetic and Relativistic Nuclei and Electron experiment	Energy distribution and composition (mass, charge) ($0.1-1000$ keV/e) Energy distribution of ions ($0.04-53$ MeV/nuc) and electrons ($0.04-5$ MeV) Energy distribution and isotopic composition of ions (p-Ni) ($1.4-540$ MeV/nuc) and electrons ($5-60$ MeV)

(3) Investigation of the solar wind and its acceleration processes.

Corresponding to these three scientific goals, 12 instruments on board SOHO can be divided into three main groups: helioseismology instruments, solar atmosphere remote-sensing instruments, and solar wind in situ instruments. A summary of these instruments is given in Table 3.1.

The three helioseismology instruments GOLF, VIRGO and MDI/SOI are responsible for measurements of solar oscillations with a high precision and accuracy. Among them, the MDI/SOI can provide high precision solar images (1024×1024) of the longitudinal magnetic field components, which can be used together with SUMER observations to study various phenomena on the solar disk.

The solar atmosphere remote-sensing instruments include CDS, EIT, SUMER, UVCS, LASCO and SWAN. All these instruments can be run together to study the physical processes that take place in the upper solar atmosphere.

The three solar wind in situ instruments are CELIAS, COSTEP and ERNE, which are designed to measure the key parameters of the solar wind as well as high-energy particles originating in the solar atmosphere.

3.2 The SUMER instrument

3.2.1 Scientific goals of SUMER

Solar Ultraviolet Measurements of Emitted Radiation (SUMER) is one of 12 instruments on SOHO. As mentioned in Section 3.1.2, two of the important goals of the SOHO mission are to understand the process of heating the corona and the mechanism of accelerating the solar wind. Previous FUV/EUV observations discussed in Section 3.1.1 usually had a spatial resolution of larger than $5''$. Such a resolution may be adequate to study the average properties of relatively large-scale features like active regions and coronal holes, but it is clearly inadequate for studies of the detailed structure and dynamics of the upper solar atmosphere. On the other hand, with regard to the spectral coverage, the wavelength region below $1100 \text{ \AA}$ was only poorly observed before the SOHO mission (Wilhelm *et al.*, 1997).

SUMER with its capabilities was designed to provide us with measurements at both high spatial resolution, high spectral resolution, and also a wide spectral coverage ranging from $500 \text{ \AA}$ to $1600 \text{ \AA}$. SUMER measures profiles and intensities of FUV/EUV lines emitted by the solar atmosphere from the upper chromosphere to the lower corona; determines line broadenings, spectral positions and Doppler shifts with high precision and accuracy; provides stigmatic images of selected areas of the Sun in the FUV/EUV with high spatial, temporal and spectral resolution and obtains full images of the Sun and the inner corona in selectable spectral lines, corresponding to a temperature from 10^4 K to above $2 \times 10^6 \text{ K}$. Figure 3.1 shows important emission lines in a temperature versus wavelength plot.

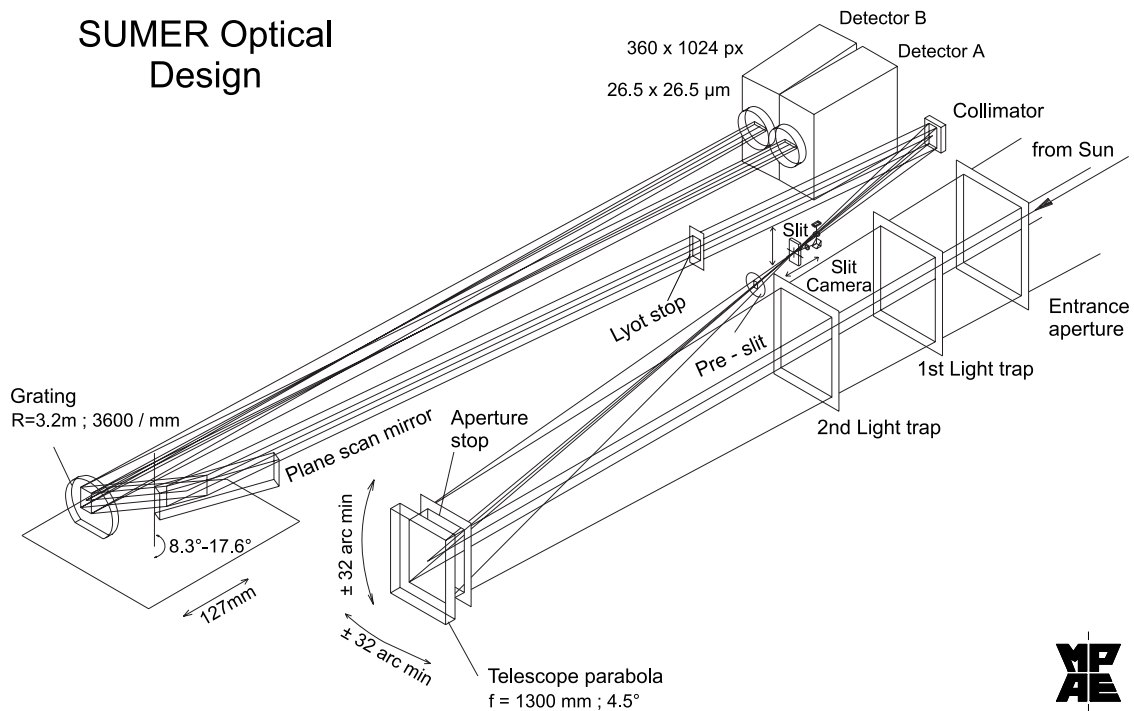


Figure 3.2: The optical layout of the SUMER instrument showing the extreme rays as they propagate through the system (Wilhelm et al., 1995).

into spectrometer entrance slit plane by the first off-axis telescope parabola. This telescope parabola has pointing and scan capabilities (the fastest rate is 300 steps per second with $0.375''$ per step). After the beam leaves the slit, it is collimated by the second off-axis parabola, and deflected by the plane mirror onto the grating. This plane mirror can be rotated and thereby changes the incidence angle from 16.74° to 34.97° , corresponding to a rotation of the angle from 8.4° to 17.5° with respect to the incident beam. Thus the required spectral wavelength range of the instrument can be fully covered. In the focal plan of the grating, two two-dimensional detectors are placed to collect monochromatic stigmatic images of the entrance slit. The complete optical design of the instrument is also equipped with a baffle system consisting of an entrance aperture, light traps, an aperture stop, a pre-slit and a Lyot stop. In addition, a Rear Slit Camera (RSC), which serves as pointing verification, is designed to work at visible light wavelengths.

The SUMER spectrometer has imaging capability to build up spectroheliograms or maps of the Sun. One spatial dimension of the image is provided at one exposure by a long slit, whose image can be focused on the detector because the spectrometer is stigmatic. The other spatial dimension is produced by scanning perpendicular to the long extension of the slit. The SUMER spectrometer is equipped by a slit assembly at the focus of the telescope. There are four different slits, which are numbered in the SUMER software and documents. They are all narrow in the direction of the wavelength dispersion to ensure good wavelength resolution. Slit 1 with dimensions $4 \times 300 \text{ arcsec}^2$ can be selected for observations off the limb to improve counting statistics at the expense of spatial and spec-

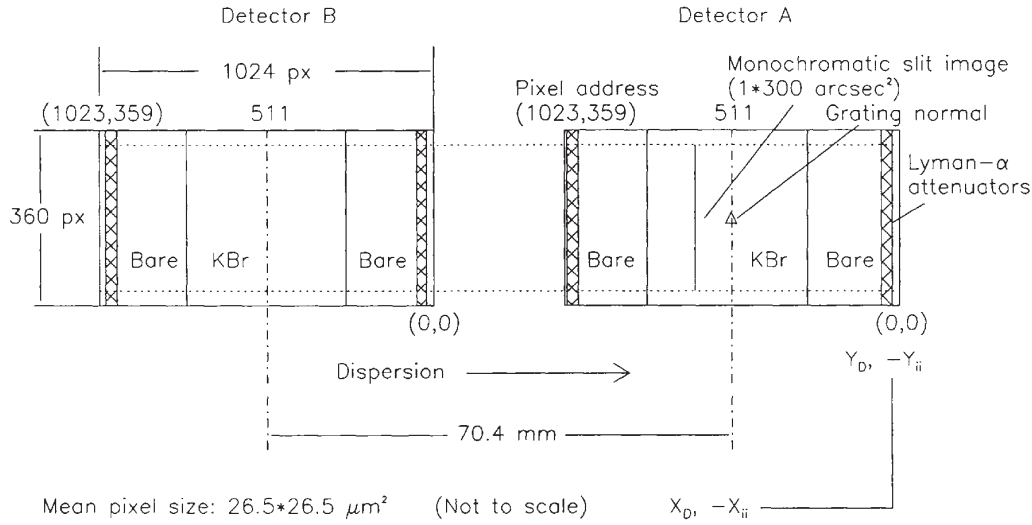


Figure 3.3: Detector arrays in the focal plane of the grating. They have very similar characteristics as far as pixel and photocathode arrangements are concerned, but only detector A is centred on the grating normal with optimum optical performance in the Wardsworth mount. Detector B is offset by 70.4 mm. Only one detector can be switched on at a time. The Lyman- α attenuators consist of grids in front of the bare microchannel plates. Note that the slit image is shorter than the spatial extension of the detector. The “dark” pixels will be useful for scattered light measurements. From Wilhelm et al. (1995)

tral resolutions. However, this slit must not be used for on-the-disk observations. Slit 2 with $1 \times 300 \text{ arcsec}^2$ is the standard slit to obtain the best possible spectral and spatial resolution image. Slit 4 with $1 \times 120 \text{ arcsec}^2$ can be used for intense lines. Finally, Slit 7 with $0.3 \times 120 \text{ arcsec}^2$ is designed for very intense lines or high continuum regimes. The short slits with length of 120 arcsec can be positioned off the center of the detector, i.e., Slit 3 and 5 with a same size as Slit 4, and Slit 6 and 8 with a same size as Slit 7 can be positioned on the top and bottom part of the detector, respectively.

The detector arrangement in the focal plane of the SUMER grating is shown in Figure 3.3. Two detectors are placed there along the dispersion direction with the grating normal pointing to the center of the detector A. The detector B is offset by 70.4 mm. Only one detector can be operated at a time. They are two-dimensional photo-counting devices with a low-resistance Z stack (three MCP wafers stacked on each other) of microchannel plates (MCP) providing detection and amplification, and with a multilayer, cross-delay-line (XDL) anode accomplishing readout. Each of them has the size of 27.0 mm along the dispersion direction and 9.5 mm along the spatial direction, and is electronically digitized to 1024 (spectral) $\times$ 360 (spatial) pixels each of approximately $26.5 \times 26.5 \mu\text{m}^2$ in size. In order to increase the detection quantum efficiency (DQE), the central areas of both detectors are coated with KBr (potassium bromide), which extend from pixel 280 to 770

for detector A and from pixel 270 to 758 for detector B. Bare MCP areas are arranged on either side of KBr photocathode from pixel 23 to 56 and from 982 to 1016 for detector A and from pixel 23 to 53 and from 970 to 1005 for detector B. In addition, Lyman α attenuators are arranged at both extreme ends of two detectors and provide a 1:10 attenuation for H I Lyman- α observations. Such a design can satisfy the requirements for various scientific studies, e.g., the KBr portion will be used for most studies due to its highest efficiency, the bare MCP portion will be used to avoid saturation for very intense lines, and ratio of the intensity as measured with KBr and with the bare MCP parts can be used to determine whether an unidentified spectral line is being seen in 1st or 2nd order. Nevertheless, the detecting efficiency varies greatly with different portions, i.e., KBr, bare MCP and Lyman- α , on the detector and also with different wavelengths. For example, the KBr QDE is $\sim 30\%$ at 584 Å, $\sim 28\%$ at 1066 Å, and $\sim 10\%$ at 1360 Å, while the bare MCP QDE is $\sim 8\%$ at 584 Å, $\sim 5\%$ at 1024 Å, and $< 1\%$ at 1215 Å. The total sensitivity of SUMER will be discussed in Section 3.2.3.

Table 3.2 shows the basic optical characteristics of the SUMER telescope and spectrometer. Note that $1''$ at L1 corresponds to on average 715 km on the Sun (from the Earth $1''$ corresponds to on average 726 km on the Sun). In addition, the magnification factor is the ratio of the effective grating focal length and the collimator focal length.

Theoretically, we can deduce the characteristics of the SUMER spectrometer from the grating equation and the geometric configuration. The grating equation can be written as

$$m\lambda = d(\sin \theta + \sin \alpha), \quad (3.1)$$

where θ is the angle of incidence on the grating, α is the angle of reflection off the grating, m is the order of diffraction with an always positive value for SUMER, d is the grating spacing which equals to 2777.45 Å corresponding to 3600.42 lines per mm of grating ruling. In order to express the pixel position of a particular wavelength λ in the detector plane, we need to know the effective focal length of the grating f_λ , which depends on the angle of incidence. It is given by

$$f_\lambda = \frac{r_a}{1 + \cos \theta}, \quad (3.2)$$

where r_a is the actual radius of the spherical concave grating, for SUMER, $r_a = 3200.78$ mm. Thus, the relationship between the pixel position on the detector plane and the angle of reflection can be written as

$$\tan \alpha = -\frac{(n_{px} - 511)\Delta_{px} + \Delta_{det}}{f_\lambda}, \quad (3.3)$$

where n_{px} is the pixel address ($0 \leq n_{px} \leq 1023$), Δ_{px} is the size of pixel (about 0.0265 mm), Δ_{det} equals 0 mm for detector A and 70.4 mm for detector B. Therefore, given an incidence angle θ , it is convenient to calculate the wavelength coverage on both two detectors in terms of Eq. 3.1 and 3.3. When the incidence angle θ varies from 16.74° to

Table 3.2: The performance of SUMER instrument (source: SUMER team)

The Telescope:	
Focal length	1302.77 mm at 75°C
Plate scale in slit plane	6.316 $\mu\text{m}/\text{arcsec}$
Total dynamic field-of-view	64×64 arcmin ²
Smallest step size (N-S and E-W)	0.38 arcsec
The Slits:	1×300, 1×120, 0.3×120, 4×300 arcsec ²
The Spectrometer:	
Wavelength ranges	
Detector A	390 - 805 Å (2nd order) 780 - 1610 Å (1st order)
Detector B	330 - 750 Å (2nd order) 660 - 1500 Å (1st order)
Collimator focal length	399.60 mm
Off-axis angle	7°
Grating radius	3200.78 mm
Grating ruling	3600.42 lines/mm
Magnification factor in detector plane	4.092 at 800 Å, 4.407 at 1600 Å
The Detectors:	
Array size	1024 (spectral) × 360 (spatial) pixels
Pixel size (mean value)	26.5 × 26.5 μm^2
Angular scale	1.03 arcsec/px at 800 Å 0.95 arcsec/px at 1600 Å
Spectral scale	22.3 mÅ/px at 500 Å (2nd order) ¹ 21.0 mÅ/px at 800 Å 45.2 mÅ/px at 800 Å (1st order) 41.9 mÅ/px at 1600 Å

34.97°, the wavelength range thus extends from 777 Å to 1613 Å in the 1st order and from 389 Å to 807 Å in the 2nd order for the detector A, while from 658 Å to 1502 Å in the 1st order and from 329 Å to 751 Å in the 2nd order for the detector B. However, the use of the full range is severely restricted on the short wavelength side by the fall-off of the reflectivity of SiC below 500 Å. At the long wavelength end, the range might be restricted by the total count-rate limitations of the detectors. It should be noted that both detectors will be illuminated simultaneously by the grating spectrum in 1st and 2nd orders. A change of detectors thus requires only detector power switching and no optical rearrangement.

At a constant θ , the resolving power of the instrument related to the wavelength can be

derived by differentiating Eq. 3.1 and combining it with Eq. 3.2:

$$\frac{\lambda}{\delta\lambda} = \frac{mf_\lambda\lambda}{d\cos^3\alpha\Delta_{px}}. \quad (3.4)$$

Hence, the resolving power can be determined at any wavelength. It ranges from 17700 to 38300 for the SUMER grating.

Special attention must be paid to the differences in the definition between the SUMER coordinate system and SOHO inter-instrument coordinate system. ESA defined the SOHO coordinate system (X_{ii}, Y_{ii}) with $+X_{ii}$ directed towards West of the Sun and $+Y_{ii}$ towards to North of the Sun. In the case of the SUMER instrument, the $+Y$ is defined towards the West and the $+Z$ towards the South (SUMER is isostatically mounted on SOHO with head down). Moreover, the SUMER detectors require an additional coordinate system. The spatial direction will be defined by $Y_D = -Y_{ii}$ and the spectral direction by $X_D = -X_{ii}$, i.e., in Figure 3.3, the upper side of the detector with high pixel address is pointing to the South of the Sun.

3.2.3 Calibrations and corrections

All SUMER raw data are neither calibrated nor converted to physical units. Various shortcomings caused by the hardware of the instrument need to be corrected. The SUMER team has written various software to make such calibrations and corrections. Here we describe briefly such procedures.

Decompression and reversion

In order to reduce the telemetry load, the intensities of the image data array have been compressed on-board with different compression methods (usually Method 5 is used). A decompression is thus necessary for the IDL-restore files. Moreover, on the detector, the highest wavelength is on pixel 0 and the lowest on pixel 1023 (cf., Figure 3.3). Therefore, the wavelengths are stored in SUMER image data by descending from left to right. The spectral dimension of the image data must first be reversed for compatibility with the SOHO conventions and other correction routines. In addition, SUMER is fixed “head down” on SOHO, so what we see “up” on our screen is south on the Sun. The spatial dimension of the image data should be also reversed. It should be mentioned that these three procedures should not be applied to the FITS files, which have been decompressed and reversed to “normal” order during their creation.

Flat-field correction

Non-uniformities in the sensitivity of the detector are caused by the non-linearities of the photocathodes and the microchannel plate. Such non-uniformities are on scale of about 20 pixels or less. Moreover, an odd-even variation (odd-even pattern) in the spatial dimension is caused by a non-linearity of the analogue-to-digital converter (ADC). A flat-field correction should be applied either on board or on the ground for intensity measurements.

The flat-field data are being accumulated during a three-hour exposure in the H I Lyman continuum between 860 Å–900 Å while the spectrometer grating is defocused. This provides a deep, although not entirely ‘flat’, exposure from which the SUMER processor extracts all those features which are smaller than 20 pixels. The small-scale variations amount to as much as 50%. The flat-field pattern changes slightly with time due to the change of the channel plate gain while being used. Therefore the flat-field data need to be updated quite frequently.

Geometrical correction

The images produced by SUMER is geometrically distorted due to the electronic design of the detector. Moreover, the spectral lines may be inclined with respect to the detector vertical lines, which is caused by the deviation in the orientation of the grating and the detector. Therefore, a geometrical correction must be applied in order to remove the curvature of the lines and put the position of the line profile on a correct spectral pixel. A standard routine for the correction of such geometrical distortion of the image and inclination of lines was developed by T. Moran. The geometrical correction works as follows (Peter and Judge, 1999). The chromospheric O I 1152 Å line was placed at 60 different spectral positions with an interval of about 15 pixels. The telescope was defocused, and thus all spatial structures were smeared out. The resulting variations of the line position should be essentially instrumental. As an ideal case, the central position of the O I line should be at the same spectral pixel along the spatial direction, i.e., it is straight. One can thus correct for the image distortion using the observed O I displacements interpolated in spectral and spatial direction.

Displacement correction

The spectrum may be inclined with respect to the detector horizontal lines, which is caused by the deviation in the orientation of the grating and the detector. In this case, the spectral lines may be displaced higher or lower on the detector, varying with the wavelength. On the other hand, the non-linearity of the grating focus mechanism, which is adjusted simultaneously with the wavelength scan, causes an additional shift of the slit image on the detector. A correction for such a vertical displacement of the slit image is necessary for co-registration of images obtained in different reference wavelengths.

Dead time correction

A correction for the electronic dead-time effect must be applied when the total count rate on the detector during one exposure is above 50000 counts per second. The total count rate can be evaluated through the readout of the X-event and Y-event parameters from the header of the IDL-restore files.

Local gain correction

For some very strong lines, the local count rate may be so high that the reduced detecting efficiency causes a local gain depression of the detector channel plates. Therefore, a performance of the gain depression correction is necessary in this case.

Line broadening correction

The instrumental broadening has an additional contribution to the width of spectral lines. This instrumental broadening is slit-dependent and can be taken out by using a deconvolution matrix calculated by P. Lemaire, which gives the deconvolved values for the different slits at different wavelengths for both detectors.

Radiometric calibration

As we have discussed in Section 3.2.2, the detecting efficiency will vary greatly with different portions, i.e., KBr, bare MCP and Lyman- α attenuators, on the detector and also with different wavelengths. The final output of the solar radiation will also be affected when it travels through the entrance aperture, the primary mirror, the slit, etc. The total spectral responsivities of both detectors as a function of wavelengths and for different portions of the detector are shown in Figure 3.4. In addition, the vertical extension of the long slit image on the detector corresponds to $300''$ on the Sun, but is generally not 300 pixels long. It rather is a function of wavelength given by the focal position of the grating which determines the magnification factor of the spectrometer. This is also considered by the radiometric calibration procedure. On the other hand, the detected intensity is given in counts per pixel per sampling interval. A radiometric calibration converts these counts to physical units.

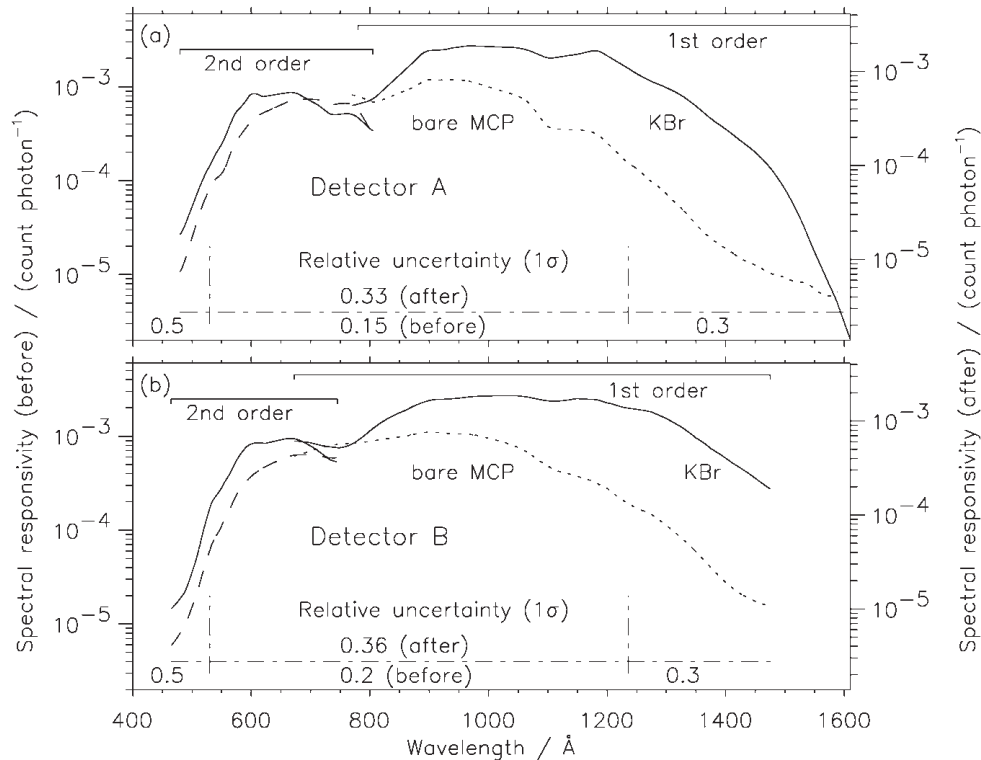


Figure 3.4: The sensitivities of SUMER as obtained during the radiometric calibration. From Wilhelm (2003)

3.3 Additional instruments

3.3.1 EIT instrument

The Extreme-ultraviolet Imaging Telescope (EIT) obtains high resolution solar images in different narrow EUV wavelength bands with widths of 6 Å–10 Å. The emission lines are Fe IX 171 Å, Fe XII 195 Å, Fe XV 284 Å and He II 304 Å. Multilayer reflecting coatings deposited on the four quadrants of the telescope mirrors are designed for these 4 different bands. A rotatable mask can be used to select the quadrant illuminated by the Sun. EIT is equipped with a 1024×1024 CCD camera. Each pixel size corresponds to 2.6". The EIT full-disk images can thus provide the morphological context of the spectral observations by SUMER and CDS. The detailed description of this instrument was given by Delaboudinière *et al.* (1995).

3.3.2 MDI instrument

The Michelson Doppler Imager (MDI) obtains high precision solar images (1024×1024 pixels) of the line-of-sight velocity, line intensity, continuum intensity and longitudinal magnetic field components by sampling the Ni I 6768 Å line with a wide-field tunable Michelson interferometer. It can be operated in a full-disk mode (2" equivalent pixel size) to resolve modes in the range of harmonic degree $3 \leq l \leq 1500$ or in a high-resolution mode (0.65" per pixel size) to resolve modes as high as $l = 4500$. The high-resolution field-of-view is roughly 650 "squared and is centred about 160 " north of the equator on the central meridian. We will use the magnetic field data, which were processed to "Level 1.5" (calibrated) by the MDI team (<http://soi.stanford.edu/sssc>). The detailed description of this instrument was given by Scherrer *et al.* (1995).

3.3.3 NASA/NSO Spectromagnetograph

The NASA/NSO spectromagnetograph is a new focal plane instrument built in early 1990's for use at the National Solar Observatory/Kitt Peak (NSO/KP) Vacuum Telescope. The widths, depths and wavelength positions of line profiles can be deduced directly with spectral and spatial resolutions. The magnetograms are computed as realtime processes from the raw data. The rms noise per pixel is estimated to be 4 G at the disk center, by making an observation with the polarized light modulator switched off. However, the accuracy of measured fields is usually limited by atmospheric seeing rather than instrument system noise. This might cause an uncertainty of order 10% of the measured field strength (J. Harvey, 2002, private communication). A detailed description of the measurement has been given in Jones *et al.* (1992). In this thesis, the photospheric magnetogram was obtained by anonymous ftp. The used Fe I (8688 Å) magnetograms have a spatial resolution element of 1.14×1.14 arcsec². Following the documentation of the field data, we have

multiplied the instrumental values by a factor of 1.46, thus converting them to magnetic field strength given in Gauss.

3.3.4 SWE instrument

The Solar Wind Experiment (SWE) instrument on WIND spacecraft was designed to study outstanding problems in solar wind physics (Ogilvie *et al.*, 1995). The “key parameters”, such as velocity, density, and temperature of the solar wind are measured by Faraday cup sensors. The proton velocity and number density, which will be used in this thesis, are measured with precisions of about $\pm 3\%$ and $\pm 10\%$, respectively.

3.3.5 MFI instrument

The Magnetic Field Investigation (MFI) instrument is also on board WIND spacecraft and provides 3-component measurements of the interplanetary magnetic field (IMF) (Lepping *et al.*, 1995). This instrument is based on a boom-mounted dual tri-axial fluxgate magnetometer system. The instrument has a measurement accuracy of less than 0.08 nT and a precision of about $\pm 0.025\%$.

3.4 Diagnostic methods with FUV/EUV lines

Spectroscopic diagnostics in FUV/EUV are powerful tools used to probe the upper solar atmosphere (upper chromosphere, transition region and corona). A detailed discussion of diagnostic methods has been given in the reviews contributed by Mariska (1992) and Mason and Monsignori Fossi (1994). Here, a brief description is given to introduce the basic knowledge that is useful for this thesis.

3.4.1 Atomic processes in the upper solar atmosphere

As we mentioned in the introduction, the upper solar atmosphere contains very hot (between ten thousand K and a few million K) and low-density (lower than 10^{11} cm^{-3}) plasma. Most atoms are fully ionized under this condition. Atomic processes that should be considered are excitation and de-excitation, that change the energy state of each ion, as well as ionization and recombination, that change the charge state of each atom. Essentially, these processes all result from electronic transitions between different energy states. From atomic physics, we know that there are three types of radiative transitions, namely, bound-bound, free-bound and free-free. The bound-bound transition is responsible for the formation of emission and absorption lines; the free-bound transition results in the process of ionization and recombination, as well as the formation of continuum emission. Concerning the characteristic times involved, the process of excitation balance is much

faster than that of ionization balance in the low-density plasma (see detailed discussion in Mariska, 1992) of the solar atmosphere.

Excitation and de-excitation

In the upper atmosphere of the quiet Sun, the main cause of producing excited states is collisional process. By colliding with the electron, an atom or ion with a charge state X^{m+} can be excited from a lower energy state i to a higher one j :



During the de-excitation process, the spontaneous radiative decay is very efficient for allowed transitions. However, the collisional de-excitation becomes very important for forbidden and intercombination transitions from metastable levels, in which case the spontaneous transition probability is smaller. This is very useful for electron density diagnostics, which we will discuss in a later section. These processes of de-excitation are:



where $h\nu_{ji}$ is the energy of the photon emitted during the transition.

Collisional excitation and de-excitation by protons may also be important. The wavelengths of the emission lines that are produced by this process range in the visible and infrared portions of the spectrum.

If only the above processes of excitation and de-excitation are considered, the rate equation concerning the balance of these two processes (for each level denoted as i) is given by

$$\sum_{j \neq i} n_j n_e C_{ji} - n_i \sum_{j \neq i} n_e C_{ij} + \sum_{j > i} n_j A_{ji} - n_i \sum_{j < i} A_{ij} = 0, \quad (3.8)$$

where C_{ij} and C_{ji} represent collisional rate coefficients, and A_{ij} and A_{ji} spontaneous radiation transition probabilities. Here a steady state is assumed because of the short characteristic time of these processes compared with the processes of ionization and recombination.

Ionization and recombination

In the upper solar atmosphere, the process of ionization is dominated by collisional interactions, which is induced either by direct electron impact or by autoionization following a collisional excitation. Photoionization is negligible because the radiation field is too weak there. The important processes of recombination include radiative recombination and dielectronic recombination. At low temperatures (10^4 K– 10^5 K), a charge exchange with

H or He may also be important for the recombination process. These processes can be summarized as follows:

$$X_i^{m+} + e \Rightarrow X_j^{(m+1)+} + e + e' \quad (\text{direct impact ionization}), \quad (3.9)$$

$$X_i^{(m+1)+} + e \Rightarrow (X_j^{m+})^{**} \Rightarrow X_k^{(m+1)+} + e' \quad (\text{autoionization}), \quad (3.10)$$

$$X_i^{(m+1)+} + e \Rightarrow X_j^{m+} + h\nu \quad (\text{radiative recombination}), \quad (3.11)$$

$$X_i^{(m+1)+} + e \Rightarrow (X_j^{m+})^{**} \Rightarrow X_k^{m+} + h\nu \quad (\text{dielectronic recombination}), \quad (3.12)$$

$$X_i^{(m+1)+} + Y \Rightarrow X_j^{m+} + Y^+ + \Delta E \quad (\text{charge exchange}), \quad (3.13)$$

where $(X_j^{m+})^{**}$ indicates a doubly excited state of the ion X_j^{m+} , which is formed when a free electron is captured by the ion with charge $(m+1)+$. This newly formed ion can then either autoionize back to the next degree of ionization by interacting with the adjacent continuum state or simply decay radiatively to another bound state below the ionization threshold.

3.4.2 Formation of line and continuum emission

Emission lines

The process that produces emission lines is called bound-bound transition. The resulting spectral lines can be grouped into three categories according to the different transition process: allowed, intercombination and forbidden lines. Allowed lines are produced by electric dipole transitions without change of the electronic spin. In this case, collisional excitations from the ground level or a metastable level are immediately followed by spontaneous radiative decays having large transition probabilities. Intercombination and forbidden lines involve spin changes and originate from metastable levels, for which the transition probabilities are much smaller than for allowed transitions. These two types of lines can only be observed in a low-density plasma (e.g., in the corona), where the collisional effect is so weak that metastable levels will not be depopulated by collisions before they decay radiatively.

Continuum emission

Continuum emission in FUV/EUV is produced by free-free, free-bound and two-photon transitions. A free-free transition takes place when a free electron interacts with a charged particle. In this process, the electron jumps down from a higher energy state to a lower one and a photon is simultaneously released. For a Maxwellian velocity distribution of the electrons, this process is also called thermal bremsstrahlung. A free-bound transition is also known as radiative recombination, which is induced when a free electron is captured by an ion into a bound state. The charge state of the element is simultaneously changed

in this process. The two-photon continuum is produced by simultaneous emission of two photons from H- and He-like ions in the metastable 2s level, and may be important when $T \leq 3 \times 10^4$ K (see, Mason and Monsignori Fossi, 1994, and references therein).

3.4.3 Diagnostics with FUV/EUV lines

Emissivity calculation

According to the above discussion, an atom or ion in an excited state can be deexcited from an upper energy level to a lower one by a spontaneous radiative decay, and emit a photon of energy $h\nu_{ji}$. The volume emissivity of the plasma in the j to i transition is:

$$\varepsilon_{ji} = h\nu_{ji}A_{ji}n_j = \frac{hc}{\lambda_{ji}}A_{ji}n_j \quad (\text{erg cm}^{-3} \text{ s}^{-1}), \quad (3.14)$$

where n_j is number density of the level j of the ion; A_{ji} is the Einstein spontaneous emission coefficient.

The assumption that the observed FUV/EUV spectral lines are emitted in the optically thin plasma is valid in the upper solar atmosphere, so that absorption can be neglected. Then the radiative flux observed at a certain distance R from the Sun for an emission line with wavelength λ_{ji} is given by

$$F_{ji} = \frac{1}{4\pi R^2} \int_{\Delta V} \varepsilon_{ji} dV \quad (\text{erg cm}^{-2} \text{ s}^{-1}), \quad (3.15)$$

where ΔV is the volume of plasma along the path defined between the observer and object on the Sun.

For the multi-component solar atmosphere, the number density of ions can be expressed in terms of ratios of other parameters of the plasma:

$$n_j = \frac{n_j}{n_{ion}} \frac{n_{ion}}{n_{el}} \frac{n_{el}}{n_H} \frac{n_H}{n_e} n_e, \quad (3.16)$$

where n_i/n_{ion} is the population of excited level j relative to the total number density of an ion; n_{ion}/n_{el} is the ionization ratio of the ion; n_{el}/n_H is the abundance of the element relative to hydrogen, which is usually denoted as A_{el} ; n_H/n_e is the number density of hydrogen relative to the number density of the electron. Thus, the equation 3.14 can be re-written as

$$\varepsilon_{ji} = \frac{hc}{\lambda_{ji}} A_{ji} \frac{n_j}{n_{ion}} \frac{n_{ion}}{n_{el}} A_{el} \frac{n_H}{n_e} n_e. \quad (3.17)$$

In a simple two-level approximation for each transition, in which collisional excitations from the ground level balance spontaneous radiative decays from the excited levels, the

population of an upper level j is negligible compared with that of the ground level g , i.e., $n_g \approx n_{ion}$. Thus the statistical equilibrium equation 3.8 can be written as

$$n_e n_g C_{gj} = n_j \sum_{k < j} A_{jk}. \quad (3.18)$$

The volume emissivity of the plasma in the j to g transition is

$$\varepsilon_{jg} = \frac{hc}{\lambda_{jg}} \frac{A_{jg}}{\sum_{k < j} A_{jk}} C_{gj} \frac{n_{ion}}{n_{el}} A_{el} \frac{n_H}{n_e} n_e^2. \quad (3.19)$$

A contribution function $G(T)$ is defined by

$$G(T) = \frac{A_{jg}}{\sum_{k < j} A_{jk}} C_{gj} \frac{n_{ion}}{n_{el}}, \quad (3.20)$$

which is strongly dependent on temperature T . Then the volume emissivity can be expressed in the form

$$\varepsilon_{jg} = \frac{hc}{\lambda_{jg}} A_{el} \frac{n_H}{n_e} G(T) n_e^2. \quad (3.21)$$

We may assume that the abundance of the element relative to hydrogen A_{el} and the abundance of hydrogen relative to the electron density $\frac{n_H}{n_e}$ are independent of the plasma volume. The flux equation 3.15 can thus be rewritten as

$$F_{jg} = \frac{1}{4\pi R^2} \frac{hc}{\lambda_{jg}} A_{el} \frac{n_H}{n_e} \int_{\Delta V} G(T) n_e^2 dV, \quad (3.22)$$

where the volume integral is referred to as differential emission measure (DEM), quantifying the amount of material emitting at temperature T .

Line profiles

If the ions are in local thermal equilibrium (LTE), they have a Maxwellian velocity distribution. Therefore, the frequency (wavelength) of the emitted photons has a corresponding distribution because of the Doppler effect. Consequently, the spectral line will be broadened. In addition, the bulk velocity of the ions will result in deviation of the line central position from the one expected at rest, and possible wave and turbulent motions will lead to an additional broadening of the spectral line. Analysis of the line profile becomes very important for deducing the detailed physical properties of the plasma.

Considering an ion has a velocity component v along the line of sight (LOS) (towards the observer is positive), the observed frequency of the emitted photon will be

$$\nu = \nu_0 \frac{c}{c - v}, \quad (3.23)$$

where ν_0 is the rest frequency of the photon. If $v \ll c$, the relation between v and other parameters is given by

$$v \simeq c \frac{\nu - \nu_0}{\nu_0}, \quad (3.24)$$

$$dv = \frac{c}{\nu_0} d\nu. \quad (3.25)$$

According to the Maxwellian velocity distribution, the number of ions in a velocity range from v to $v + dv$ relative to the total number of ions is

$$\frac{dn}{n} = \sqrt{\frac{m}{2\pi kT}} \exp\left(-\frac{mv^2}{2kT}\right) dv. \quad (3.26)$$

Here m is the mass of the ion; k is Boltzman's constant; T is the temperature. Thus the frequency profile function ψ_ν can be obtained, by integrating the equation 3.26, to be

$$\psi_\nu = \frac{1}{\sqrt{\pi} \Delta\nu_D} \exp\left(-\frac{(\nu - \nu_0)^2}{\Delta\nu_D^2}\right). \quad (3.27)$$

It shows that the profile has a shape with Gaussian form. Here $\Delta\nu_D$ is the Doppler width, which is expressed by

$$\Delta\nu_D = \frac{\nu_0}{c} \sqrt{\frac{2kT}{m}}, \quad (3.28)$$

or in terms of wavelength as

$$\Delta\lambda_D = \frac{\lambda_0}{c} \sqrt{\frac{2kT}{m}}. \quad (3.29)$$

Another frequently used definition of the line width is the full width at half maximum (FWHM), which is obtained as

$$\Delta\lambda_{1/2} = 2\sqrt{\ln 2} \Delta\lambda_D. \quad (3.30)$$

Actually, some other mechanisms may contribute additional broadenings to the line profile observed in the upper solar atmosphere, among which are instrumental broadening and non-thermal motions. The latter may possibly result from wave and turbulent motions. Including them, the Doppler width is re-written as

$$\Delta\nu_D = \frac{\nu_0}{c} \sqrt{\frac{2kT}{m} + v_u^2 + \sigma_I^2}. \quad (3.31)$$

Here v_u is the most probable non-thermal speed, and σ_I is the equivalent speed contributed by instrumental broadening.

Diagnostic of electron density

The existence of metastable levels for an atom can be used to measure the electron density. According to the previous discussion, the spontaneous transition probability is very small for intercombination and forbidden transitions from metastable levels, so that the collisional de-excitation should be taken into account in the process of population and depopulation of such levels if the electron density is large enough. Moreover, the depopulation rate caused by collisions will increase with increasing electron density, and at the same time, reduce the radiative rate by spontaneous transitions.

A three-level atomic configuration is given here as an example to show how the electron density can be deduced from the intensity ratio between a forbidden line and an allowed line. We denote g as the ground level, 1 as the first excited level and 2 as the second excited level. We assume the level 1 is allowed, and thus it will always be populated by collisions and depopulated by the radiative decay. Level 2 is metastable and populated only by collisions and depopulated by both the radiative decay and collisional de-excitation. Therefore we write the excitation rate equation 3.8 for levels 1 and 2 as

$$n_g n_e C_{g2} - n_2 n_e C_{2g} - n_2 n_e C_{21} - n_2 A_{21} - n_2 A_{2g} = 0, \quad (3.32)$$

and

$$n_g n_e C_{g1} + n_2 n_e C_{21} + n_2 A_{21} - n_1 A_{1g} = 0. \quad (3.33)$$

The line ratio R of the forbidden transition from 2 to g and the allowed transition from 1 to g can be obtained by combining these two equations

$$R = \frac{n_2 A_{2g}}{n_1 A_{1g}} = \frac{A_{2g}}{\frac{C_{g1}}{C_{g2}} (A_{2g} + n_e C_{2g}) + \frac{C_{g1} + C_{g2}}{C_{g2}} (A_{21} + n_e C_{21})}. \quad (3.34)$$

In case that the electron density is very low, collisional effects will be very weak and can be neglected ($A \gg n_e C$). Then this equation can be simplified to

$$R = \frac{A_{2g}}{\frac{C_{g1}}{C_{g2}} A_{2g} + \frac{C_{g1} + C_{g2}}{C_{g2}} A_{21}}. \quad (3.35)$$

Since we have $A_{21} \ll A_{2g} \ll A_{1g}$, the equation can be simplified further to read

$$R = \frac{C_{g2}}{C_{g1}}. \quad (3.36)$$

If the electron density becomes so high that the collisional depopulation dominates ($A \ll n_e C$), then we have

$$R = \frac{A_{2g}}{n_e \left[\frac{C_{g1}}{C_{g2}} C_{2g} + \frac{C_{g1} + C_{g2}}{C_{g2}} C_{21} \right]} \propto \frac{1}{n_e}. \quad (3.37)$$

The line ratio is now density sensitive. It can be used to measure the electron density if the intensities of the two lines can be determined by observations. It should be noted that this line ratio is weakly dependent on the temperature, because the collision rate is a function of the temperature.

Diagnostic of electron temperature

If we assume that the plasma is in ionization equilibrium and isothermal, then the plasma temperature can simply be deduced by the assumption that the temperature corresponds to the temperature of the maximum value of the contribution function $G(T)$. In practice, the usage of ions that are formed over broad temperature ranges, such as Li-like, He-like and H-like ions, should be avoided.

Another method that may be more accurate for determining the electron temperature is to use the intensity ratio of two allowed lines. The two lines are excited from and decay to the ground level, but may have significantly different excitation energy $((\Delta E_{g1} - \Delta E_{g2})/kT \gg 1)$. Considering a three-level system with the ground level g and two excited levels 1 and 2, the intensity ratio is given by

$$R = \frac{\Delta E_{g2}}{\Delta E_{g1}} \frac{C_{g2}}{C_{g1}}. \quad (3.38)$$

Here the collision rate coefficient C_{ij} has the form (Mariska, 1992):

$$C_{ij} = \frac{8.63 \times 10^{-6} \Omega_{ij}}{\omega_i T^{1/2}} \exp\left(-\frac{\Delta E_{ij}}{kT}\right), \quad (3.39)$$

where Ω_{ij} is the collision strength and ω_i is the statistical weight of level i . Then we have

$$R = \frac{\Delta E_{g2}}{\Delta E_{g1}} \frac{\Omega_{g2}}{\Omega_{g1}} \exp\left(\frac{\Delta E_{g1} - \Delta E_{g2}}{kT}\right) \quad (3.40)$$

Under the condition of $(\Delta E_{g1} - \Delta E_{g2})/kT \gg 1$, this ratio will be a sensitive function of the electron temperature.

Chapter 4

Observations and Methods of Data Analysis

4.1 Description of observations

Shortly after the launch of SOHO, the Sun reached its minimum of activity in 1996. Then the rising phase of the next solar cycle began. During the past sunspot minimum, two persistent large coronal holes of opposite magnetic polarity cover each pole of the Sun, while the low-latitude coronal holes were very rare features. Recent study showed that the first non-polar coronal hole was observed during January and March 1996 (Belenko, 2001). However, with increasing solar activity in the new sunspot cycle, smaller coronal holes formed more and more at lower latitudes.

Compared with the large number of observations by SUMER within polar coronal holes, the data sets obtained in the equatorial coronal holes are relatively few. We searched in the data catalogue of SUMER and found the data sets which may suitable to investigate the morphological or physical properties of ECHs. The collection of these data is listed in Table 4.1. Please note that the collection may not be complete. Among them, those marked by “a” will be analyzed in this thesis. The observed ECHs include two types of low-latitude coronal holes, i.e., the small isolated coronal holes and large “Elephant’s Trunk” type coronal hole, which was part of the extension of the polar coronal hole. During the SOHO mission, a well known “Elephant’s Trunk” coronal hole was observed during August and October 1996 (CH3), which has been intensively investigated by many authors using different instruments.

In Table 4.2, we also list the data observed with SUMER in a quiet-Sun (QS) region near the disk center. These QS data were obtained during the days 8 and 9 March, 1999, very near the dates when observing the coronal hole (CH6). Moreover, SUMER was operated under the same instrumental conditions. Therefore, the data can be used for a comparison of the two regions. In addition, the QS data can also be used to make additional geometrical calibration for deducing Dopplergrams (see discussion in the following).

SUMER has the capability of imaging the Sun by moving the spectrometer slit perpendicular to the slit direction. Generally, there are three modes of observing sequence to map the Sun depending on how the slit moves. One mode refers here to “raster scan”, where the slit moves with a given step size. With this mode one can map a large area of the Sun. The second mode refers here to “solar rotation tracking”, where the compensation of the solar rotation is turned on. With this mode a structure can be traced on the disk following solar rotation. The third mode refers here to “fixed slit”, where the slit does not move and the scan is completed by exploiting solar rotation. In our data, all three modes have been applied in different observations.

Table 4.1: SUMER observations of coronal holes on the disk

Item	Date	Time UTC	Solar X (")	coordinate Y (")	Wavelength (Å)	Steps (")	Detector and Slit	Exposure Time (s)	Remark
CH 1	27/08/96	11:25 - 16:35	119 W	227 N	ref-spec	0	B 2 (1" × 300")	300	a
CH 2	12-13/10/96	20:45 - 02:00	66 W	26 S	ref-spec	0	B 2 (1" × 300")	300	a
CH 3	19/10/96	17:22 - 20:17	0	140 S	760 - 790	0	B 4 (1" × 120")	20	a
	19-20/10/96	20:22 - 06:50	0	140 S	760 - 790	0	B 2 (1" × 300")	60	a
CH 4	23/10/96	17:25 - 21:05	0	145 S	760 - 790	0	B 4 (1" × 120")	120	
CH 5	07-08/03/97	18:00 - 17:42	0	280 N	752 - 792	0	B 2 (1" × 300")	90	b
CH 6	11/03/99	08:05 - 12:05	141 E - 249 E	290 N	1532 - 1552	1.13	A 2 (1" × 300")	150	a
	12/03/99	21:00 - 01:01	234 W - 126 W	330 N	1234 - 1254	1.13	A 2 (1" × 300")	150	a
	13/03/99	01:05 - 05:05	264 W - 156 W	330 N	912 - 935	1.13	A 2 (1" × 300")	150	a
	11/03/99	18:50 - 22:50	31 E - 139 E	280 N	1157 - 1179	1.13	A 2 (1" × 300")	150	a
	11/03/99	12:09 - 13:09	223 E - 88 E	280 N	1020 - 1045	1.13	A 2 (1" × 300")	30	a
	13/03/99	06:10 - 11:43	240 W	320 N	ref-spec	0	A 2 (1" × 300")	325	a
CH 7	16/05/99	01:18 - 06:49	154 W - 278 W	327 S	1220 - 1260	2.25	A 4 (1" × 120")	360	
	16/05/99	06:56 - 07:56	323 W - 278 W	327 S	1525 - 1565	2.25	A 4 (1" × 120")	180	
	16-17/05/99	19:02 - 00:33	292 W - 416 W	331 S	1220 - 1260	2.25	A 4 (1" × 120")	360	a
	17/05/99	00:40 - 03:26	458 W - 335 W	331 S	1525 - 1565	2.25	A 4 (1" × 120")	180	
CH 8	27/05/99	08:04 - 09:21	227 E - 119 E	542 S	1025 - 1065	2.25	B 8 (0.3" × 120")	95	
	27/05/99	13:59 - 18:00	180 E - 90 E	545 S	1220 - 1260	2.25	B 5 (1" × 120")	360	
	27/05/99	18:07 - 19:48	62 E - 152 E	545 S	750 - 790	2.25	B 5 (1" × 120")	150	
	28/05/99	19:56 - 23:57	50 E - 140 E	550 S	1220 - 1260	2.25	B 8 (0.3" × 120")	360	
	29/05/99	00:04 - 01:45	168 E - 78 E	550 S	750 - 790	2.25	B 8 (0.3" × 120")	150	
	29/05/99	02:02 - 03:19	140 E - 248 E	525 S	1025 - 1065	2.25	B 8 (0.3" × 120")	95	
	29-30/05/99	23:45 - 03:46	296 E - 386 E	527 S	1220 - 1260	2.25	B 8 (0.3" × 120")	360	
	30/05/99	03:53 - 05:34	412 E - 322 E	527 S	750 - 790	2.25	B 8 (0.3" × 120")	150	
CH 9	06/06/99	00:28 - 04:30	171 W - 279 W	505 S	1220 - 1260	1.13	B 2 (1" × 300")	150	

Continued Table 4.1

Item	Date	Time UTC	Solar X (")	Solar coordinate Y (")	Wavelength (Å)	Steps (")	Detector and Slit	Exposure Time (s)	Remark
CH 10	12/06/99	18:01 - 23:54	11 E - 251 W	526 S	700 - 720	1.88	B 2 (1" × 300")	150	c
CH 11	19/10/99	08:54 - 14:57	489 E - 327 E	132 N	948 - 968	1.12	A 2 (1" × 300")	150	c
	19/10/99	15:00 - 21:03	439 E - 277 E	120 N	1070 - 1090	1.12	A 2 (1" × 300")	150	c
	19-20/10/99	21:13 - 01:15	542 E - 380 E	224 N	1025 - 1065	1.12	A 7 (0.3" × 120")	100	a
	22/10/99	01:58 - 06:00	102 E - 60 W	297 N	1025 - 1065	1.12	A 7 (0.3" × 120")	100	
	23/10/99	00:01 - 04:03	186 W - 24 W	334 N	1525 - 1565	1.12	A 4 (1" × 120")	100	a
CH 12	29/10/99	01:01 - 05:03	303 W - 141 W	485 N	1505 - 1545	1.12	A 4 (1" × 120")	100	a
CH 13	03/11/99	18:50 - 00:25	321 E - 70 E	210 S	1532 - 1552	3.0	A 2 (1" × 300")	150	c,a
	05/11/99	11:57 - 16:37	745 E - 412 E	100 N	1532 - 1552	3.0	A 2 (1" × 300")	150	
	05/11/99	17:07 - 21:07	470 E - 185 E	100 N	1532 - 1552	3.0	A 2 (1" × 300")	150	a
	07/11/99	00:05 - 10:08	19 E - 700 E	250 S	1532 - 1552	3.0	A 2 (1" × 300")	150	c
	08/11/99	02:10 - 02:51	50 E - 40 W	95 N	1020 - 1045	1.12	A 2 (1" × 300")	30	a
	08/11/99	02:52 - 08:05	5 E	95 N	ref-spec	0	A 4 (1" × 120")	300	a

Table 4.2: SUMER observations of QS regions near the disk center

Item	Date	Time UTC	Solar X (")	Solar coordinate Y (")	Wavelength (Å)	Steps (")	Detector and Slit	Exposure Time (s)	Remark
QS 1	08/03/99	15:20 - 19:21	64 W - 44 E	130 N	1532 - 1552	1.13	A 2 (1" × 300")	150	a
	08-09/03/99	23:13 - 03:14	64 W - 44 W	130 N	1234 - 1254	1.13	A 2 (1" × 300")	150	a
	09/03/99	07:22 - 11:23	64 W - 44 W	130 N	913 - 935	1.13	A 2 (1" × 300")	150	a
	09/03/99	03:18 - 07:18	64 W - 44 E	120 N	1157 - 1179	1.13	A 2 (1" × 300")	150	a
	11/03/99	01:25 - 02:25	67 E - 67 W	0	1020 - 1045	1.13	A 2 (1" × 300")	30	a
	11/03/99	02:31 - 07:58	0	0	ref-spec	0	A 2 (1" × 300")	30	a

Remark – (a) Data used in this study; The data have been published: (b) Lemaire *et al.* (1999); (c) Wilhelm *et al.* (2002).

In Table 4.1 and 4.2, the observational parameters of the data sets are given. The date and time are given at the start and the end of the observation. The pointing in X direction (West-East) refers to the slit position at the start and the end of the observation for the raster scan sequence, but only the start position is given for the solar-rotation-tracking and fixed slit sequences. The pointing in Y direction (South-North) refers to the slit center at the start of the observation. It is noted that the “reference spectrum” is a standard observing sequence of SUMER. It consists of a series of full detector readouts at different wavelengths.

4.2 Identification of coronal holes

According to the characteristics described in Chapter 2, coronal holes are regions with reduced EUV and X-ray emission in the solar corona. Previous studies have found that the appearances of the coronal hole seen at different wavelengths were significantly different in shape and area (see, e.g., Wilhelm *et al.*, 2002; Bromage *et al.*, 2000). Usually, coronal holes seen in X-ray have a wider and stable shape than in EUV lines. They appear also different in shape seen in different EUV lines with different formation temperatures, e.g., sometimes they are seen prominently in the Fe XII (1.6×10^6 K) and Fe XV (2×10^6 K) channels of EIT, but not clearly in the Ne VIII line (6.3×10^5 K) (Wilhelm *et al.*, 2002). This difference indicates possibly the increasing size of the coronal hole with increasing temperature or height. However, most holes we have observed appear very dark in all these lines. Coronal holes can also be seen in the He I line (10830 Å) formed at a temperature of less than 20,000 K (Harvey *et al.*, 1975). The National Solar Observatory at Kitt Peak (NSO/KP) provides coronal hole maps in this line every day.

In our study, because lines with relatively low formation temperatures are analysed and also the field of view (FOV) of SUMER is small, it is difficult to identify coronal holes unambiguously by SUMER data alone. We thus identify coronal holes by using EIT images obtained in the Fe XII channel. This is rather feasible because we can always obtain the EIT images that were observed almost simultaneously as the SUMER images. In addition, coronal hole maps in the He I (10830 Å) line provided by NSO/KP, and magnetic field data observed by MDI and NSO/KP can be used as a verification.

In determining the boundaries of the coronal holes, we first plot the histogram of the Fe XII intensity taken from the dark region in the hole, and thus obtain a peak intensity from this plot. Then we search for a quiet area far from the active region on the disk, repeat the first step, and obtain a second peak intensity. A threshold of the intensity is then determined by taking the mean of the two peak intensities, by help of which the boundary of a coronal hole can be marked by plotting the corresponding contour.

As was discussed above, the boundaries of the coronal holes inferred by different wavelengths are somehow different in shape. However, this should not be a problem because we only focus on studying the main part of the coronal holes rather than their boundaries.

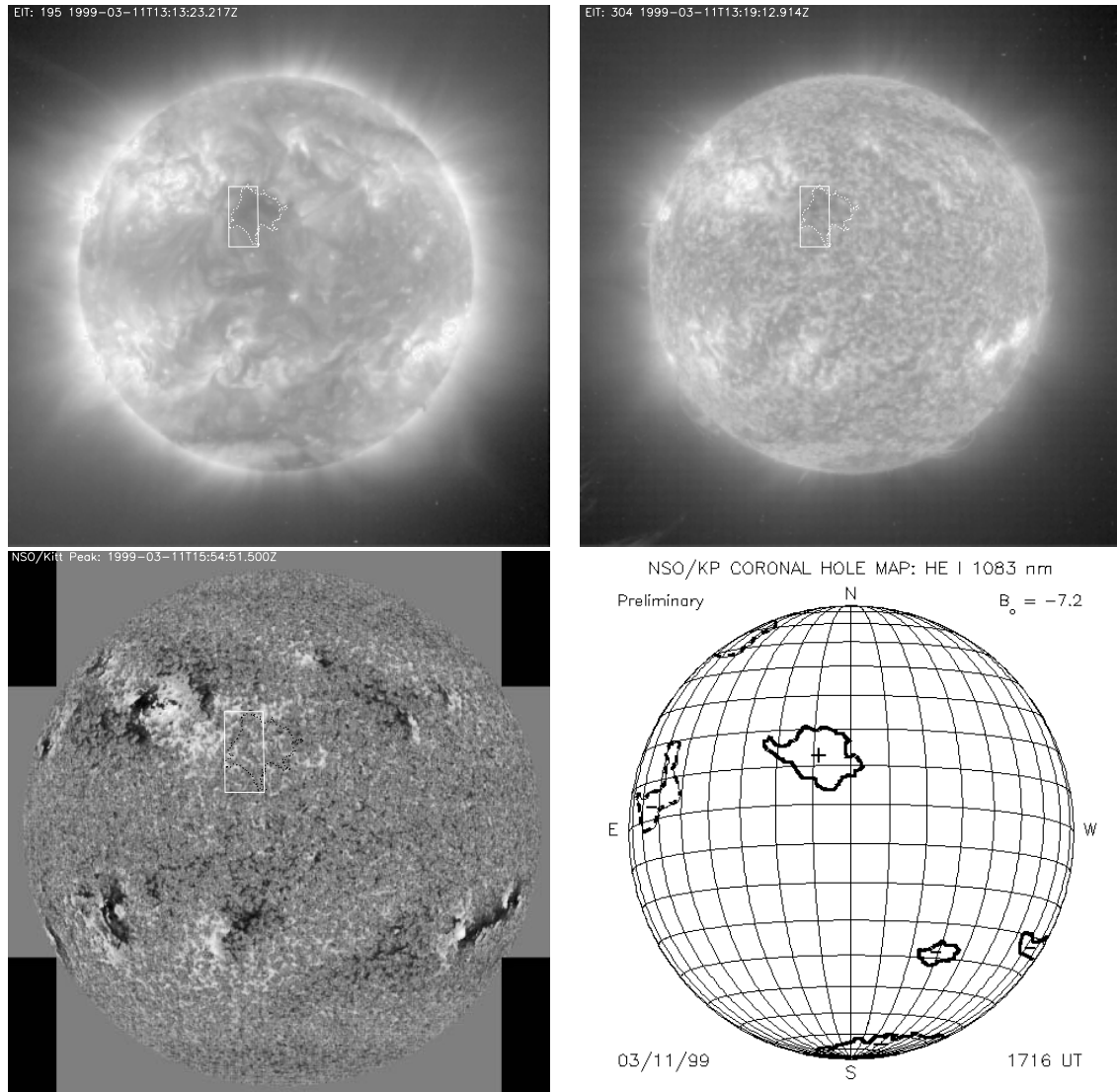


Figure 4.1: Context of the SUMER observation of a coronal hole on the disk on 11 March, 1999 (CH6), superimposed on (a) Fe XII ($195 \text{ \AA}$) (upper left); (b) He II ($304 \text{ \AA}$) (upper right) images taken by EIT at the same day; and (c) the magnetic field image obtained by NSO/KP at the same day. Note that the average magnetic field is about 7.5 G in the coronal hole (bottom left). (d) The Kitt Peak coronal hole map for this ECH (bottom right).

In Figure 4.1, as an example, we show a small ECH observed on the disk by SUMER on 11 March, 1999 (CH6). The FOV of SUMER marked by white box is superimposed on images taken by EIT at Fe XII ($195 \text{ \AA}$) (upper left) and He II ($304 \text{ \AA}$) (upper right) on the same day. These two channels both show as a signature of the coronal hole reduced emission in a small area. The magnetic field image obtained by NSO/KP on the same day is also displayed, again, with a superposition of the FOV of SUMER (bottom left). The magnetic field averaged across the coronal hole is about 7.5 G, which indicates that this area is dominated by a unipolar magnetic field. In addition, the NSO/KP coronal hole

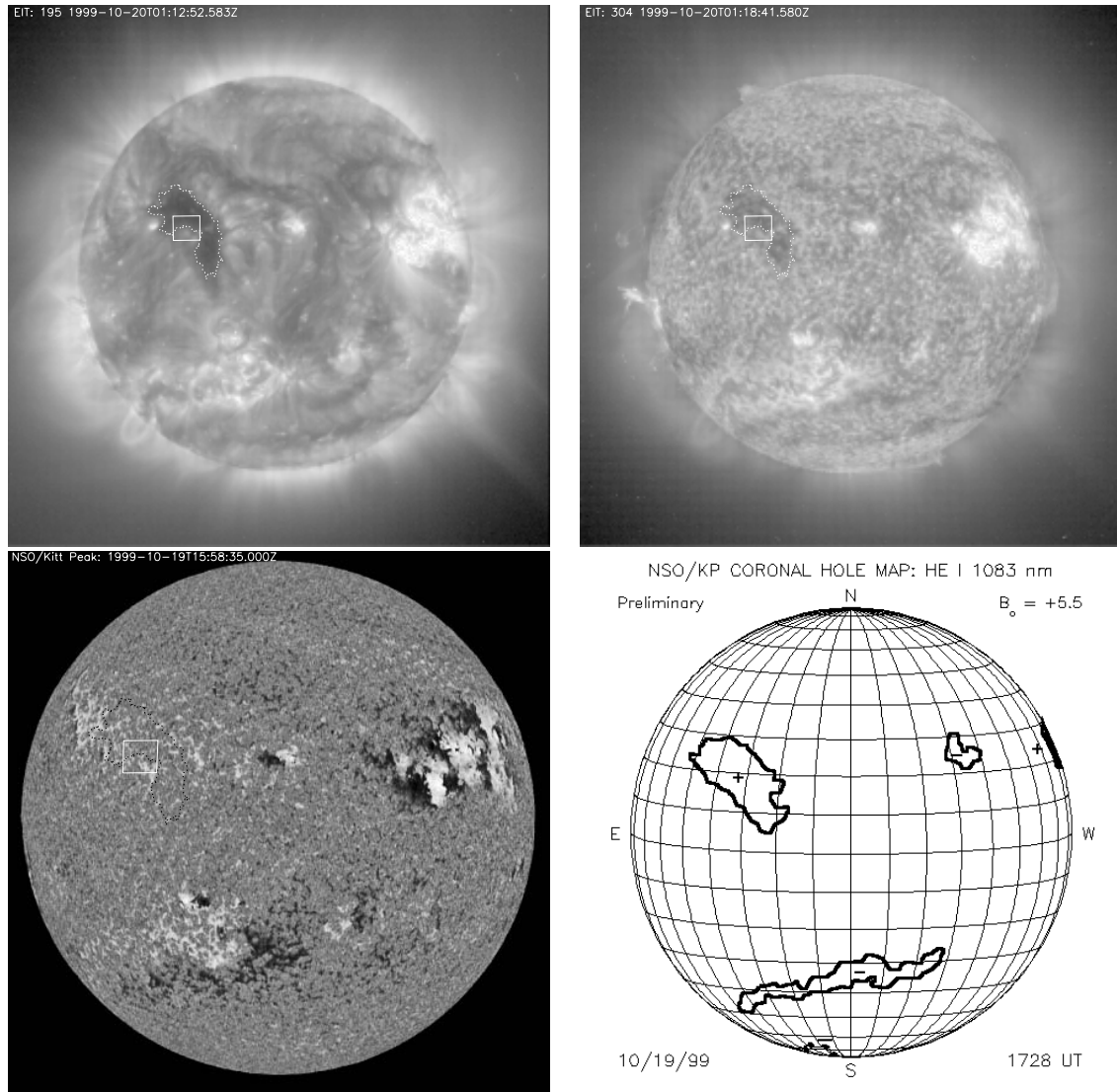


Figure 4.2: Context of the SUMER observation of a coronal hole on the disk on 19 October, 1999 (CH11), superimposed on (a) Fe XII ($195 \text{ \AA}$) (upper left); (b) He II ($304 \text{ \AA}$) (upper right) images taken by EIT at the same day; and (c) the magnetic field image obtained by NSO/KP at the same day. Note that the average magnetic field is about 6 G in the coronal hole (bottom left). (d) The Kitt Peak coronal hole map for this ECH (bottom right).

map for this ECH is shown in bottom right panel. Another ECH observed on 19 October, 1999 (CH11) shown in Figure 4.2 gives similar results, but with an average magnetic field of 6 G in the hole. It is essentially not same in the quiet Sun region. For a comparison, Figure 4.3 shows an example of the QS region observed at the disk center on 8 March, 1999 (QS1). The FOV of SUMER is superimposed on the EUV image taken by EIT at Fe XII ($195 \text{ \AA}$) (left panel) and on the magnetogram taken by NSO/KP (right panel) on the same day. The magnetic field averaged across the FOV of SUMER is about -0.3 G , which is close zero and indicates that this region has a nearly balanced flux of the magnetic field.

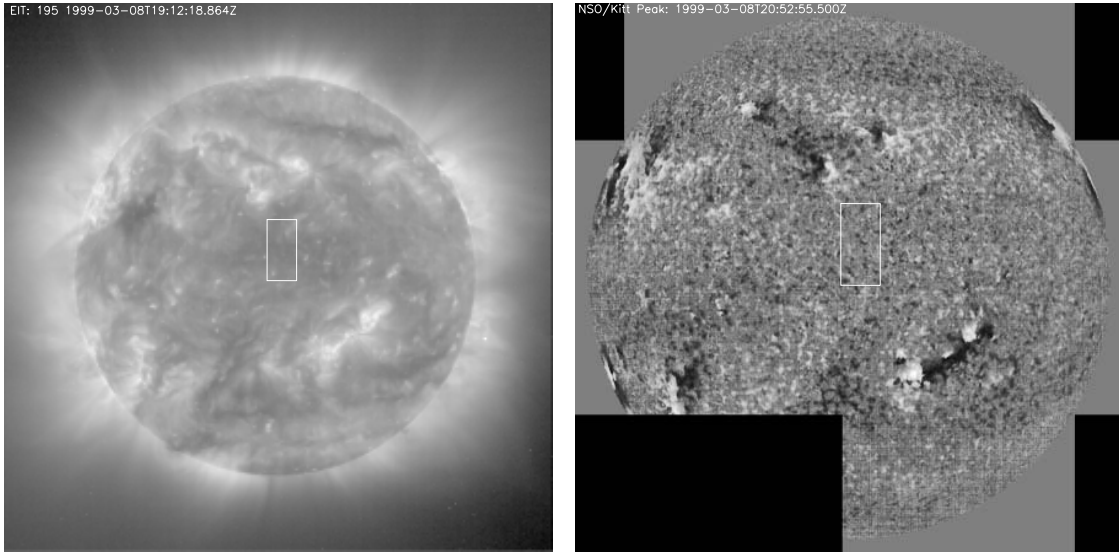


Figure 4.3: Context of the SUMER observation of a quiet Sun region at the disk center on 8 March, 1999 (QS1), superimposed on (a) Fe XII (195 Å) taken by EIT (left), and (b) the magnetic field image obtained by NSO/KP (right) at the same day. Note that the average magnetic field is about -0.3 G in the field of view of the SUMER.

4.3 Identification of lines

As we have discussed in Section 3.2.2, SUMER can measure any FUV/EUV line in the wavelength range $500 \text{ Å} - 805 \text{ Å}$ (2nd order) and $660 \text{ Å} - 1610 \text{ Å}$ (1st order). The identification of FUV/EUV lines observed by SUMER has been done by some authors (Curd *et al.*, 1997, 2001; Feldman *et al.*, 2000a) in various regions, such as the quiet Sun, coronal hole and above the limb. More than 1100 emission lines are available in the SUMER spectral range.

Unfortunately, the lines formed at high coronal temperatures are very small in number, owing to their low emission in coronal holes and blending with strong continua and cooler lines on the disk. The maximal formation temperature of the lines occurs for Mg X (1.1×10^6 K). This line is observed in 2nd order of diffraction and has high amplification if detected on the bare portion of the SUMER detectors. Therefore, it can not be used in some holes, due to too low signal-to-noise ratio, if detected on the KBr portion of the detectors.

In Table 4.3 we list all FUV/EUV lines, formed at different temperatures between 1×10^4 K (C I) and 1.1×10^6 K (Mg X), which we are interested in and will analyse to deduce the maps of intensity, line-of-sight (LOS) velocity and line width. The rest wavelengths are taken from the literature listed in the table. The line formation temperatures are the same as those used by Teriaca *et al.* (1999), which were obtained using the data base of the Arcetri Spectral Code (Landini and Monsignori Fossi, 1990; Landi and landini, 2002).

Table 4.3: Emission lines selected for this study

Ion	Wavelength	Order	$\log_{10} T$	Reference
C I		1	4.11	K
Fe II	1550.274	1	4.23	K
Si II	1533.432	1	4.26	K
H I		1	4.30	K
O I		1	4.31	K
He I	584.34	2	4.51	K
C II	1036.3367/1037.0182	1	4.71	KE
Si III	1296.726/1301.174	1	4.78	K
Si IV	1393.755/1402.770	1	4.84	K
C IV	1548.204/1550.781	1	5.01	GK
N IV	765.148	2	5.15	K
O IV	1401.156/787.711	1/2	5.23	K
N V	1238.800/1242.778	1	5.25	E
S V	786.480	2	5.26	K
S VI	933.380	1	5.31	KM2
O V	629.730	2	5.35	K
O VI	1031.9261/1037.6167	1	5.47	KM1
Ne VIII	770.428	1,2	5.80	SUMER
Mg IX	694.004/706.058	1	6.00	K
Mg X	624.968	2	6.04	SUMER

References – E: Edlén (1934); GK: Griesmann and Kling (2000); K: Kelly (1987); KE: Kaufman and Edlén (1974); KM1: Kaufman and Martin (1989); KM2: Kaufman and Martin (1993); SUMER: Dammasch *et al.* (1999c), Dammasch *et al.* (1999b) and Peter and Judge (1999).

4.4 Determination of line parameters: intensity, position and width

An important step in the analysis of emission line spectra is to measure the intensity, position and width of a line from its observed profile. In general, we assume that the spectral lines can be fitted with Gaussian profiles. This assumption is suitable for optically thin spectral lines emitted in the upper solar atmosphere. If a line is severely blended by other lines, multi-Gaussian fitting can be used. The form of a multi-Gaussian fit can be represented as

$$I(x) = a + bx + cx^2 + \sum_i I_{0i} \exp\left[-\frac{(x - x_{0i})^2}{2\sigma_i^2}\right], \quad (4.1)$$

where a, b, c, I_{0i}, x_{0i} and σ_i are the parameters to be fitted to the observed line profile. I_{0i}, x_{0i} and σ_i are peak intensity, line center position and line width of the i th line, respectively. Here we consider the case where the fitted profile has a considerable background

level, which is considered by addition of a polynomial of order n (we taken $n=1$ or 2). The integrated intensity I_i of a line can be derived from the fit parameters, according to the property of a Gaussian function, and can be written as

$$I_i = \int_{\lambda} I(\lambda) d\lambda = I_{0i} \sigma_i \sqrt{2\pi}. \quad (4.2)$$

Several fitting algorithms have been discussed in the literatures and applied in order to obtain the best possible scientific results from the spectra (e.g., Judge *et al.*, 1997; Chae *et al.*, 1998c; Peter and Judge, 1999; Dammasch *et al.*, 1999c). Generally, if the line is not, or only weakly, blended, the standard algorithm, i.e., fitting to a single Gaussian profile, can be chosen.

The standard fitting algorithm for the spectral decomposition unfortunately proved to be unstable, when applied to data with a low signal-to-noise ratio or faced with line profiles blended with each other. We therefore use a robust Genetic Algorithm (GA) with global optimization method, which was described in detail by Charbonneau (1995). An application in analysing CDS and SUMER spectra has been discussed in detail by McIntosh *et al.* (1998). However, a superposition by two or more lines with about the same wavelengths may result in a great uncertainty of the fitted parameters.

In our analysis of the SUMER spectra, we first applied the standard procedures for correcting and calibrating the data. Two methods were then applied to get the central position of a given line. First, we fitted the average profile to a single Gaussian or multi-Gaussians (hereafter “method 1”), thereby using the PIKAIA software (Charbonneau, 1995). Second, in order to deduce the Dopplergrams we calculated the central position for every pixel, by integrating the line intensity across a certain spectral window and determining subsequently the location of the 50% level with sub-pixel accuracy (hereafter “method 2”). This procedure was frequently used to obtain SUMER Dopplergrams (see for the details Dammasch *et al.*, 1999c) and dramatically reduced the computing time for a large number of data. However, a systematic deviation of deduced central positions could occur if the selected spectral window included other lines (blends). This deviation can be corrected by comparing the average position deduced by this method with that obtained by the multi-Gaussian fitting.

A comparison of these two methods is studied, in which intensities, central positions and FWHM-widths of the C I line (1542.177 Å) are determined by both methods. The data were observed in CH6. In Figure 4.4, the upper-left panel shows the average profile of this line, and other panels show its integrated intensities (I), central positions (p) and FWHM-widths (w) varying with the spatial direction of the SUMER slit, where the dotted line represents results deduced by method 1 (denoted by 1) and the solid line deduced by method 2 (denoted by 2). The statistical results are listed in Table 4.4, in which the units of line parameters are the same as in Figure 4.4, and the quantity σ denotes standard deviation. It should be mentioned that the C I line selected here is a weak one. If we compare the results deduced from a strong line, the difference should be even smaller. We therefore conclude that the results deduced by two methods are statistically consistent with each other.

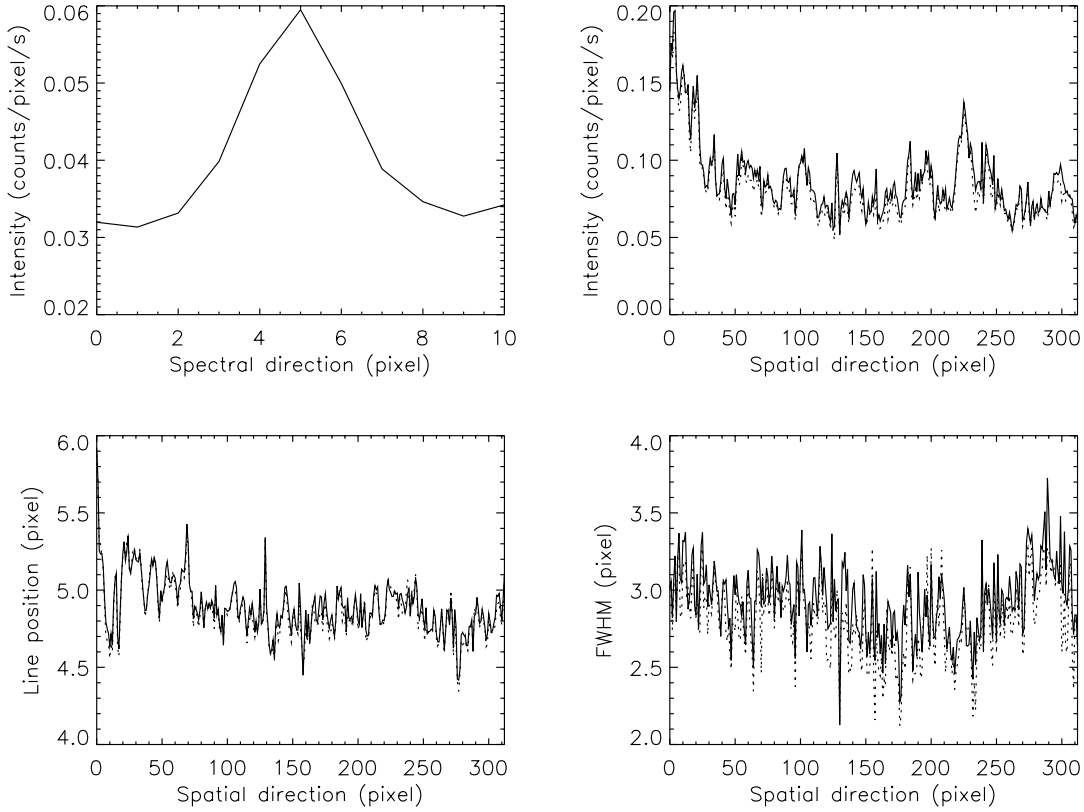


Figure 4.4: Comparison of two methods for deducing the line parameters. Top-left panel: average profile of the C I line. Top-right panel: Intensity along the spatial pixels; Bottom-left panel: central position along the spatial pixels; Bottom-right panel: line width (FWHM) along the spatial pixels. Note that the dotted line represents the result deduced by method 1 and the solid line deduced by method 2.

Table 4.4: Comparison of two methods for deducing the line parameters

$\bar{I}_2 - \bar{I}_1$	$\frac{ \bar{I}_2 - \bar{I}_1 }{\bar{I}_1}$	$\sigma_{(I_2 - I_1)}$	$\bar{p}_2 - \bar{p}_1$	$\sigma_{(p_2 - p_1)}$	$\bar{w}_2 - \bar{w}_1$	$\frac{ \bar{w}_2 - \bar{w}_1 }{\bar{w}_1}$	$\sigma_{(w_2 - w_1)}$
0.005	6%	0.004	0.02	0.04	0.13	4%	0.12

4.4.1 Discussion of estimating errors

In order to evaluate the fitting errors for each line parameter, a so called “bootstrap” method has been applied. The application of this method to estimate the measurement uncertainties in astronomy was first discussed by Barrow *et al.* (1984). The method begins with a given data set obtained from the observation, and generates a series of pseudo data sets with a same number of the element as that of the original data set. The pseudo data samples will contain duplicates of some original data points, while some of original data points will thus not be contained. The same procedure as being used to fit the original data set will repeatedly be applied for all new data sets, and attain a set of resulting fit

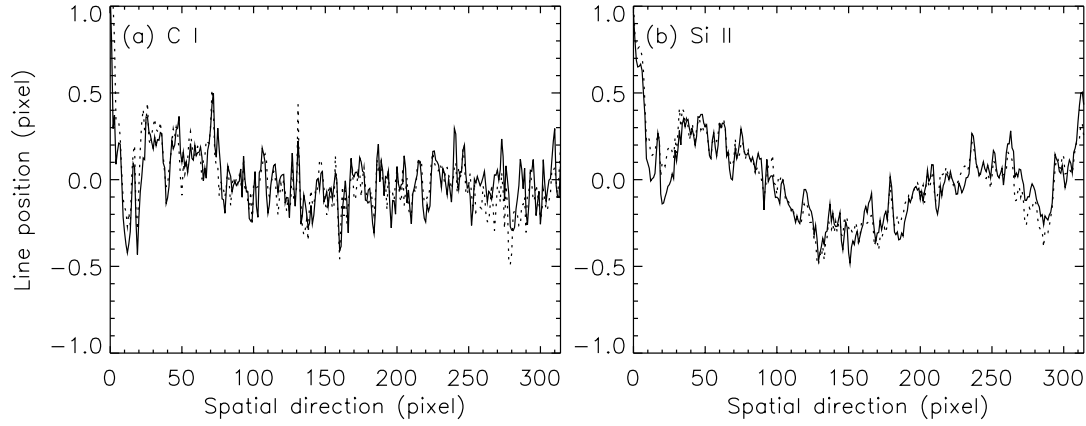


Figure 4.5: Residual errors of the line position after the geometrical correction using the standard software. (a) and (b) showing the central positions (relative to the mean position of each line, and averaged over 96 exposures) of the C I and Si II lines versus the spatial pixels. Note the solid line represents the result deduced from the QS data, while the dotted line from the CH data.

parameters, from which we can thus obtain the mean value and the standard deviation of each line parameter.

The errors given for Doppler shifts are calculated by the relation, $\sigma = \sqrt{\sigma_{ft}^2 + \sigma_{rf}^2 + \sigma_{gc}^2}$, where σ_{ft} , σ_{rf} and σ_{gc} denote the fitting error (as discussed above), the error of dispersion relation derived from the reference lines and the error of geometric calibration, respectively. We adopt a value of 1.5 km s^{-1} as the error resulting from the geometric calibration. The resulting total errors are found to be around 2 km s^{-1} for the most lines, as many authors have also estimated (see, e.g., Brekke *et al.*, 1997; Chae *et al.*, 1998c; Peter and Judge, 1999; Dammasch *et al.*, 1999c).

4.5 Additional geometrical correction

The standard procedure for the correction of the geometrical distortion of the image and inclination of lines has been discussed in Chapter 4. Although this procedure can provide a good first-order correction to the geometric distortions, residual errors of line central positions are still present. According to the SUMER archive, the standard deviation of the residual errors was estimated to be 0.1 pixels (equivalent to about 0.8 km s^{-1} at $1540 \text{ \AA}$) for spatial pixels 20 to 340, with a maximum deviation from the mean of about 0.25 pixels. As pointed out by Peter and Judge (1999), however, such residual errors are dependent of the pixel address on the detector, and may also change with the time. In addition, a spurious spectral line-shifts may also caused by thermal deformations of the instrument, when a time duration of an observation is long enough (see, e.g., Dammasch *et al.*, 1999c). In order to deduce the Dopplergram more reliably, an additional line-position correction was performed, to remove spurious spectral line shifts caused by thermal deformations of the instrument, and to eliminate residual errors (systematically varying along the slit),

remaining after the geometric correction using the standard software. The procedure is described in the following.

Before applying the procedure of additional line-position corrections, we show in Figure 4.5 the central positions (relative to the mean position of each line, and averaged over 96 exposures for each pixel address) of the C I and Si II lines varying with the spatial pixels. The data used here were obtained in a CH region (CH6) and a QS region (QS1), respectively. SUMER was operated under the same conditions during the observations. From Figure 4.5, one finds that two data sets attained a rather similar tendency of the variation with the spatial pixels. The standard deviation of the residual errors from the mean is around 0.2 pixels. Large deviations can be found on the both brim parts of the slit. Because they were obtained in different regions, the similar tendency of the variation indicates that a physical effect caused by the Sun could be excluded (the physical effect of the Sun has been eliminated because the line profiles were obtained by averaging 96 exposures for each pixel address). Similar curves as shown in Figure 4.5 can be deduced for all lines, and used to make an additional geometric correction by subtracting them from the deduced Dopplergram for each line. However, such a procedure should be used very carefully, if different large structures are contained in the same FOV of the SUMER. Because large structures, such as active regions, CH regions and QS regions, may have a very different large-scale velocity field, the real Doppler shifts may not cancel even averaging over many exposures. In this case, a more reliable way is to use the QS data to correct for the CH data, but the precondition is that they are observed under the same instrumental condition, for example, in CH6 and QS1.

A similar method described in the paper of Dammasch *et al.* (1999c) can be used to correct for the systematic line-shifts caused by thermal deformations of the instrument. This spurious line-shifts are a function of the time, which can be deduced from the line positions averaged over the whole slit, and should be same for all lines in the same spectral window. We can thus use a strong cold line to deduce a standard curve (line position versus the time) and then applied to all lines in the same window.

4.6 Wavelength calibration

Wavelength calibration is a key step to determine the precise Doppler shift of a spectral line. In brief, we need to know how much a measured line deviates from its rest wavelength. After the shifted amount of the wavelength, $\Delta\lambda$, has been measured, the Doppler shift represented by velocity can thus be calculated by using the standard equation

$$v = c \frac{\Delta\lambda}{\lambda_0}, \quad (4.3)$$

where c is the speed of light, and λ_0 is rest wavelength. Usually, three methods have been applied for determining the quantity $\Delta\lambda = \lambda - \lambda_0$.

Lamp on board

The most reliable method is using an onboard calibration lamp (e.g., Pt lamp), which has been applied in some rocket experiments (see, e.g., Rottman *et al.*, 1990; Hassler *et al.*, 1991). Unfortunately, the SUMER spectrometer has no such additional equipment.

Zero shifts at the limb

This method is based upon the physical assumption that above the limb all nonradial velocities should on average cancel each other out, i.e., the average Doppler shift must be zero after the solar rotation is corrected for. Thus we can determine the real Doppler shifts by calculating the shifted amount relative to the limb, and thus we need not know the rest wavelength. Previous studies have proved that such a method was indeed valid (see, e.g., Doschek *et al.*, 1976; Peter and Judge, 1999).

Cold lines as reference lines

This method is very useful for observations on the solar disk. Previous studies have shown that chromospheric lines have on average very small systematic line shifts. For example, Hassler *et al.* (1991) found the absolute shift of the Si II line (1533 Å) to be $0 \pm 1.2 \text{ km s}^{-1}$, by comparing the solar lines with the lines of an on-board calibration lamp. For the C I line (Chae *et al.*, 1998c) only a small average red shift of about 1.5 km s^{-1} has been estimated. These chromospheric lines have a relatively low formation temperatures, they are therefore called cold lines. Such lines can be used as reference lines to establish a wavelength reference for observations on the disk. In this coordinate, the wavelength of a line can be determined relative to the chromospheric lines. Then its shift can be calculated by comparison with its rest wavelength. The estimated accuracy should be less than about 2 km s^{-1} , as many authors have discussed (see, e.g., Feldman *et al.*, 1982; Brekke *et al.*, 1997; Chae *et al.*, 1998c; Peter and Judge, 1999; Dammasch *et al.*, 1999c). Lines with known, accurate laboratory wavelengths, such as Si I, S I, C I and O I, can be used in our study.

To apply this method, we synthesize the profiles of cold lines such as Si I, C I and O I in a spectral window based on their laboratory wavelengths and theoretical intensities under solar condition (Kelly, 1987). Then we compare our solar observations with this synthetic spectrum, and deduce the spectral dispersion (mÅ/pixel). Using this dispersion, the Doppler shift of a “hotter” line can be then deduced relative to its neighbouring cold lines.

Chapter 5

Morphology of the Equatorial Coronal Holes

5.1 Introduction

Spectroscopic and morphological studies of the equatorial coronal holes (ECHs) by means of measuring ultraviolet emission have been made since the Skylab time (see, e.g., Munro and Withbroe, 1972; Huber *et al.*, 1974; Reeves, 1976). During the SOHO mission, SUMER and CDS have been used to observe both polar holes and equatorial holes. The SOHO mission began near the last solar minimum. At that time, equatorial coronal holes were very rare features due to a lack of active regions. During the solar maximum in 1999, isolated equatorial coronal holes were frequently present on the solar disk. Therefore, most of the observations listed in Table 4.1 were carried out during that year. Some of them have been analyzed to study the morphology and statistical properties of equatorial coronal holes (see, e.g., Lemaire *et al.*, 1999; Wilhelm *et al.*, 2002; Feldman *et al.*, 2000b). The results obtained by these authors have been described in Chapter 2. However, most of the data sets observed by SUMER in ECHs have not yet been analyzed. The main purpose of this work is to study the morphology of equatorial coronal holes, by using these data together with those obtained by EIT, MDI and NSO/KP. SUMER has both high spatial and spectral resolutions and a wide wavelength coverage, therefore our attention will be focused on the small structures because of their importance for understanding the physical processes concerning coronal heating and solar wind acceleration.

In this chapter, a brief description of the data selection is given in the next section; In section 3, the general properties of ECHs as seen in spectroheliograms of various lines and continua formed at different temperatures are described. The properties of Doppler shifts observed in different lines are presented in section 4; The various structures seen in spectroheliograms, Dopplergrams and their related underlying photospheric magnetic fields are discussed in sections 5, 6 and 7; In sections 8 and 9, we describe in brief temporal variations and a large transient event; Finally, the summary and discussion are given in the Section 10.

5.2 Data selection

In this study, we selected coronal holes that are among the largest ones. The field of view (FOV) of SUMER during these observations usually covered a disk area larger than about 100×100 arcsec². Actually, the images obtained by the SUMER telescope were scanned slit by slit along the X-direction (East-West) of the Sun (raster scan mode), therefore they are not normal “pictures” that are taken simultaneously. The raster step size determines

the spatial resolution along the X-direction, while that along the slit in Y-direction (North-South) is approximately $1''$ for SUMER. Most of the observations for this study had high spatial resolutions with a raster step size of $1.13''$ along the X-direction of the solar disk. By help of this high spatial resolution, the small structures in the coronal holes can be investigated in details. Some of the observations have a raster step of $3''$ and thus cover a large area on the solar disk (CH13), which can be used to study the large-scale velocity field in ECHs. It should be mentioned that SUMER rastered along either the East-West direction or West-East direction, which is in the sense of the Sun's rotation, and therefore the effective field of view in this direction becomes either smaller or larger.

The exposure times of these observations were between 100 s and 300 s for most rasters. We could thus get a high enough signal-to-noise ratio of the signatures for deriving intensities, Doppler shifts and line widths reliably. For some spectral windows containing strong emission lines, for example, $760 \text{ \AA} - 790 \text{ \AA}$ and $1020 \text{ \AA} - 1040 \text{ \AA}$, short exposure times between 20 s to 60 s were also applied. With such a short exposure time and a fixed slit position (without raster step), rapid variations can be investigated.

The selected spectral windows include a number of lines (see line list in Table 4.3), whose formation temperatures span from less than $1 \times 10^4 \text{ K}$ to $1.1 \times 10^6 \text{ K}$. They are mainly emitted in the upper chromosphere, transition region and at the coronal base, respectively. Among these lines, we pay special attention to some lines emitted by Li-like ions of O^{5+} and Ne^{7+} . They have a formation temperature of 0.3 MK and 0.63 MK, respectively, a range which contains plasma information about a layer between the transition region and

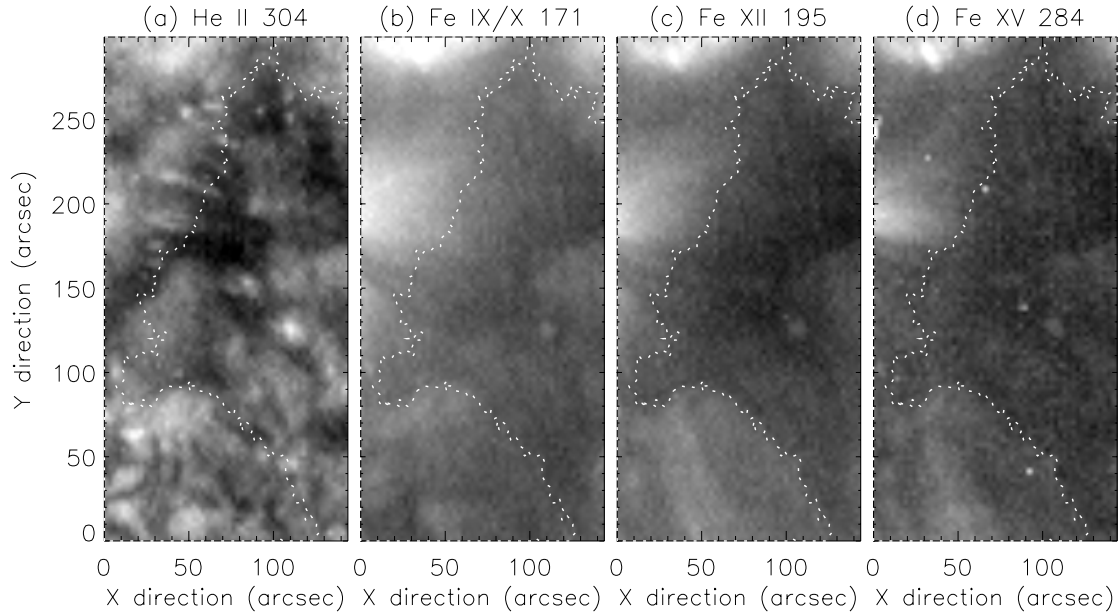


Figure 5.1: CH6, as seen in the EIT 304 Å, 171 Å, 195 Å and 284 Å channels, was observed on 11 March, 1999. Note that the regions inside and outside the coronal hole are separated by dotted lines, which are determined by the CH boundary as inferred from the image obtained in the Fe XII channel.

corona. Previous studies have shown that, for example, a transition of averaged Doppler shifts from red to blue occurs at these temperatures. Another interesting line observed in the same spectral window as the O VI lines is the H I $L\beta$. Like the other members of the Lyman series of hydrogen, this line is optically thick. Theoretical models suggest that the center part (core) of its line profile is formed at a temperature of about 20000 K in the upper chromosphere and low transition region, while the wings originate in the lower chromosphere (Vernazza *et al.*, 1973; Gouttebroze *et al.*, 1978). Therefore, its profile may contain information about the chromosphere over a wide temperature range.

An important objective of this study is to compare the morphology of coronal holes with that of the quiet Sun. The data observed in the quiet Sun during the days 8 and 9 March, 1999 were also selected (QS1). During these observations, SUMER was operated under the same conditions as when observing the coronal hole (CH6) during the days 11 to 13 March 1999. In previous studies, people usually compared the coronal holes with their surrounding areas considered as quiet-Sun region. However, the formation of coronal holes is closely associated with active regions, so that their surrounding areas often contain the signatures originating from the active regions.

5.3 Spectroheliograms

Spectroheliograms (intensity¹ maps) of various lines and continua ranging in wavelengths between 920 Å and 1560 Å are shown in Figures 5.2 to 5.7, as well as in Figures 5.11, 5.14, 5.20 and 5.21 in sections 5.5 and 5.7. Most data were obtained in CH6, which included 5 spectral windows and relatively complete line sets, although this coronal hole was not the largest one. In Figure 5.1, images obtained in the four channels of EIT in this hole are plotted. These images have the same FOV as the SUMER images. For a comparison, the corresponding spectroheliograms obtained in QS1 are plotted together with the ones obtained in CH6. The same scale of intensities is used to plot spectroheliograms for a given line. The regions inside and outside coronal holes are separated by dotted lines, which are determined by the CH boundaries as inferred from the images obtained in the Fe XII channel of EIT. The method has been described in Chapter 4. It should be noted that a logarithmic scale is used for all such maps to improve the image contrast.

5.3.1 Chromospheric lines and continua

The formation temperatures of chromospheric lines and continua shown here range from less than 1×10^4 K to 1.8×10^4 K. Generally, the chromospheric network is well defined in all lines and the continuum emitted by this layer, and has similar properties in both inside and outside CH, and QS regions.

¹Although the word “intensity” is commonly used in astrophysical community, it should be mentioned that the use of “radiance” is standard in the International System of Units (SI).

In the high-contrast images, the most prominent features seen in the C I line and the continuum around different wavelengths above 1100 Å are small bright patches with enhanced emission in the network as well as in cell interiors (see Figures 5.2, 5.3, 5.4, 5.6 and 5.7, as well as in Figures 5.11 and 5.14 in section 4). These features have spatial sizes of about $2'' - 10''$, are larger and brighter in the network, and usually elongated in the slit direction. Similar results have been also found in QS regions by other authors (see, e.g., Landi *et al.*, 2000; Feldman *et al.*, 2001). The phenomenon that the patterns are longer in the slit direction has been interpreted as being caused by the fact that the lifetimes of these bright points are shorter than the total integration time. For instance, if a bright point has a size of $5''$ in diameter, the total time for the slit scanning across this feature is about 10 min, thereby assuming that the exposure time is 2.5 min and the raster step size is $1.13''$, so that we can only get a size of about $2''$ along the raster direction (solar X-direction) if the lifetime of the feature is less than 5 min.

These features are not clear in cell interiors when seen in the continuum with wavelengths between 930 Å and 1100 Å (see Figures 5.5, 5.20 and Figure 5.21). One possible reason is that emissions at these wavelengths are weak. In the cases the lower photon flux from cell interiors may reduce the signal-noise ratio of the signatures and thus lead to disappearance of such small structures.

For the Fe II, Si II and O I lines, which have formation temperatures between 1.5×10^4 K and 1.8×10^4 K, the bright points discussed above become less pronounced (see Figures 5.5, 5.6, and 5.7, as well as in Figures 5.21, 5.11 and 5.14).

However, the appearance of the chromospheric features seen in lines of hydrogen and helium is somehow different from those found in other lines and continua. In Figures 5.4, 5.5 and 5.21, spectroheliograms of the He I (584 Å), H I L β (1025 Å) and H I L-11 (918 Å) lines show that the chromospheric network is wider and more diffuse. Loop-like structures are often found like in transition region lines that we will discuss in the following. Similar result has also been found in the continuum at 710 Å (Wilhelm *et al.*, 2002).

5.3.2 Transition region lines ($T_e < 0.5$ MK)

All transition region ions selected for this study have very strong emission lines, which thus can be used to deduce line parameters reliably. The formation temperatures of these lines range from 5×10^4 K to 3×10^5 K. Some of them are emitted by Li-like ions such as O $^{5+}$, N $^{4+}$ and C $^{3+}$, which have extended contribution functions versus the temperature.

In general, the chromospheric network is well defined in all transition region lines. The locations of enhanced emission in these lines coincide with those in chromospheric lines, but appear more extended into the cell interiors if seen in high-contrast images. The network width, as seen in all transition region lines, tends to be broader in the CH than in the QS. Loop-like structures are the most prominent features in the transition region for both the CH and QS regions. They have visible widths of about $10''$ and lengths of about $10'' - 30''$, and can be seen more clearly in lines emitted by Li-like ions, but also in the H

I, He I and C III lines. The lifetime of some loops can be estimated to be longer than 30 min for a loop with a length of $20''$. From the intensity images, a difference between the CH and QS regions is not obvious, except for the He I line, which appears to be weaker in the hole.

Using data with the high spatial resolution provided by SUMER, such loop-like structures have been found to be the most striking features in the quiet Sun when seen in transition region lines (see, e.g., Warren and Winebarger, 2000; Wilhelm *et al.*, 2002; Landi *et al.*, 2000; Feldman *et al.*, 2000b, 2001). Feldman *et al.* (2001) found that most of such loops straddle the chromospheric network and have no visible connections with the bright points seen in chromospheric lines. Co-aligning the MDI magnetogram with the SUMER image, Warren and Winebarger (2000) found that most of such structures had no connection with the intranetwork magnetic field with mixed polarities as found by Dowdy (1993). They suggested that these fine features may be related to spicules with highly dynamic properties.

In our case for coronal holes, this topic will be further discussed in Section 5.7, by comparing the O VI spectroheliograms and Dopplergrams with the chromospheric network and MDI magnetograms.

5.3.3 Upper transition region and coronal lines ($T_e > 0.5$ MK)

The two lines, which are emitted by Li-like ions of Ne^{7+} at $770 \text{ \AA}$ and Mg^{9+} at $625 \text{ \AA}$, are obtained in the 2nd order (see Figures 5.2 and 5.3). On the disk, emissions in these two lines stem mainly from the upper transition region and coronal base. The majority of loop-like structures, which can be seen in transition region lines, are invisible in the CH region except for some large ones. In the QS region, the chromospheric network is still distinguishable in the Ne VIII line, but appears more diffuse than in other lines. In the CH region, the network is in this line almost absent and only small remnants still exist. A further study of this line will be presented in section 5.5, in which a more complicated morphology will be discussed.

The Mg X coronal line is very dark and uniform in coronal hole, while in the QS region it is more complicated. Here the network disappears, but some bright structures can still be seen. Actually, the Mg X line is the only coronal line that we can use to deduce images of the coronal hole from our data. In addition, a similar property can be found in the Mg IX line obtained in ECH (Wilhelm *et al.*, 2002).

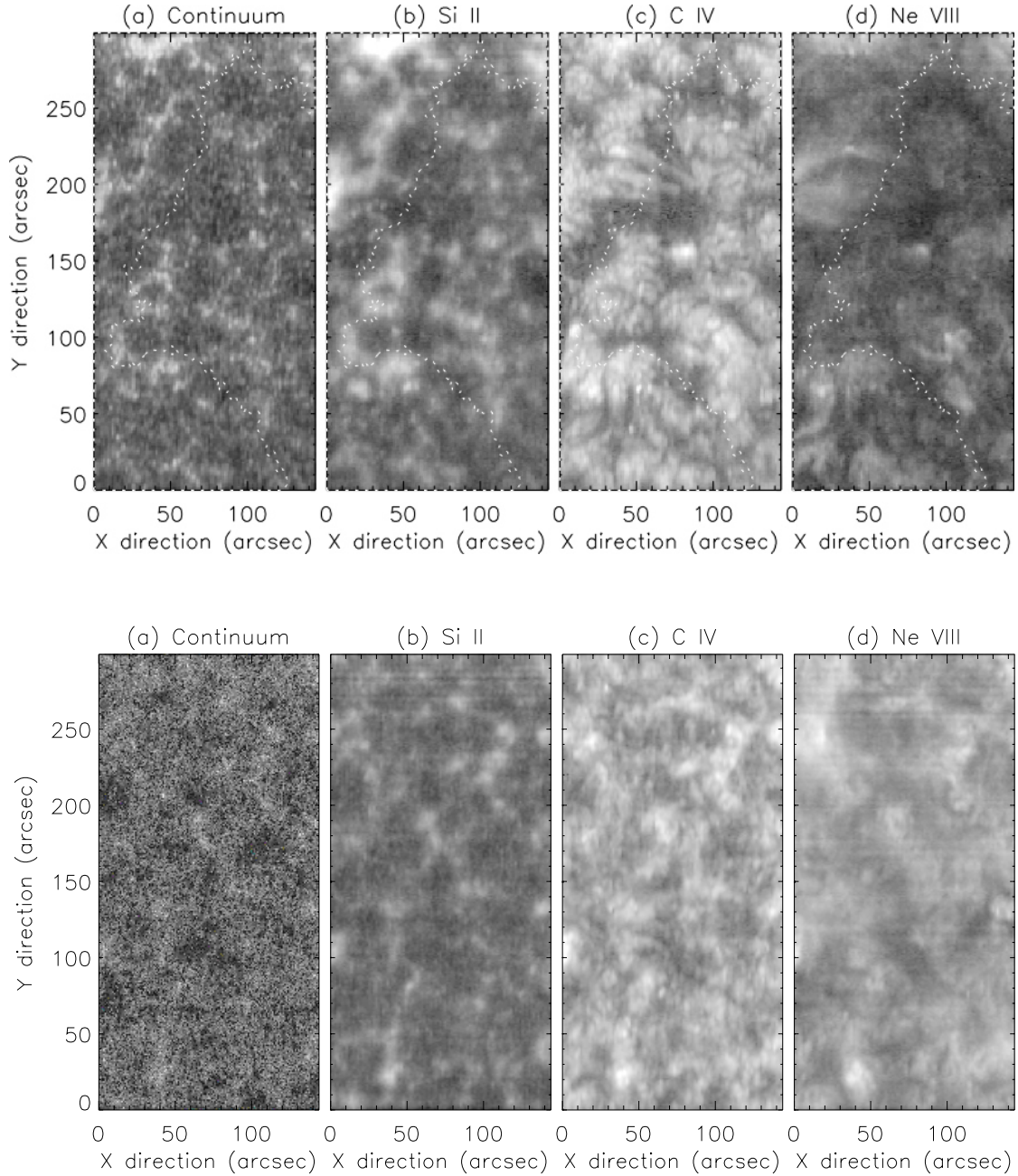


Figure 5.2: Intensity maps (logarithmic scale) obtained in the spectral window 1532 Å to 1552 Å: (a) continuum (around 1539 Å); (b) Si II (1533 Å); (c) C IV (1548 Å); and (d) Ne VIII (770 Å in 2nd order). Upper panel: CH6 observed on 11 March, 1999; Bottom panel: QS1 observed on 8 March, 1999 at the center of the Sun.

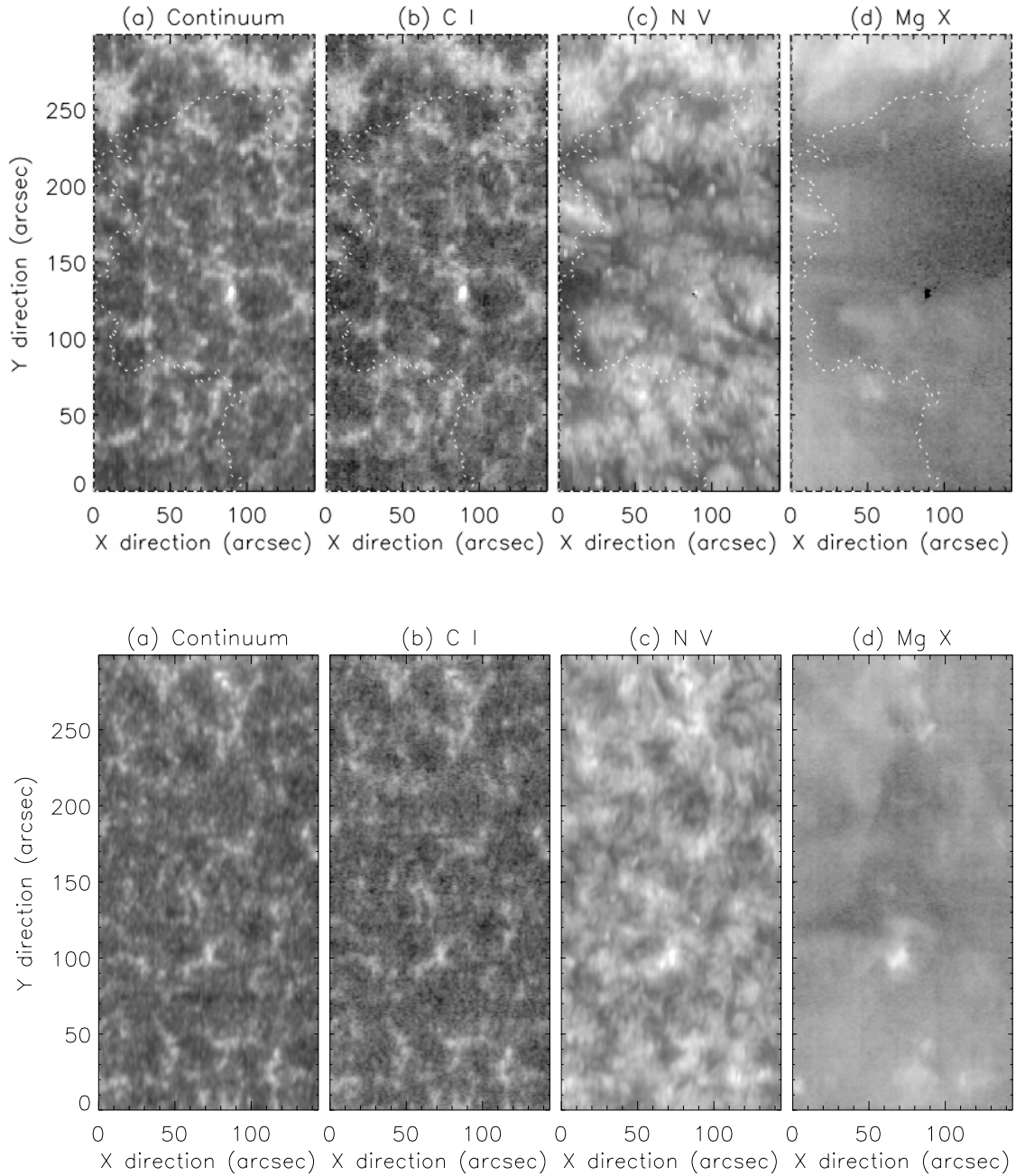


Figure 5.3: Intensity maps (logarithmic scale) obtained in the spectral window 1233 Å to 1253 Å: (a) continuum (around 1236 Å); (b) C I (1244 Å); (c) N V (1238 Å); and (d) Mg X (625 Å in 2nd order). Upper panel: CH6 observed on 12 March, 1999; Bottom panel: QS1 observed on 8 March, 1999 at the center of the Sun.

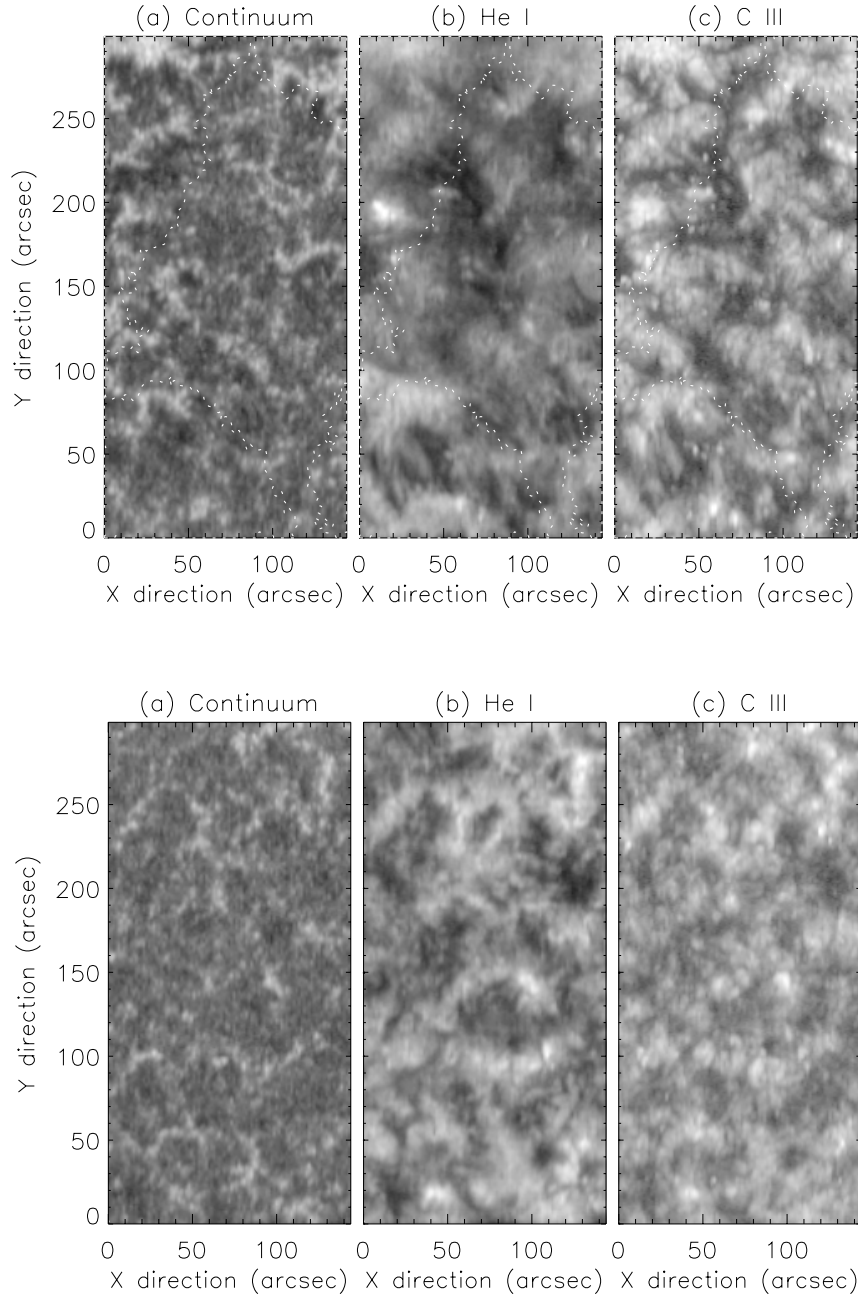


Figure 5.4: Intensity images (logarithmic scale) obtained in the spectral window $1160\text{\AA}$ to $1180\text{\AA}$: (a) continuum (around $1170\text{\AA}$); (b) He I ($584\text{\AA}$ in 2nd order); and (c) C III ($1175\text{\AA}$). Upper panel: CH6 observed on 8 March, 1999; Bottom panel: QS1 observed on 8 March, 1999 at the center of the Sun.

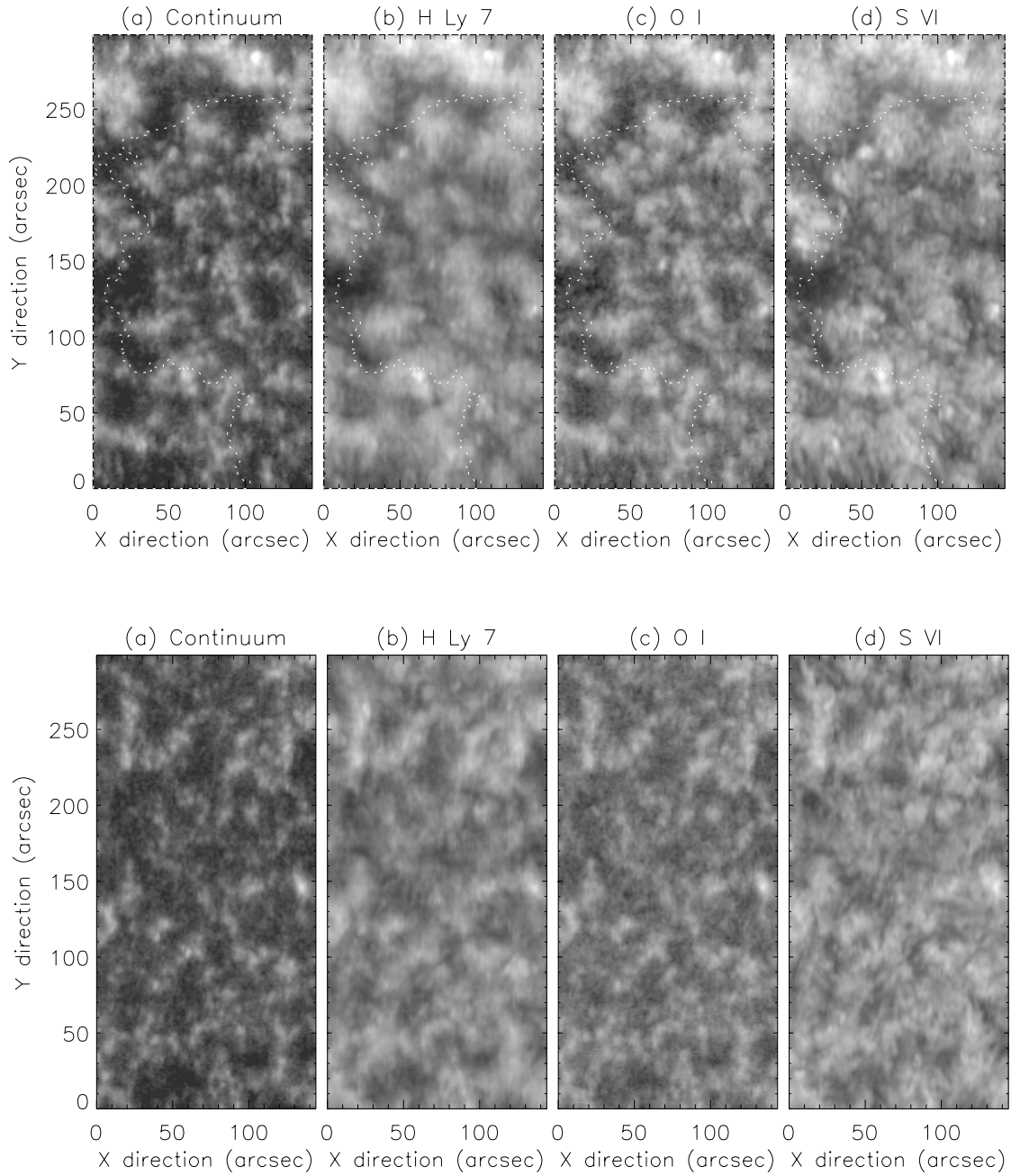


Figure 5.5: Intensity maps (logarithmic scale) obtained in the spectral window 915 Å to 935 Å: (a) continuum (around 932 Å); (b) H I L-7 (926 Å); (c) O I (929 Å); and (d) S VI (933 Å). Upper panel: CH6 observed on 13 March, 1999; Bottom panel: QS1 observed on 8 March, 1999 at the center of the Sun.

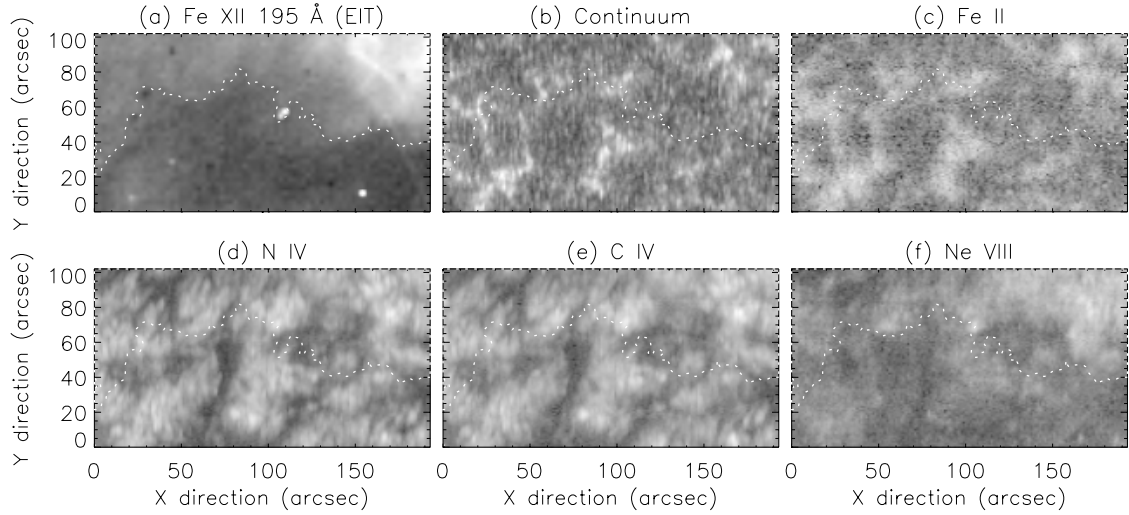


Figure 5.6: Intensity maps (logarithmic scale) obtained in the spectral window $1525 \text{ \AA}$ to $1565 \text{ \AA}$: (a) CH11 seen in the EIT $195 \text{ \AA}$ channel; (b) continuum (around $1540 \text{ \AA}$); (c) Fe II ($1563 \text{ \AA}$); (d) N IV ($765 \text{ \AA}$ in 2nd order); (e) C IV ($1548 \text{ \AA}$); and Ne VIII ($770 \text{ \AA}$ in 2nd order). All lines were simultaneously obtained by SUMER in CH11 on 23 October, 1999.

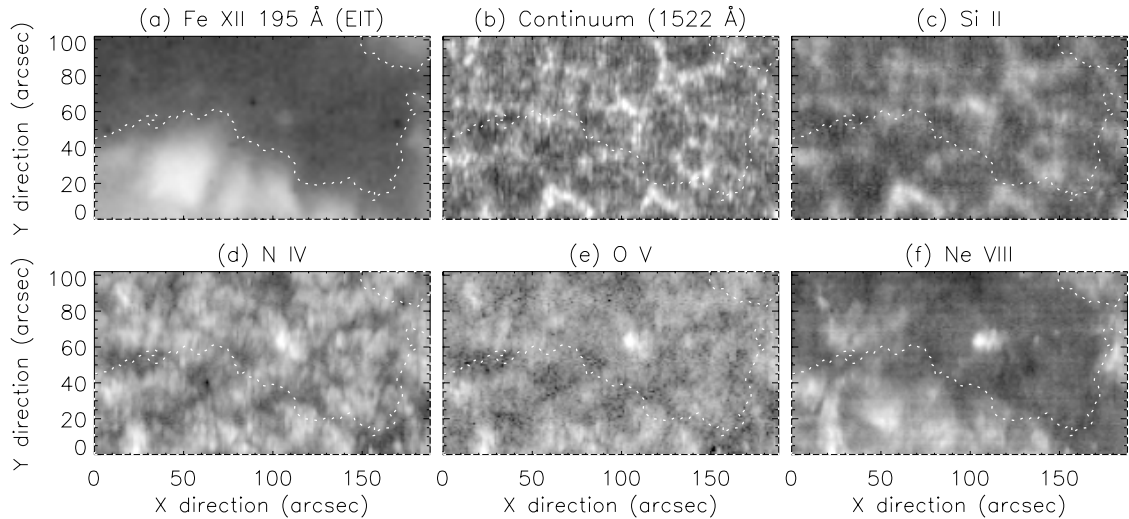


Figure 5.7: Intensity maps (logarithmic scale) obtained in the spectral window $1525 \text{ \AA}$ to $1545 \text{ \AA}$: (a) CH12 seen in the EIT $195 \text{ \AA}$ channel; (b) continuum (around $1522 \text{ \AA}$); (c) Si II ($1533 \text{ \AA}$); (d) N IV ($765 \text{ \AA}$ in 2nd order); (e) O V ($760 \text{ \AA}$ in 2nd order); and Ne VIII ($770 \text{ \AA}$ in 2nd order). All lines were simultaneously obtained by SUMER in CH12 on 29 October, 1999.

5.4 Doppler shifts

The velocity field in the line-of-sight is derived at different wavelengths shown in Figures 5.8 and 5.9. Four chromospheric lines are O I, Si II, H I L-11 and He I. The C IV and N V lines represent typical properties of transition region lines, while the Ne VIII and Mg X lines reveal the flow behaviour of plasma coming from the coronal base. We describe some general properties of Doppler shifts in coronal holes deduced from these lines. A more detailed discussion about this topic will be given in the following sections, where the data of magnetic fields are analyzed together with the SUMER data. The data used here were observed on 11, 12 and 13 March, 1999 in the same equatorial hole (CH6). The blue color represents the outflow velocities (blue shifts), while the red color displays the inflow (red shifts). The color bar above each figure indicates the full-velocity scale for each line. The boundaries of the hole region have been marked by dotted lines, and the hole areas can be identified as the dark region in Figure 5.1. For transition-region and coronal lines, an absolute wavelength calibration has been made related to chromospheric lines, which will be discussed in Chapter 6. For the chromospheric lines, we have simply set their average Doppler shift to 0 km s^{-1} (no absolute wavelength calibration) to deduce their Dopplergrams in lack of cooler lines relative to their formation temperature. However, it is generally believed that they have only small shifts on average.

5.4.1 Chromospheric and transition region lines ($T_e < 0.5 \text{ MK}$)

By inspection of Figure 5.8, a first impression may be that the Dopplergram obtained in the O I and Si II lines is quite different from that deduced from the H I L-11 and He I lines. These lines are all formed between 15000 K and 20000 K, but the latter two have a complicated formation mechanism. The difference in the blue and red shifts of the H I and He I lines is apparently larger than that of the O I and Si II lines. A similar result can also be found in other coronal holes. An even larger contrast of the Doppler shifts can be deduced from another member of Lyman series, H I L β , which we will discuss in the section 5.7, where we show how they are related to the opacity in the network.

Compared with the intensity maps of these lines, it appears that the Doppler shifts of the O I and Si II lines have a close relation with the network structure. The redder shifts are mainly from the intranetwork, while the bluer ones mainly from internetwork (cell interiors). It is interesting to find out whether such a relationship is associated with the underlying convective motions or caused by local magnetic activity in the cell interiors and “red shifts” of transition region lines in the network.

As was described in chapter 2, transition region lines of C IV and N V have been found to be generally redshifted except for some small-scale areas. This can be confirmed again in our Dopplergrams (see Figure 5.9). In fact, the average Doppler shift of the C IV line deduced from different observations is different. In our data obtained in CH6, the average red shift is 5.9 km s^{-1} and only about 6% are blue shifted. A value of 2 km s^{-1} has been deduced by Dere *et al.* (1989b), with a wavelength calibration determined by the

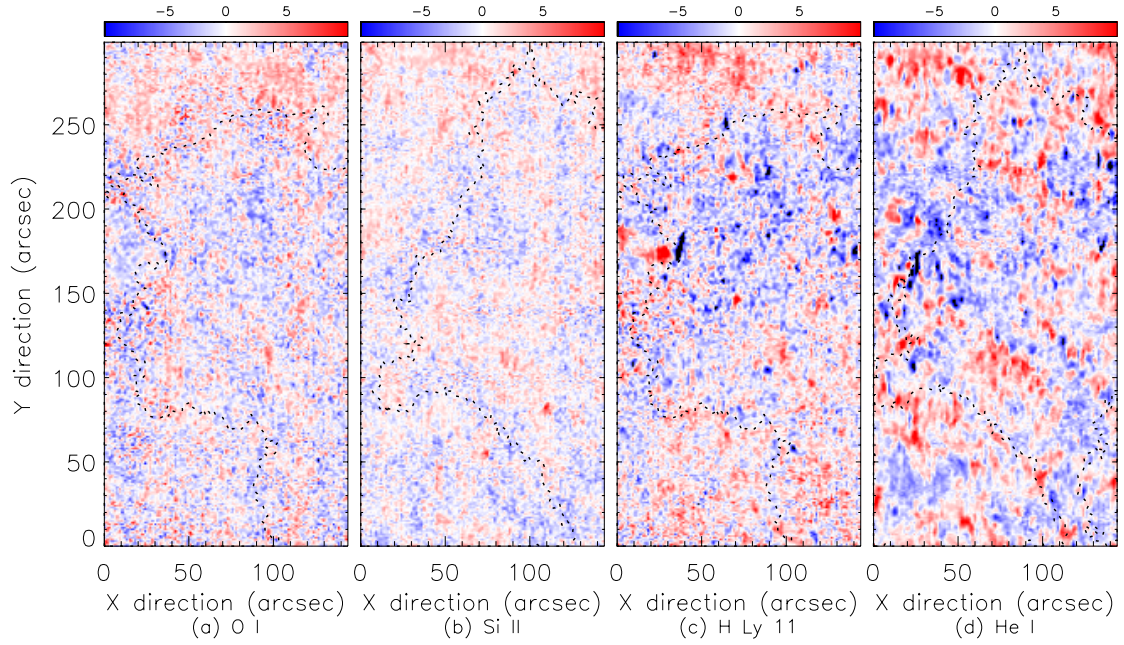


Figure 5.8: Dopplergrams of chromospheric lines for CH6 (full scale: $\pm 10 \text{ km s}^{-1}$): (a) O I (929 Å); (b) Si II (1533 Å); (c) H I L-11 (918 Å); and (d) He I (584 Å in 2nd order). This CH was observed on 11, 12 and 13 March, 1999 (CH6).

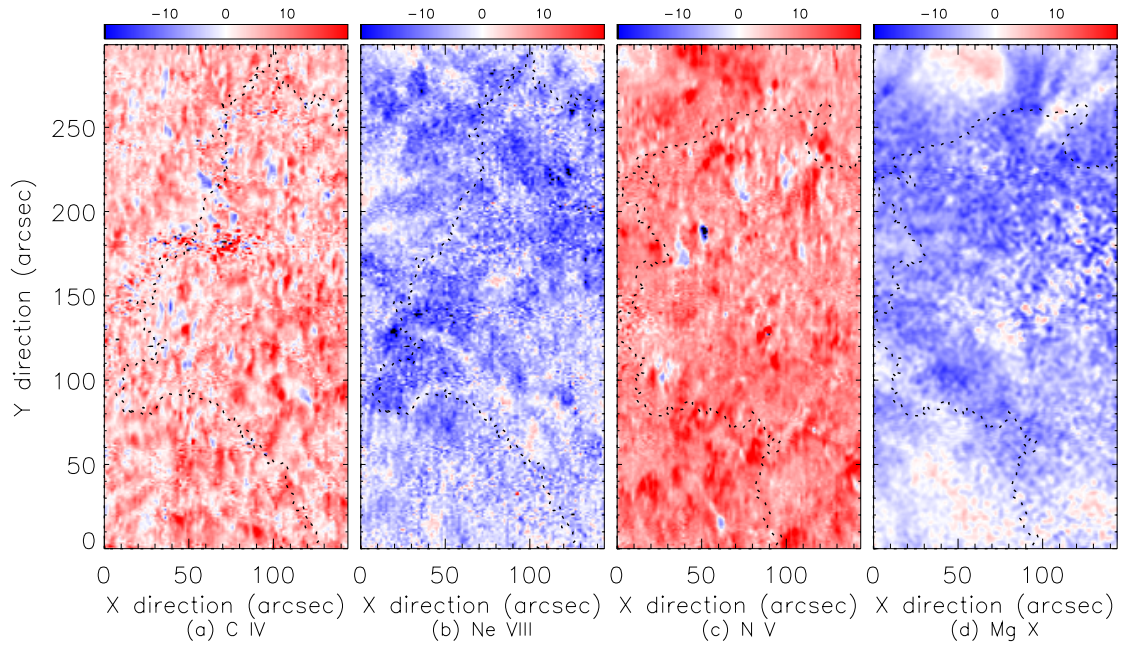


Figure 5.9: Dopplergrams of transition region and coronal lines for CH6 (full scale: $\pm 20 \text{ km s}^{-1}$): (a) C IV (1548 Å); (b) Ne VIII (770 Å in 2nd order); (c) N V (1238 Å); and (d) Mg X (625 Å in 2nd order). The CH was observed on 11 and 12 March, 1999 (CH6).

chromospheric line Si I. They found that there is a proportion of 26% that are blue shifts, as compared with 7% in the quiet Sun. On the other hand, Peter and Judge (1999) and Peter (1999) deduced an average red shift in a polar hole and found 67% of the area is filled by blue shifts. It is possible that a measurement uncertainty may affect the ratio of blue to red shifts. On the other hand, such a difference may also result from the different magnetic geometry prevailing in the coronal hole, a presumption which will be discussed in the following sections.

5.4.2 Upper transition region and coronal lines ($T_e > 0.5$ MK)

From Figure 5.9, it can be seen that the Ne VIII and Mg X lines are on average blue shifted in the coronal hole, and have a very different behaviour in Doppler shifts if compared with other chromospheric and transition region lines. The red shifts are present only in some loop-like structures. Moreover, more structures can be seen in Ne VIII than in Mg X, like it is seen in their intensity maps. Except for some bright regions, the Dopplergram of the Mg X line is more homogeneous in the hole area. It seems that this result is in agreement with a geometry of the coronal funnel, namely, the corona must be more uniform magnetically at higher altitude. It is worth mentioning that large blueshifts may come also from regions outside the hole, indicating that open field lines also originate there. This should be not surprising because previous studies have demonstrated that the boundary of a coronal hole could be rather different when defined in different wavelengths (Kahler *et al.*, 1983; Neugebauer *et al.*, 1998; Bromage *et al.*, 2000).

The velocity field deduced from the Ne VIII line will be discussed further in section 5.6, in which we find that it is obviously associated with the underlying chromospheric network.

5.5 Network, bright structures and underlying magnetic fields

During the days 3-8 November, 1999, the SUMER telescope was pointed to a large equatorial coronal hole and traced the darkest parts with raster scans. The selected spectral window was centered around 1540 Å, which includes lines of Si II (1533 Å), C IV (1548 Å and 1550 Å) and Ne VIII (770 Å in 2nd order). The formation temperatures of these lines span from about 1.8×10^4 K to 6.3×10^5 K. The lines are emitted in the upper chromosphere, transition region and at the coronal base, respectively. The SUMER data selected for this study were taken on 3 and 5 November (CH13 in Table 4.1). As defined in the Fe XII channel of the EIT image, the two data sets were indeed obtained from the same hole, but in different areas. This coronal hole is shown in Figure 5.10. Its boundary defined by the EIT Fe XII (195 Å) channel is outlined by a white dotted line. The overlaid box-shaped window indicates the field of view (FOV) of SUMER.

For this study, the photospheric magnetogram was obtained by anonymous ftp from the NSO (National Solar Observatory) at Kitt Peak. The used Fe I (8688Å) magnetogram was taken between 17:48 UTC and 18:43 UTC on November 5 and 23:09 UTC and 00:03 UTC on 3 and 4 November, with a spatial resolution element of $1.14'' \times 1.14''$. Following the documentation on the field data, we have multiplied the instrumental values by a factor of 1.46, thus converting them to magnetic field strength given in Gauss. A detailed description of the measurement has been given in Jones *et al.* (1992). We finally co-aligned the magnetogram and the SUMER image in the Si II line by computing the cross correlation between the two images. This procedure is also applied to co-align the SUMER and EIT image.

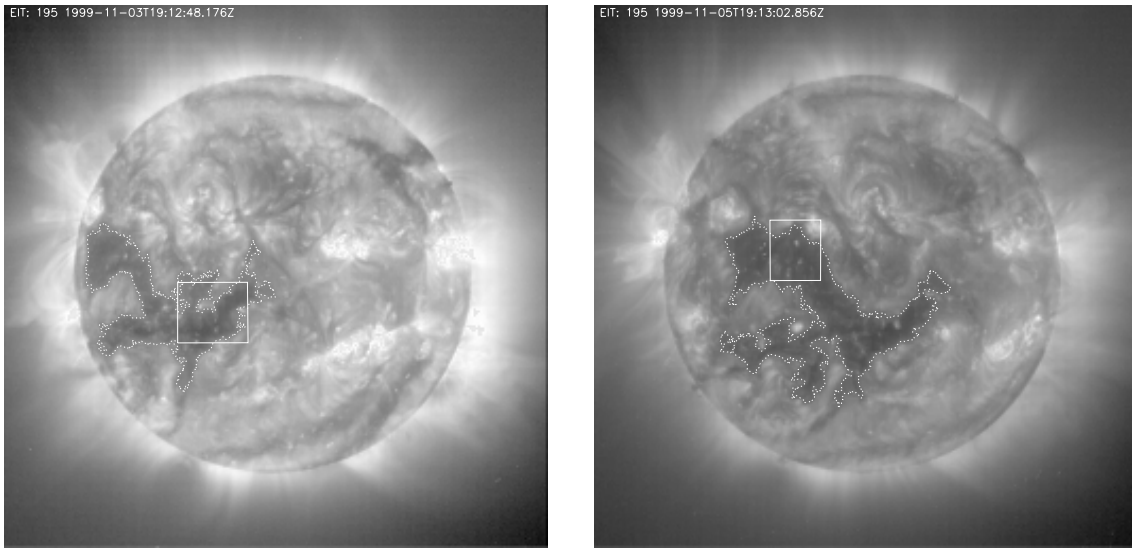


Figure 5.10: The equatorial coronal hole (CH 13) as seen in the 195 Å channel of EIT, with the field-of-view of SUMER being superimposed as a white box. Left panel: 3 November, 1999; Right panel: 5 November, 1999.

Case 1: CH13 observed on 5 November, 1999

The correspondence between the measured magnetogram and EUV images is shown in Figure 5.11, in which we plot together the spectral intensity maps obtained by SUMER and EIT in different lines and magnetic field contours (with levels of -20 G, -10 G, 10 G and 20 G) measured by NSO/Kitt Peak. In Figure 5.11, the network structure (seen as a bright pattern in the Si II line and the continuum) corresponds to the concentrations of magnetic flux. The magnetic network structure in this coronal hole is very stable during the SUMER observation, and the image of the Si II and continuum brightness and the magnetic network are well coinciding spatially. The magnetic map indicates that this hole is occupied predominantly by white patches indicating positive polarity of the field. The average net magnetic flux density (signed) is about 7 G inside the hole, with an uncertainty

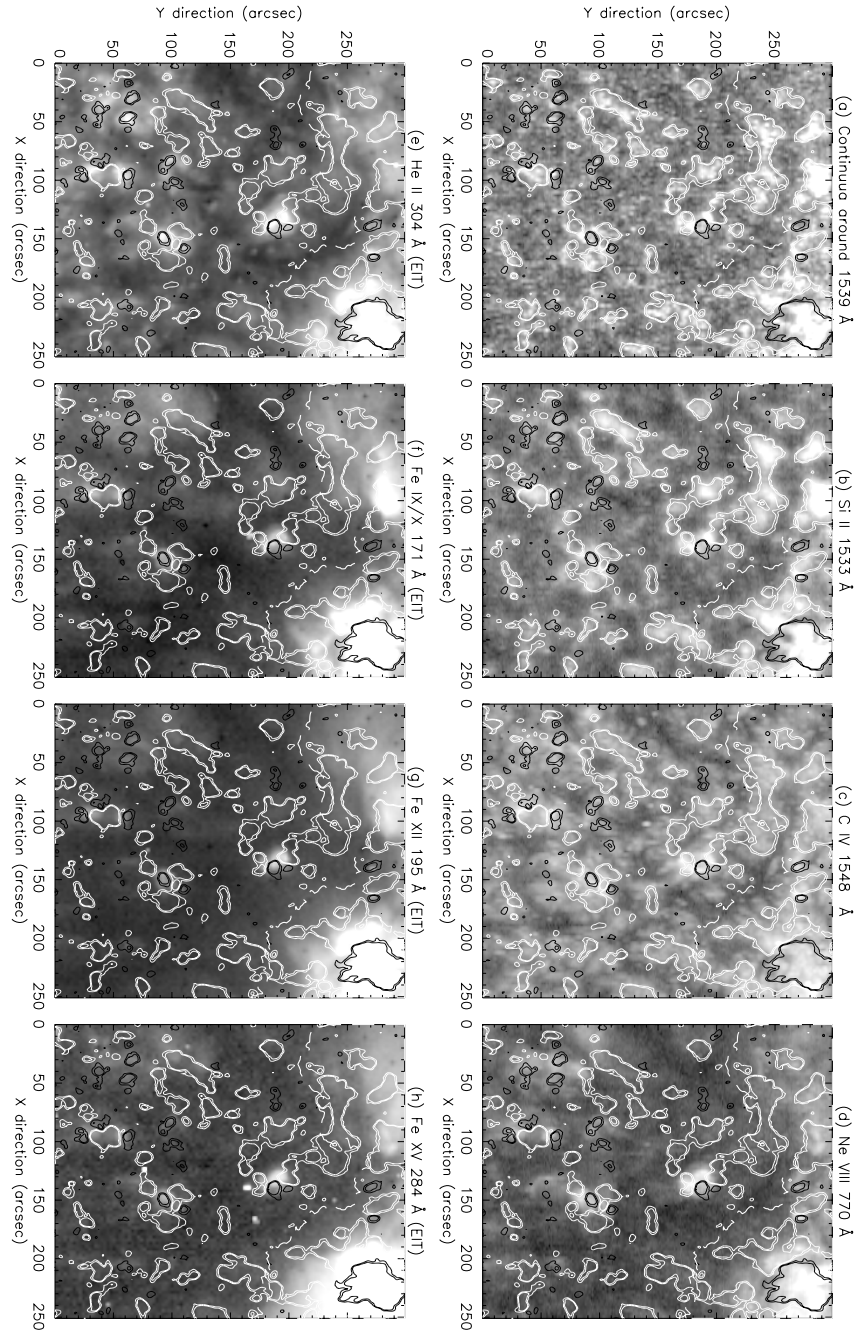


Figure 5.11: Network and bright points seen in different lines observed SUMER, EIT and NSO/KP on 5 November, 1999. Left panel: CH13 seen in the EIT 304 Å, 171 Å, 195 Å and 284 Å channels. Right panel: Image scanned by SUMER covering a part of this hole as seen in the continuum, Si II (1533 Å), C IV (1548 Å) and Ne VIII (770 Å in 2nd order) lines, respectively. Contour plots in both panels represent magnetograms of the same area observed by NSO/Kitt Peak, with the white lines representing the positive polarity of the line-of-sight field component and the black line the negative polarity (contour levels: -20 G, -10 G, 10 G and 20 G). The chromospheric network is indicated by the intensity of the cooler line of Si II and by the magnetic field. Both data sets are consistent with each other.

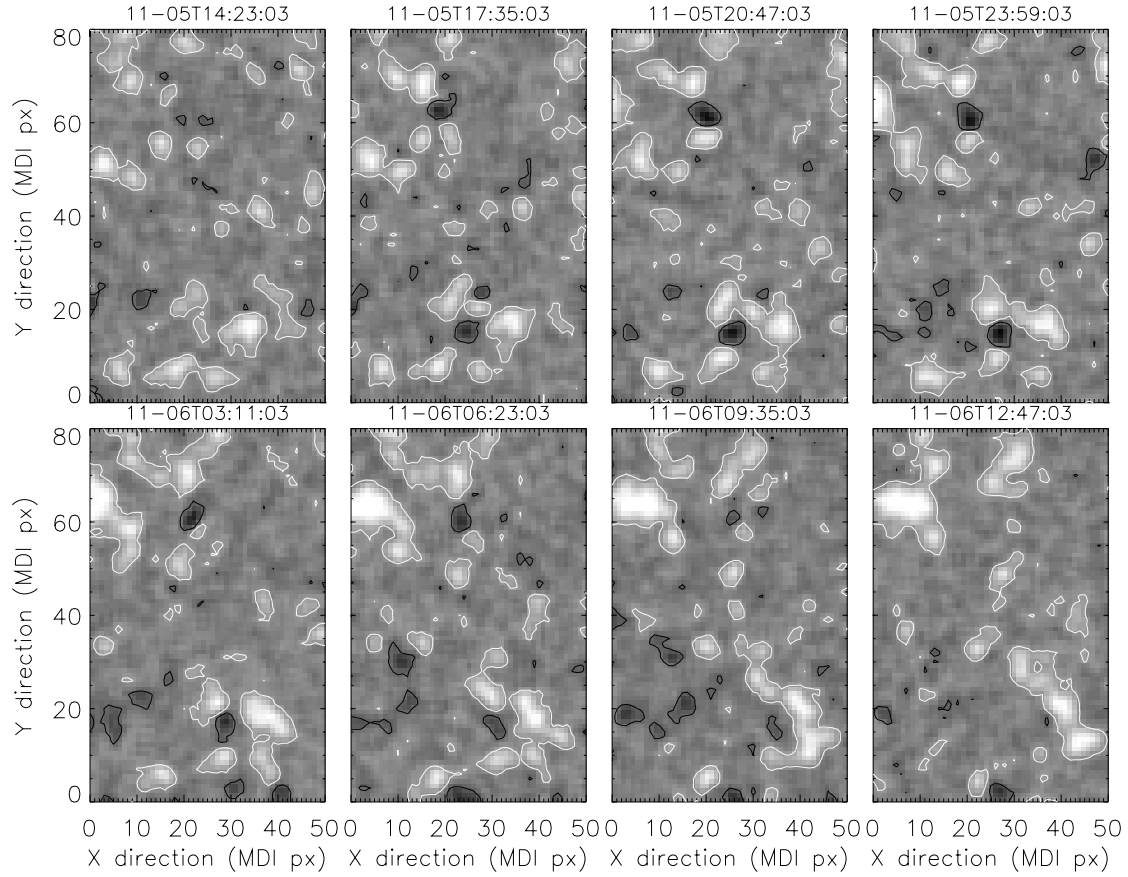


Figure 5.12: The evolution of the bright points seen in magnetograms observed by MDI. Contour levels: -10 G (black lines) and 10 G (white lines). The frames are by about 3 hours separated in time.

of less than 1 G (J. Harvey, 2002, private communication). There were also some mixed-polarity magnetic features in this CH (see also the left panel of Figure 5.15). The negative flux occupied about 25% of the total unsigned flux in the CH area.

From Figure 5.11, it can be found that the coronal hole is well defined as dark area in the Ne VIII line observed by SUMER and coronal emission observed by EIT, where the network structure is almost invisible inside the CH. However, the hole is not uniformly dark even seen in the intensity map obtained at the highest temperature of about 2 MK, which is the formation temperature of the Fe XV line ($284 \text{ \AA}$). The isolated bright structures inside the hole can be identified as bright points (BPs), as discussed in Section 2.1.5. Such bright points are associated spatially with regions where mixed-polarity magnetic features are present (Figure 5.11). Moreover, if seen in the cooler lines (Si II and C IV) and continuum, bright points appear as part of the network and are not easily distinguished from the normal part of the network due to the low contrast between their brightnesses. The intensity ratio between the bright point and the average normal network is estimated to be 0.9 for Si II and 1.3 for C IV. Therefore, by means of the Si II and continuum brightness alone, we are not able to distinguish the polarity of the field. Both polarities are present,

but they cannot be discriminated, since both types of fields appear to be associated with similar, bright plasma features when seen in this chromospheric line. The relative area occupied by such bright points is estimated to be about 14%. The intensity ratio between bright points and dark regions is on average about 3.3 for the Ne VIII line.

With the 96-minutes magnetic field data obtained by MDI, we can trace the evolution of the bright points inside this hole. In Figure 5.12, two of them, which can be found in the FOV of SUMER at $X=140''$, $Y=190''$ and $X=150''$, $Y=100''$, respectively, are plotted with a time interval of about 3h12m from 14:23 UTC on 5 November to 12:47 UTC on 6 November. In the FOV of MDI, they are located (in pixel coordinates) at $X=20$, $Y=60$ and $X=30$, $Y=15$ (1 MDI pixel equals about $2''$), respectively. Figure 5.12 clearly shows the formation and decay process of these two BPs. It can be inferred that their lifetimes are both about 20 hours, falling in the typical range for bright points. On the other hand, the

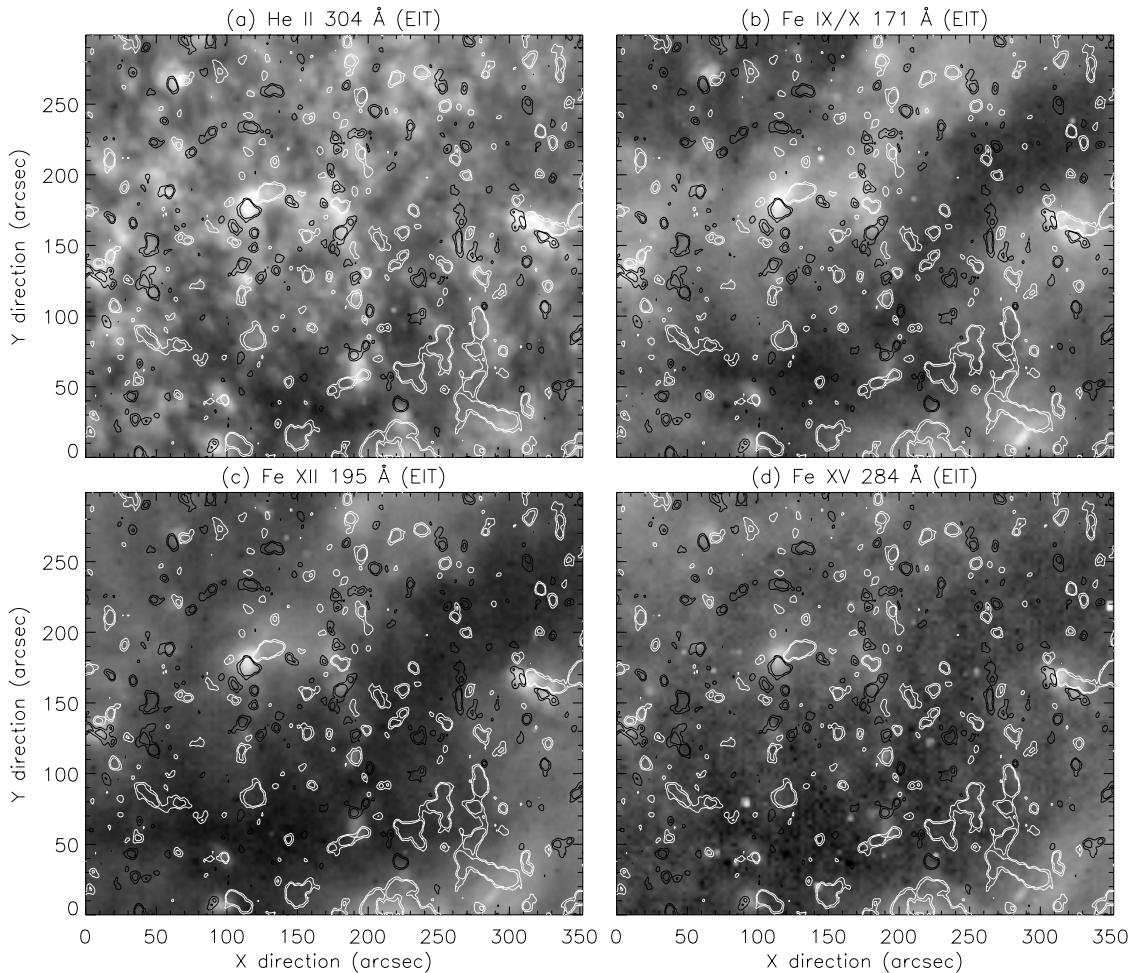


Figure 5.13: CH13 seen in the EIT 304 Å, 171 Å, 195 Å and 284 Å channels. Contour plots of magnetograms observed by NSO/Kitt Peak in the same area are presented in the same way as in Figure 5.11, but as obtained on 3 November.

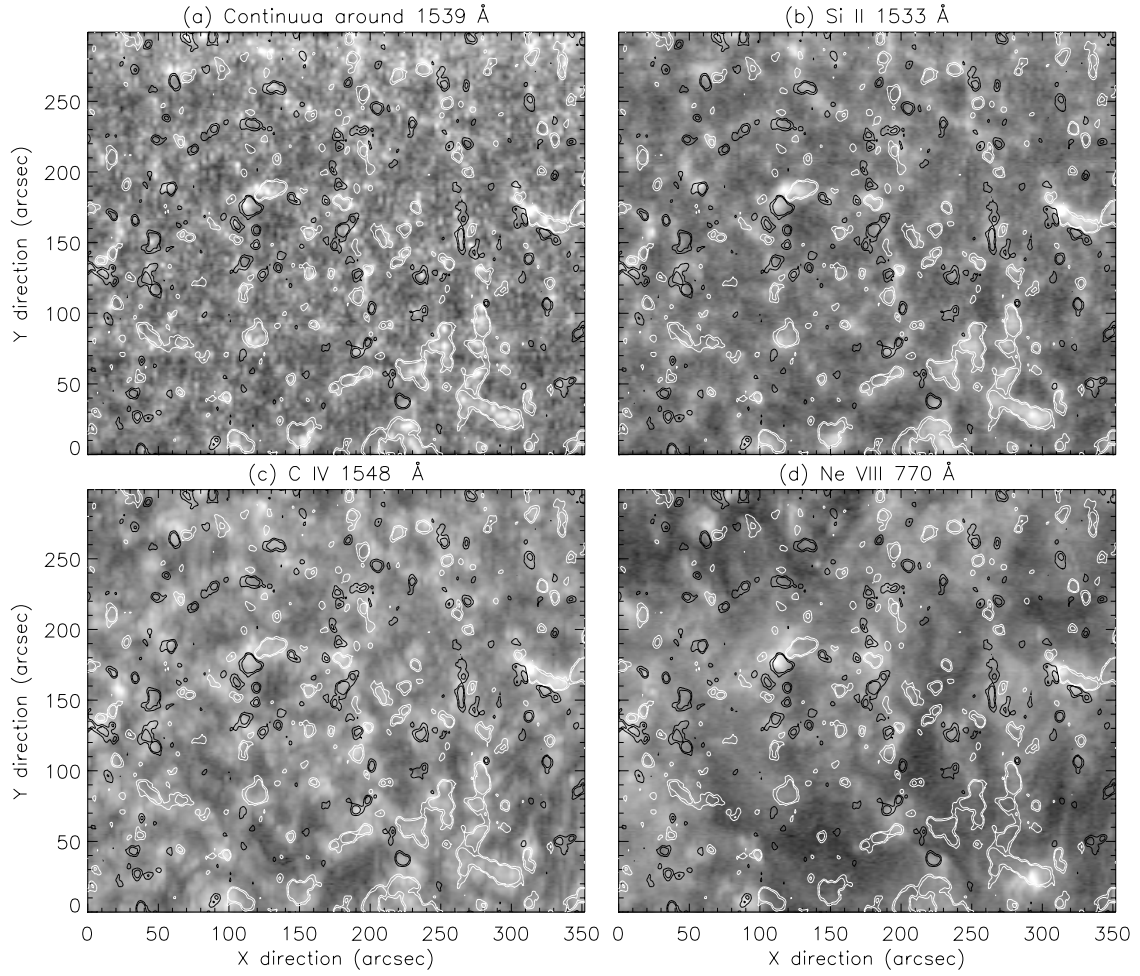


Figure 5.14: CH13 seen in the continuum, Si II (1533 Å), C IV (1548 Å) and Ne VIII (770 Å in 2nd order) lines, respectively. Contour plots of magnetograms observed by NSO/Kitt Peak in the same area are presented in the same way as in Figure 5.11, but as obtained on 3 November.

network structure was obviously also changing in shape during this period, but the main features remained unchanged.

Case 2: CH13 observed on 3 November, 1999

In a previous morphological study of equatorial coronal holes, Wilhelm *et al.* (2002) found that all ECHs were very clearly defined in the hot lines (Fe XII at 195 Å and Fe XV at 284 Å). But some of them, for example, CH13 observed on 3 November and 7 November, 1999, have much smaller areas if defined in the He II and Fe IX/X channels of EIT and the Ne VIII line observed by SUMER. We discuss here the hole obtained on 3 November, 1999 by inspection of the magnetic field data observed by NSO/KP and ultraviolet images obtained by EIT and SUMER.

In Figures 5.13 and 5.14, ultraviolet images and magnetic field contours for CH13 are plotted like in Figure 5.11, but the data were obtained on 3 November. The position of the hole on the full disk EIT image can be seen in Figure 5.10 (left panel), in which the criterion used to determine the boundary of this hole is the same as one for the 5 November hole.

A first impression may be that this hole displays a very different appearance when one compares EIT images in Figure 5.13 with SUMER images in Figure 5.14. However, if they are inspected more carefully, it can be found that the appearance of the hole is very similar as seen in the Ne VIII line and the EIT He II channel. This seems reasonable because they both contain the emission from the transition region.

The contour plots indicate that this hole is occupied predominantly by positive-polarity magnetic field, just like the 5 November hole region. The average net magnetic flux density (signed) is about 1.2 G in FOV of SUMER, much less than that observed on 5 November. There were many small mixed-polarity magnetic features in this CH area. The negative flux occupied about 40% of the total unsigned flux in the CH area, larger than that observed on 5 November.

We may suggest the reasons why the CH observed on 3 November is very different from that observed on 5 November as follows: First, the average magnetic flux density (signed) observed on 5 November is small. Second, there are many small bipolar magnetic structures present, leading to formation of many small bright structures that dominate the emission observed in lines with lower formation temperatures. But such bright structures eventually disappear with increasing temperature, and have no correspondent emission in the coronal channels of EIT.

5.6 Outflow at the coronal base

In this section, we present new observations concerning the source of the fast solar wind, by a direct comparison of the deduced velocity field with the measured photospheric magnetic field in an equatorial coronal hole. The motivation for this work is twofold. First, the previous studies were carried out in polar coronal holes. In this case the line-of-sight geometry is restricted, which may lead to an underestimation of the plasma velocity and greater uncertainty of the magnetic field measurements than at the solar equator. Second, only data from spectral measurements were used in the previous studies. We show here that an analysis combining SUMER spectroscopic data with magnetic field maps can give us a clearer physical picture of the plasma conditions and flow pattern prevailing at the coronal hole base.

In Figure 5.15, we plot the magnetogram together with contours of the Doppler shift of the Ne VIII line (left panel), and the Doppler-shift map together with contours of the intensity of the Ne VIII line (right panel). The velocity field is quite inhomogeneous over the whole field of view (see right panel). Therefore, we smoothed the deduced velocity map over six

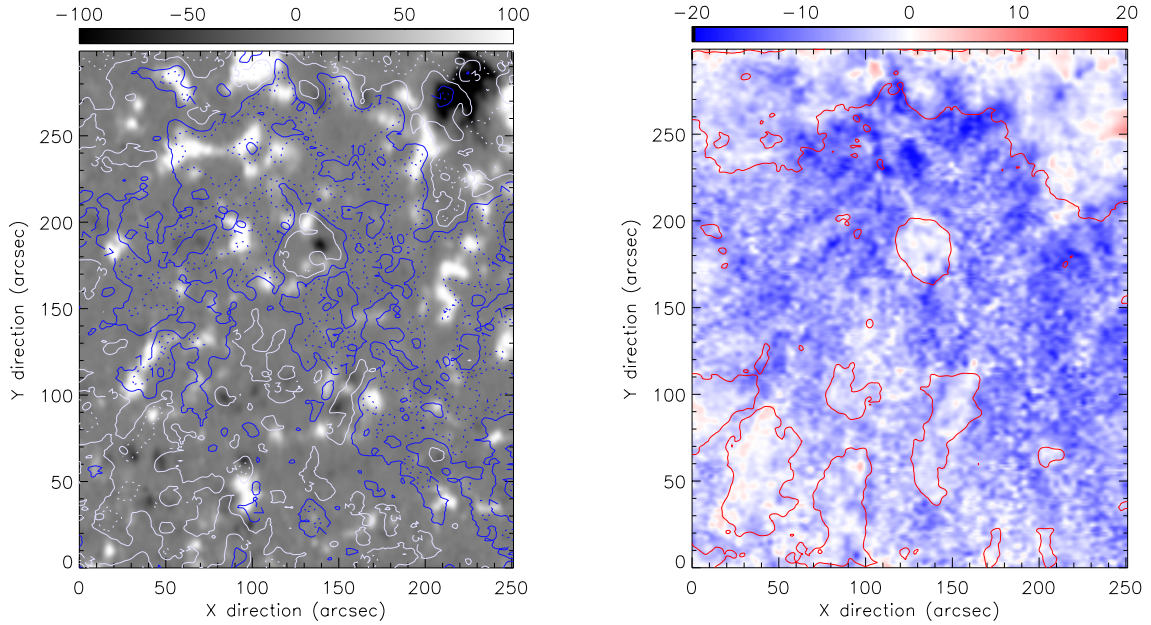


Figure 5.15: Magnetogram and Doppler shift of the Ne VIII line observed on 5 November, 1999. Left panel: Magnetogram (white: positive polarity; black: negative polarity; full scale: $\pm 100\text{G}$) with overlaid contour plots of Doppler shifts (km s^{-1} , with the cool line C I ($1542 \text{ \AA}$) as the reference line for the Ne VIII line (blue dotted: 10, blue solid: 7, white solid: 3, and white dotted: 0 km s^{-1}). Right panel: Doppler shifts (full scale: $\pm 20 \text{ km s}^{-1}$) of the Ne VIII line with overlaid contour plots of its intensity. Note that the upper part of the image is outside of the coronal hole.

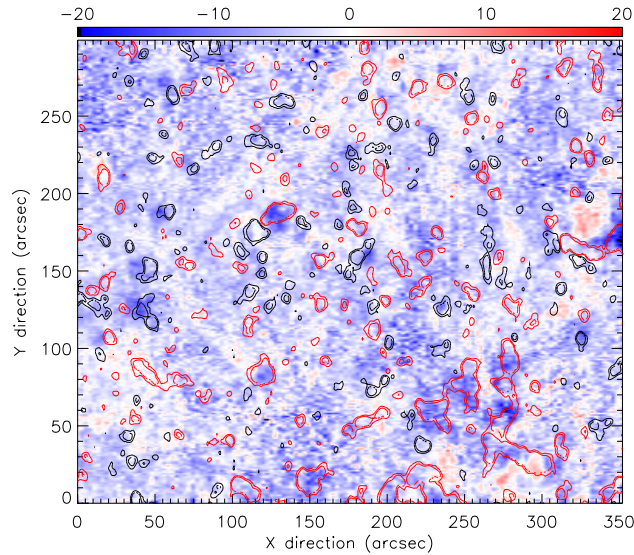


Figure 5.16: Doppler shifts (full scale: $\pm 20 \text{ km s}^{-1}$) of the Ne VIII line observed on 3 November, 1999 (CH13), overlaid by contour plots of the photospheric magnetic field observed by NSO/KP with contour levels of -20 G , -10 G , 10 G and 20 G (red: positive polarity; black: negative polarity).

adjacent pixels, before the contours of the Doppler shift were actually plotted, in order to show its distribution more clearly. The observed features of this CH can be divided into three groups: small active regions outside the hole (upper part of the image), and bright and dark regions inside the hole. In order to separate these three regions, we first deduced the frequency distribution of the intensity of the Ne VIII line across the whole image (spectroheliogram). This distribution can be considered to be roughly composed of two components: one contributed by dark regions and another with high intensities by bright regions inside and outside the hole. A threshold of the intensity was then determined, by help of which the boundaries of the three regions are marked by plotting contours (see right panel). The line is predominantly blue shifted in the observed area, and its average blue shift in relation to the C I line (1542.177 Å) is found to be 3 km s⁻¹ outside the hole, 4 km s⁻¹ in the bright region and 7.5 km s⁻¹ in the dark region.

The contours of the Doppler shift show a close relationship with the magnetic network structure inside the hole. Larger blue shifts with speed above 10 km s⁻¹ (blue dotted line) are mainly associated with regions where the magnetic field is concentrated in a single polarity (positive). As a simple statistical result, the net signed flux density of the underlying field is estimated to be about 11 G, 8 G and 4 G for blue shifts above 10 km s⁻¹, between 10 and 7 km s⁻¹ and between 7 and 3 km s⁻¹, respectively. On the other hand, smaller shifts with speeds below 3 km s⁻¹ (white solid line) occur mainly in the dispersed mixed-polarity network, which is also associated with the bright points seen in the Ne VIII line.

The observational results are in agreement with the notion that the fast solar wind is initially accelerated in open magnetic funnels. The measured outflow velocity in the central region of the network is comparable with the model prediction. Some modelling work has been done to study the physical properties of a coronal funnel. Coronal heating and acceleration was considered as being due to cyclotron damping of Alfvén waves and thrusting by Alfvén wave pressure (e.g., Marsch and Tu, 1997; Hackenberg *et al.*, 2000; Li, 2002; Vocks and Marsch, 2002). The resulting plasma properties depend largely on the geometry of the funnel and the details of the wave energy transport and dissipation process. According to these studies, the plasma can be driven to a flow speed of several tens of km s⁻¹, essentially by a large thermal pressure gradient at the base of the funnel. This pressure gradient results from the quick expansion of the magnetic flux tube, causing rapid heating with height through the high-frequency wave sweeping (resonant absorption).

In section 5.5, the difference between this coronal hole observed on 5 November and on 3 November has been discussed. We show here the Doppler shifts of the Ne VIII line of the 3 November hole area in Figure 5.16. Again, we confirm the results obtained from the 5 November data, i.e., the large blue shifts come from the locations of the unipolar magnetic network. In fact, if only the dark regions are considered, the blue shifts are similar for both datasets. However, large blue shifts seen in Figure 5.16 are more confined in the unipolar magnetic network. The possible reason is that the emission in the open funnels are partly contaminated by the low-lying bright structures. It is also possible that the expansion factor of the coronal funnel is smaller at the height where the Ne VIII line

is formed, if bipolar magnetic structures are present nearby.

Finally, the results deduced from the 5 November data can be used to estimate the possible contribution of bright points to the total outward mass flux, under the assumption that the deduced Doppler shift of the Ne VIII line represents the real outflow velocity of the Ne^{7+} ions which are markers of the proton flow. Then we have,

$$f_{tot} = f_{bp} + f_{dr} = N_{e,bp}v_{bp}A_{bp} + N_{e,dr}v_{dr}A_{dr}, \quad (5.1)$$

where f , v and A are the mass flux, deduced outflow velocity and flux tube area. $N_{e,bp}$ and $N_{e,dr}$ denote the electron density in bright points and dark regions. Their ratio can be estimated by the line intensity ratio, if we simply assume the emission volume (having the same bottom area) is the same for a given line in bright points and dark regions of the hole. Thus, we find that

$$\frac{N_{e,bp}}{N_{e,dr}} \approx \sqrt{\frac{I_{bp}}{I_{dr}}} \approx 1.8. \quad (5.2)$$

The mass flux contributed by bright points to the total outflow within the measured hole area, f_{bp}/f_{tot} , is then estimated to be about 11%. It should be mentioned that we have subtracted a value of 1.5 km s^{-1} from the deduced velocity due to the average red shift of the C I line itself. This estimate of the mass flux by measuring the Doppler shift is consistent with the previous estimate obtained for polar plumes by Wang (1994), and suggests that the portion of the mass flux contributed by bright points to the fast solar wind is at most comparable to the areal ratio of the BPs to the CH and thus negligible.

5.7 Coronal holes seen in the O VI and H I $L\beta$ lines

In this section, we further report some interesting results observed in the SUMER spectral window around $1030 \text{ \AA}$. This spectral window includes very strong emission lines such as the H I $L\beta$ ($1025 \text{ \AA}$), two C II lines ($1036 \text{ \AA}$ and $1037 \text{ \AA}$) and two O VI lines ($1032 \text{ \AA}$ and $1038 \text{ \AA}$). They can thus be used to study the detailed morphological properties of the coronal hole, by deducing the line profile parameters reliably in every spatial pixel.

5.7.1 Analysis of the H I $L\beta$ line

The general properties in Doppler shifts of various lines have been discussed in Section 5.4.1, in which it has been found that the differences between the very blue and very red shifts of the H I L-11 and He I lines are apparently larger than those of the O I and Si II lines. Although these lines reveal effects by opacity, as can be seen by checking their averaged profiles, they show less opacity and exhibit no self-reversal. For an individual spatial pixel, they are found to have a near-Gaussian shape most of the time. Therefore, the Doppler shift deduced from them can be considered statistically reliable. Unlike the H I L-11, the line profile of the H I $L\beta$ appears to have a non-Gaussian shape with a much

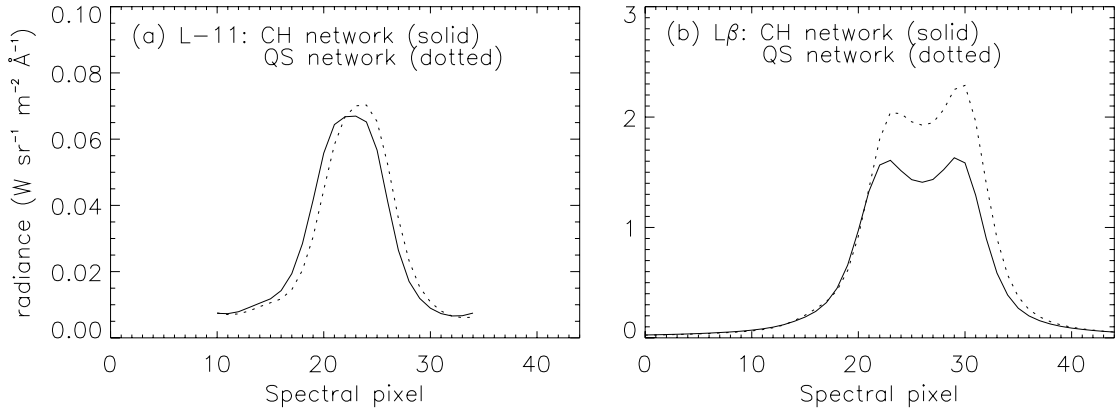


Figure 5.17: Line profiles of the $H\text{ I }L-11$ and $H\text{ I }L\beta$ lines in CH and QS regions. (a) $L-11$; (b) $L\beta$. The data were obtained in CH6 and QS1.

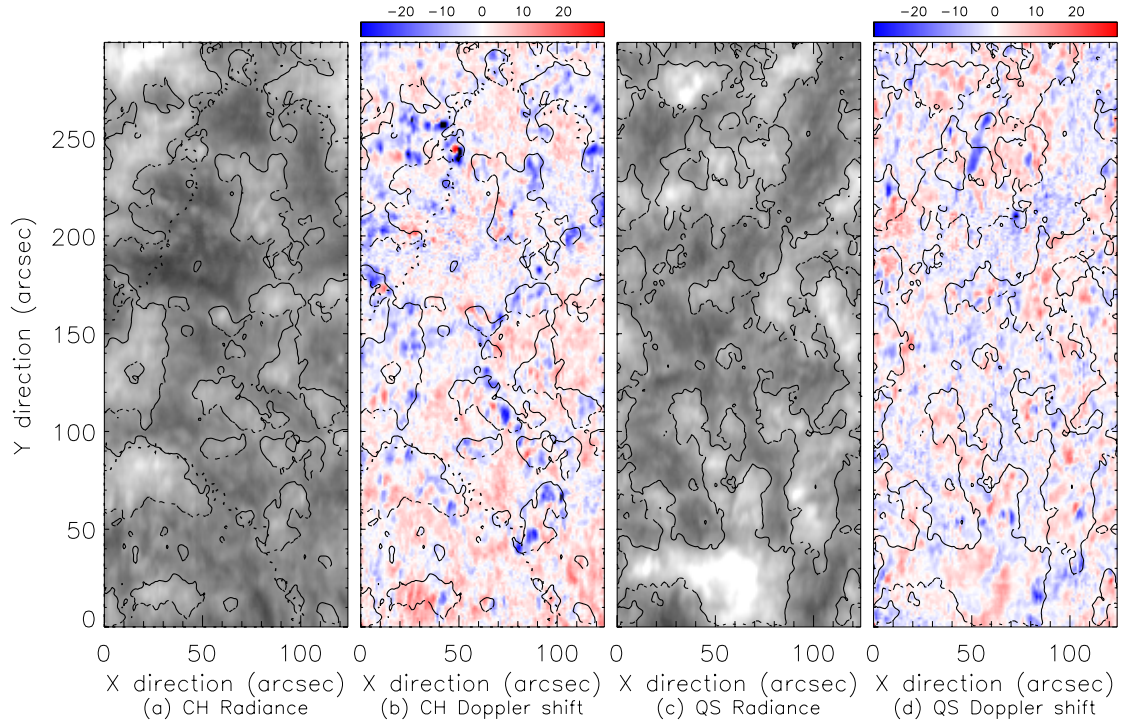


Figure 5.18: Images in intensity and Doppler shift of the $H\text{ I }L\beta$ line in CH and QS regions. (a) Intensity in CH (b) Doppler shift in CH; (c) Intensity in QS; (d) Doppler shift in QS. Overlaid contour plots indicate the network determined by the continuum observed simultaneously. Both regions were observed on 11 March, 1999 (CH6 and QS1).

deeper self-absorption reversal around the line center (see Figure 5.17). In Figure 5.17, the profiles of these two lines, which are averaged over the network region, are plotted for both the coronal hole and quiet Sun. It can be found that $L\beta$ has a slight red asymmetry (with the red peak higher than the blue one) for the quiet Sun, but the asymmetry is less prominent for the coronal hole.

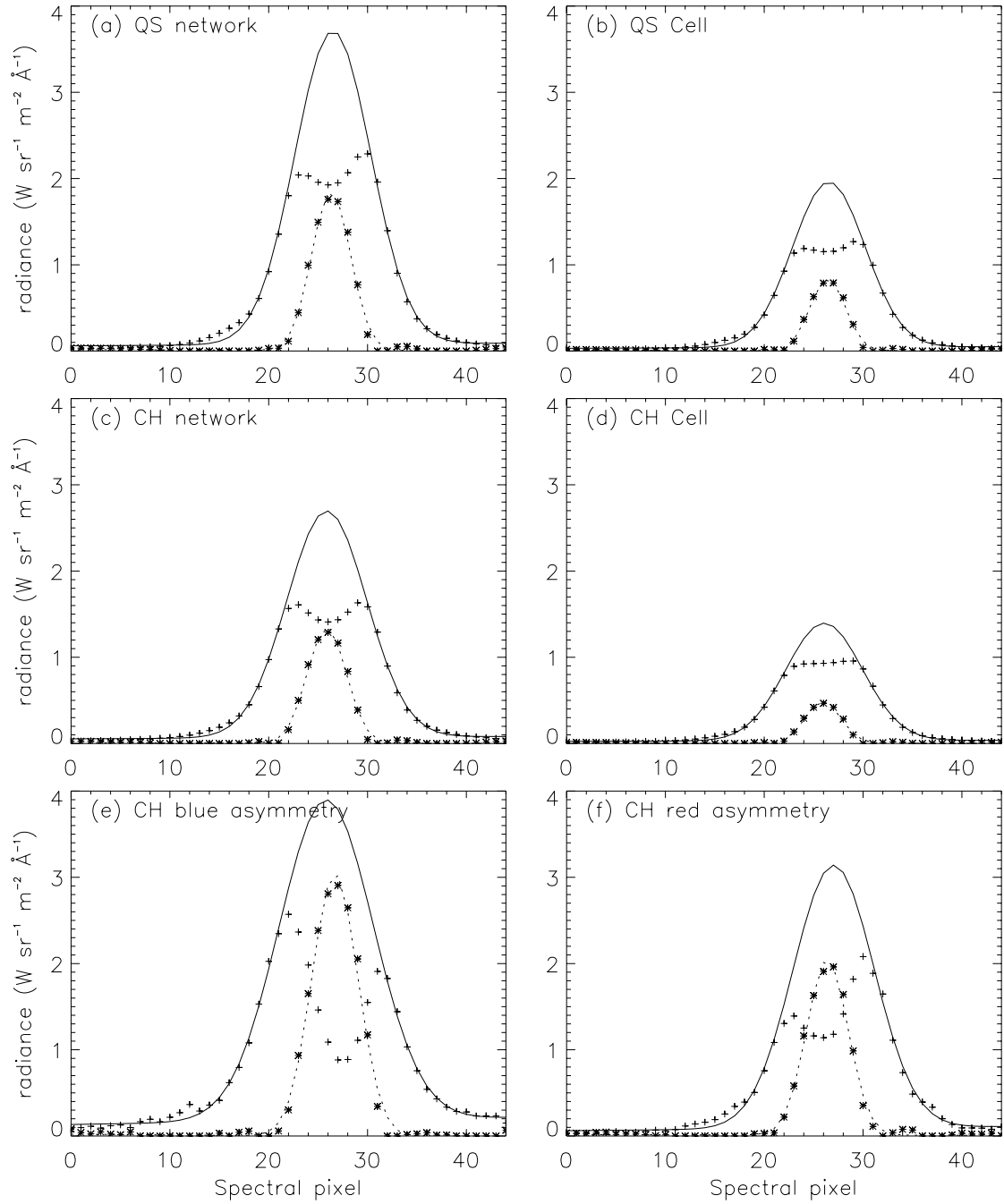


Figure 5.19: Line profiles of the H I L β in CH and QS regions. (a) Averaged in QS network; (b) Averaged in QS cell; (c) Averaged in CH network; (d) Averaged in CH cell; (e) Blue asymmetry in CH network; and (f) Red asymmetry in CH network. The symbol “+” denotes the observed profiles, and solid lines indicate the profiles fitted from the line wings. The deduced profiles of self-reversal are plotted as symbol “*”, and the dotted lines represents such profiles fitted by Gaussian. Both regions were observed on 11 March, 1999 (CH6 and QS1).

It is not straightforward to derive the line shift of L β due to its non-Gaussian shape. In this study, we first define the central position of the line, as the position of the 50% value of integrated intensity over the whole line (see discussion in 4.4), and then deduce its Dopplergrams, which are shown in Figure 5.18. From Figure 5.18, our data obtained in coronal holes show a striking feature: there are many very blue patches in the Dopplergram of this line (often with a shift of more than 20 km s⁻¹ relative to the average line position), while in the quiet Sun this feature is less prominent. These blue patches are located mostly between the boundary of the network and the cell interior. A question that should be naturally asked is whether the very large blue shifts represent real outflow of the plasma.

Inspection of the detailed line profiles from the very blue to very red regions shows that a strong asymmetry of the line profile can be always found. A large blue shift always corresponds to a blue asymmetry (skewed towards the blue side), while a large red shift arises from a red asymmetry. In Figure 5.19 (e) and (f), we show two examples with respect to such situations (symbol “+”).

As was mentioned in Section 5.2, theoretical models suggest that the reversals (core) of the L β line are formed in the upper chromosphere and low transition region, while the wings in the lower chromosphere. Because the chromosphere is highly dynamic, it should not be surprising that there exist bulk motions of the plasma where this line is formed, and that such motions may have a gradient in velocity over the line formation height. In this context, an asymmetry of the Lyman lines of hydrogen would be related to large-scale differential motions of the atmosphere (Gouttebroze *et al.*, 1978; Barsi *et al.*, 1979; Schmieder *et al.*, 1998). For example, Schmieder *et al.* (1998) studied the filament observations with SUMER and CDS. In their work, they found the relationship between the Doppler shift of the He I line and the asymmetry of the H I L-5 line, which are observed by CDS in filaments. The results reveal that in the region of He I blue shifts, the L-5 has red asymmetry, and vice versa. Moreover, two methods were used to estimate the line position of Lyman lines: first by averaging the positions of two peaks, and second by comparing the position of the individual line relative to the center of the profile averaged over the whole observed area. However, they pointed out that a quantitative understanding of the shifts of the Lyman lines in an optically thick plasma can only be achieved by studying the non-LTE (local thermodynamic equilibrium) formation of the line core, where so called partial-redistribution (PRD) scattering physics must be used.

However, previous studies only considered the relative motions between different height of the formation layer. If there is a large-scale motion at the height where the line wings are formed, a shift of the global line profile would also exist. In this study, we will derive respectively the shifts of the global profile and the reversal core by simply using Gaussian fits for an approximate approach. In the fitting procedure, we first set a weight of zero to the central part of the line profile (reversal part), so that the line parameters are essentially determined by the line wings outside of the reversal part. After getting the fitted profile (solid lines in Figure 5.19), which is defined here as the global profile, the reversal part is derived by subtracting the observed profile from the fitted one (dotted lines in

Figure 5.19). The resulting line fit parameters are listed in Table 5.1.

Table 5.1: Derived line parameters for the H I $L\beta$ line

Region	Component	Peak Intensity ($\text{W sr}^{-1}\text{m}^{-2}\text{\AA}^{-1}$)	FWHM (pixel)	Relative shift* (km s^{-1})
QS1 network	global	3.6	9.1	-0.6
	reversal	1.9	4.6	-2.9
QS1 cell	global	1.9	8.7	0
	reversal	0.8	4.2	-1.5
CH6 network	global	2.6	9.5	-2.5
	reversal	1.3	4.6	-2.4
CH6 cell	global	1.4	9.1	0
	reversal	0.5	4.2	-1.6
CH6 blue asymmetry	global	3.7	11.2	-3.2
	reversal	3.0	5.5	8.1
CH6 red asymmetry	global	3.0	9.6	12.3
	reversal	2.0	4.9	4.2

Remark “*”: line shift relative to the cell average of the global profile for each region. Note that a positive shift is red.

From Figure 5.19, it is found that both line wings and reversal core can be fitted approximately by a Gaussian shape, in particular, for the averaged profiles. Deviations can be found mainly in their blue wing possibly due to a blend of the He II line at 1025.25 $\text{\AA}$. Some results have been compiled in Table 5.1.

For the averaged profile, a ratio of the peak intensity between the derived global profile and the reversal ranges from 0.35 to 0.55, while that of the line width (FWHM) is generally around 2. Thus the ratio of the integrated intensity between the two profiles is estimated to be around 0.15–0.25. Their line shift is found to be slightly blue relative to the average value of the global profile obtained in cell regions. It is very interesting that the global profile has a blue shift of 2.5 km s^{-1} in the CH network, while in the QS network this value is only 0.6 km s^{-1} . Considering the network may be the source of the nascent fast solar wind, this result is indeed expected by us. However, this conclusion should be confirmed by analyzing more data in the future.

For the strongly asymmetric profiles, a larger departure of the line position between the derived global and reversal profile can be found, with a value of about $\pm 10 \text{ km s}^{-1}$. Compared with the average line position of the global profile in the cell region, the one deduced from the asymmetric profiles are found to be blue shifted by 3.2 km s^{-1} for the blue asymmetry and red shifted by 12.3 km s^{-1} for the red asymmetry, while the values of the reversal profile are 8.1 km s^{-1} and 4.2 km s^{-1} , respectively, and both are red shifts. On the other hand, the blue asymmetry results in a blue shift of about 27 km s^{-1} in the

old Dopplergram as shown in Figure 5.18, while the red asymmetry corresponds to 24 km s^{-1} red shift. These previous values are much larger than those deduced by using the current method. We may conclude that the very blue patches, for the CH data shown in Figure 5.18, indicate strong blue asymmetries of the $L\beta$ line, but not real line shifts.

However, the reasons why more blue asymmetries are observed in CHs are not clear. They are possibly associated with the concentration of the open magnetic field in the CH network. More observational and theoretical studies seem to be necessary in the future. Hydrogen is the main component of the solar wind, and its behaviour at the base of CHs is still unclear.

Using the current method, we deduce again the Dopplergrams determined by the line wings of the $L\beta$. The very blue patches disappear, and the range of line shifts is now comparable with those of the L-11 and He I lines. They are used in the following discussion, together with other lines in the same spectral window and the MDI magnetograms.

5.7.2 Loop-like structures seen in the O VI line

In order to analyze more carefully the relationship between the transition region structures and the underlying chromosphere, we continue to study the morphology of the coronal holes observed in the spectral window around $1030 \text{ \AA}$. The images in intensity and Doppler shift, including the H I $L\beta$, C II and O VI lines, are plotted in Figures 5.20 and 5.21, which were obtained in CH13 and CH6, respectively. Meanwhile, the photospheric magnetic field observed by MDI at the same time is also given in each figure. All figures are overlaid by contours of the continuum emission observed simultaneously in order to outline the network region ($\approx 33\%$ of the CH area is occupied by the network). The spectroheliograms shown in Figures 5.20 and 5.21 have been processed with an increased contrast of the intensity in order to display the loops more clearly. The Doppler shifts of the C II and O VI lines have been calibrated relative to the chromospheric lines of O I, while the $L\beta$ line is referenced to its average position.

From the upper panels of Figures 5.20 and 5.21, we confirm again that the network is dominated by loop-like structures in the transition region, as found in the quiet Sun (Warren and Winebarger, 2000; Feldman *et al.*, 2001). Such structures are very clear when seen in the emission of the Li-like ion O^{5+} . However, they can also be identified in other lines, such as C II and H I $L\beta$, but with lower contrast in brightness compared with the surrounding area. In addition, all the structures seen in these lines are very different from those seen in the continuum, which appears as small bright patches outlining the cell boundaries.

Comparing the network structure outlined by continuum emission with the magnetogram, one can readily find that the network seen in ultraviolet images spatially coincides with the locations, where the photospheric magnetic field flux is concentrated. The network in the two holes shown in Figures 5.20 and 5.21 was dominated by the positive polarity (red color) of the magnetic field. There were also some mixed-polarity features present. As

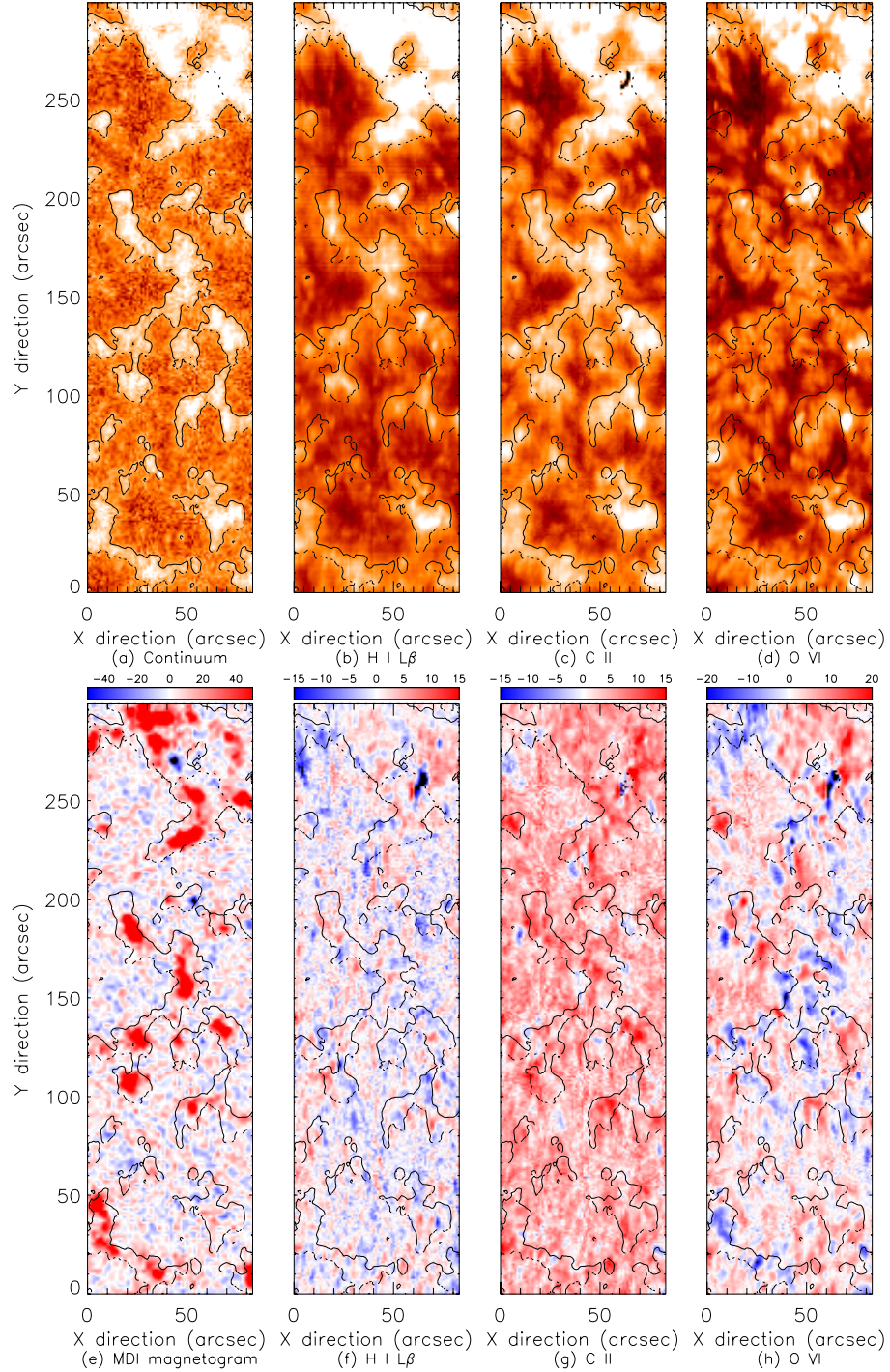


Figure 5.20: CH images in intensity and Doppler shift in the spectral window 1020 Å to 1040 Å. Upper panels: Intensity of (a) continuum; (b) H I L β (1025 Å); (c) C II (1037 Å); and (d) O VI (1031 Å). Bottom panels: (e) MDI magnetogram; and Doppler shift of (f) H I L β , (g) C II and (h) O (VI). The CH was observed on 8 November, 1999 (CH13).

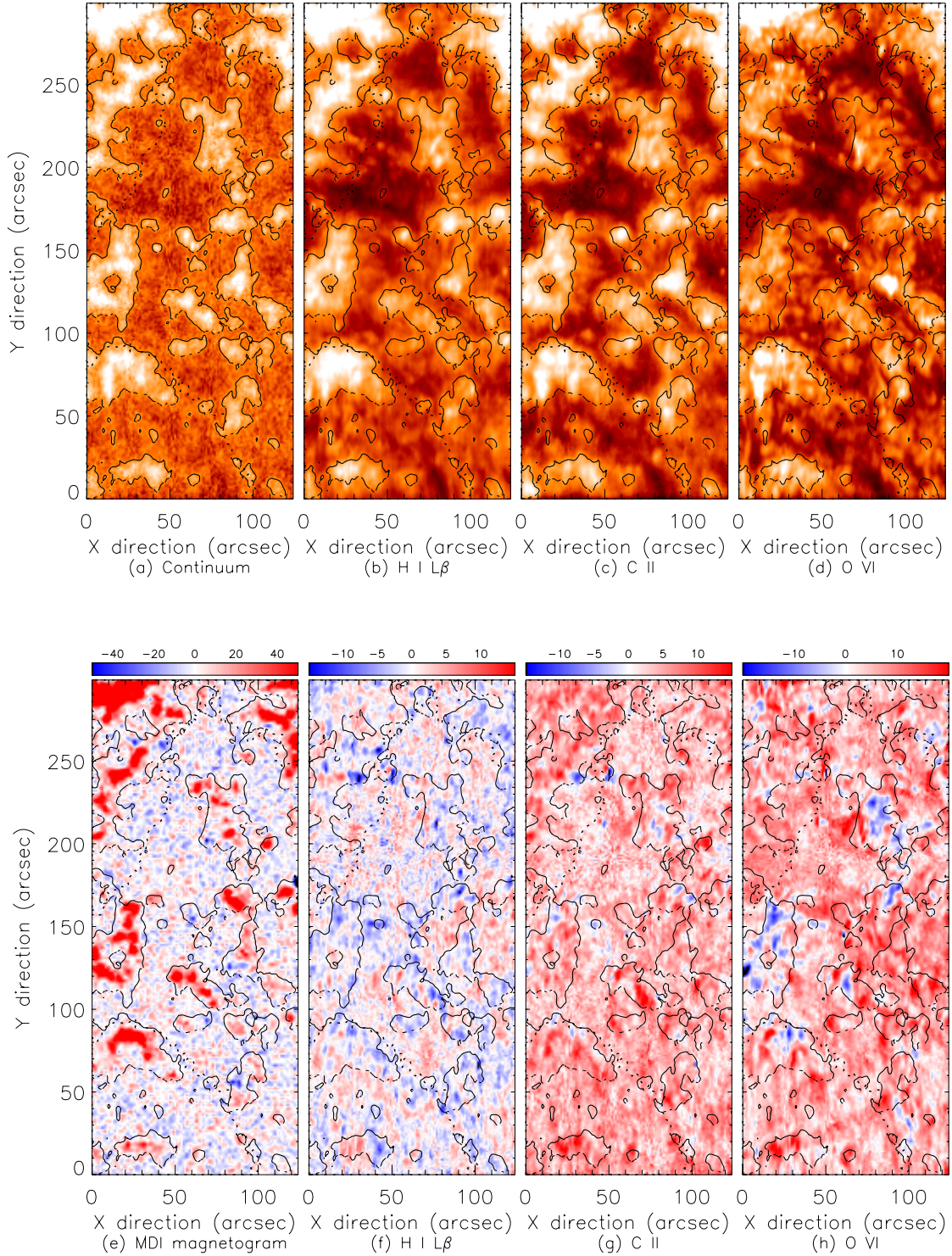


Figure 5.21: CH images in intensity and Doppler shift in the spectral window 1020 Å to 1040 Å. Upper panels: Intensity of (a) continuum; (b) H I L β (1025 Å); (c) C II (1037 Å); and (d) O VI (1031 Å). Bottom panels: (e) MDI magnetogram; and Doppler shift of (f) H I L β , (g) C II and (h) O VI. The CH was observed on 11 March, 1999 (CH6).

was discussed in the section 5.5, mixed-polarity magnetic features usually correspond to locations with bright points seen in transition region and coronal lines. In these figures, they indeed appear as brighter cores seen in the O VI line. Moreover, the locations of these bright cores spatially coincide with those seen in the continuum and the H I $L\beta$ and C II lines. This implies that such an enhanced network emission is very likely caused by the interaction of the closed magnetic loops with the unipolar fields in the coronal hole.

For most bright network structures in the hole, however, one finds that there is no visible stronger magnetic flux with the opposite polarity nearby. By inspection of the spectroheliograms, many loop-like structures seem to have a footpoint rooted in the intranetwork

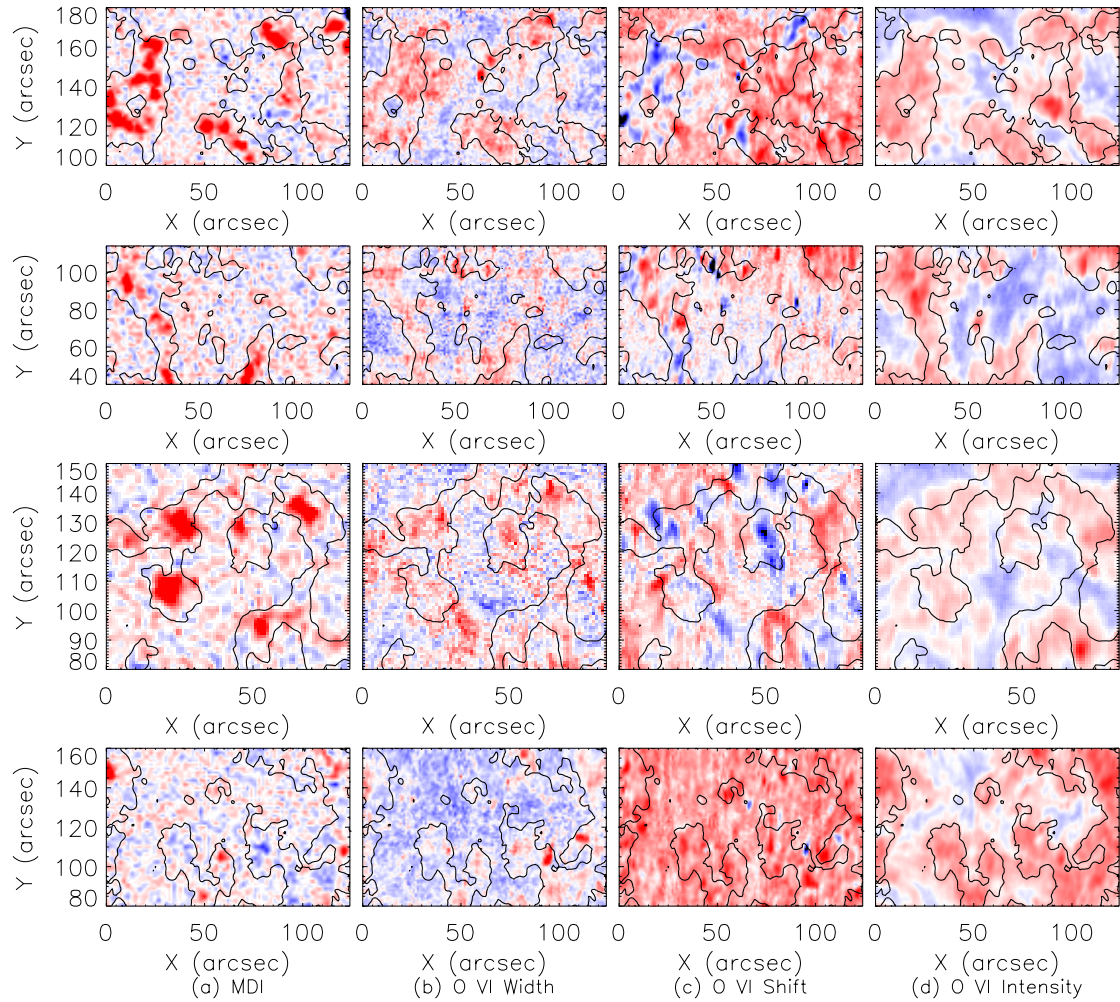


Figure 5.22: Fine structures in CH and QS regions seen in the O VI line. (a) MDI magnetogram; (b) Line width; (c) Doppler shift; and (d) Intensity. From top to bottom: CH6 on 11 March, 1999; CH11 on 19 October, 1999; CH13 on 8 November, 1999; and QS1 on 11 March, 1999. The positive magnetic field is plotted in red color, while the negative in blue. For the intensity and line width, red color represents larger values. Note that the images are cuts from the SUMER full images obtained by slit scanning.

and extend into the cell interiors. Some of them appear as clusters with a star-like shape (see further in Figure 5.22). Moreover, their visible footpoints apparently correspond to the bright patches seen in chromospheric emissions. This result seems to be different from that observed in the quiet Sun by Feldman *et al.* (2001), as was mentioned above. They found that most of the loops straddle the chromospheric network and have no visible connections with bright patches seen in chromospheric lines. The possible interpretation is that such a difference may be due to the different geometry of the magnetic field in the two regions. Unfortunately, for the data obtained from the QS region in our study, we are unable to distinguish the footpoints of the loop-like structures due to the low contrast in intensity, though such structures are also present. However, more data need to be analyzed to confirm both results.

In the QS region, Warren and Winebarger (2000) also found that most of such structures had no connection with the intranetwork magnetic field having mixed polarities as found by Dowdy (1993). Hence, our inspection of heliograms of the O VI line, by co-aligning the MDI magnetogram with the SUMER image, confirms again their results. As a possible explanation, they suggested that these fine features may be related to small loops connected to the internetwork (cell interiors) magnetic field or highly dynamic structures, such as spicules, which are not really loops. As was pointed out by them, however, the visible structures observed above the limb are dominated by EUV spicules, which appear nearly straight, but not as loops (also see, Wilhelm, 2000). The possible solution of this difficulty may be to consider that both structures (loops and spicules) are present, both anchored in the network. On the one hand, the small loops are less extended in height (less than $10''$) and can not be distinguished if observed above the limb due to considerable overlapping of such loops (Warren and Winebarger, 2000). On the other hand, EUV spicules extend much higher (above $10''$), but can not readily be distinguished from the loops on the disk, due to either their projection effect or their overlapping with low-lying magnetic structures. As we will discuss in the following, such a situation may really be true in the coronal holes.

According to previous empirical studies of the network (Dowdy *et al.*, 1986; Dowdy, 1993), it is believed to consist of both low-lying loops (less than 10^4 km) and large-scale magnetic funnels being open into the corona. Very recently, a model sketch of the transition region structure has been suggested by Peter (2000, 2001), who studied several components of the transition region lines observed by SUMER in the quiet Sun, and found that a presence of the second broader spectral component is a general feature of transition region lines. This second component, with a broader and weaker profile, is suggested to originate from the large loops in the quiet Sun or open funnels in the coronal hole, while the core (first component) is from the smaller loops, and has a narrower line width and stronger intensity. For the O VI line, he found this second component contributed about 14% to the total line emission and was more redshifted by 3 km s^{-1} with respect to the second one.

Let us now return to our observations. In Figure 5.22, we show segments of the full images obtained from observations of CH6 on 11 March, 1999; CH11 on 19 October, 1999;

CH13 on 8 November, 1999; and QS1 on 11 March, 1999. The MDI magnetograms and the intensity, line width and Doppler shift of the O VI line are plotted. From the Dopplergrams, one finds that fine structures with large blue shifts are also present. Some of these structures appear as loop-like and spatially coincide with the locations of the loop-like ones seen in the intensity image, while some appear as blue points with less extension and the locations corresponding to the footpoints of the intensity loop-like structures. The blue structures usually have a broader line width. Like the Ne VIII line discussed in section 5.6, they tend to be present above the concentration of a large unipolar magnetic field without obvious bipolar magnetic features nearby.

On the other hand, structures with a red Doppler shift are always present in the coronal hole seen in this line (actually, the average Doppler shift is still red in this line). Red shifts come from both the cell regions and the network, with a mixed polarity of the magnetic field being present when seen in the MDI magnetogram. Such red-shift structures usually have a low intensity and small line widths, if located in the cell interiors, and have intermediate line widths, if located in the network.

Hence, it can be concluded that blue shifts can be also found in transition region lines (although on average the lines are red shifted) and tend to occur in the network where a large unipolar magnetic field is concentrated. A reasonable inference may thus be made, i.e., that such blue shifts occur mainly in areal sections of the magnetic network, in which the magnetic field is really open to the corona, and are also time dependent as will be discussed in the next section. This conclusion may answer the question why a different blue-red-shift ratio of the C IV line is obtained in different observations, a result which has been discussed in the section 5.4.1.

Furthermore, by inspection of the Dopplergrams of the H I $L\beta$, C II and O VI lines, one finds that at those locations where blue shifts are seen in the O VI line blue shifts are usually also seen in the $L\beta$ line. For the C II line, blue shifts appear seldom in Dopplergrams, but if visible, they are of smaller magnitude, the corresponding regions of smaller spatial size.

Finally, the Dopplergrams observed in the QS region reveal very different properties from those of the CH regions (see bottom panels in Fig. 5.22). It seems that in the CH regions there is no similar relationship between the structures seen in Dopplergrams and intensity images. However, the line width shows a positive correlation with the intensity, i.e., large line widths are mainly found in the network.

If we conjecture the loop-like structures seen in CH, with a broader line width and blue shift, correspond to a magnetic flux tube that is open to the corona, and that those with a narrower line width and a red shift to cooler magnetic loops that are closed locally. These results are in agreement with those obtained for the quiet Sun by Peter (2000, 2001). Moreover, if it is true that such structures with a blue shift are open to the corona, it is possible that some of them will appear as visible EUV spicules or macrospicules if seen above the limb. According to the SUMER observations by Budnik *et al.* (1998), EUV spicules have a width of $10'' - 20''$ when seen in transition region lines, and disappear at a temperature above 0.5 MK, in agreement with observations of the fine structures on the

disk as found in our study. Spicules and macrospicules have also different orientations observed in CH regions (see the figures in Wilhelm, 2000; Wilhelm *et al.*, 2000); thus they may have projection on the disk with different lengths.

5.8 Rapid time variations

During the solar minimum in October 1996, rapid-time-cadence observations of the equatorial coronal hole (CH3) were carried out with exposure times of 20 s (DS1) and 60 s (DS2) in the wavelength range between 760 Å and 795 Å. Four spectral windows, centred on the N IV (765 Å), Ne VIII (770 Å), S V (786 Å) and O IV (790 Å) lines, were telemetred. During these observations, no solar rotation compensation was applied and the slit was kept in a fixed position (no raster step), and therefore SUMER “scanned” the Sun with the speed of the solar rotation (about 10'' per hour) along the West-East direction. Slit 4 and 2, both with widths of 1'', were used in DS1 and DS2, respectively. Hence, SUMER needed about 6 min (18 exposures for DS1 and 6 exposures for DS2) to scan a 2''-wide region in West-East direction on the disk. The durations in time of these observations are 2h55m for DS1 and 10h32m for DS2. Therefore, the corresponding effective areas on the disk covered by SUMER during the observations are about $27'' \times 105''$ (DS1) and $97'' \times 300''$ (DS2), respectively. The intensity and Doppler shift along the slit (Y-direction) versus time (X-direction) are shown in Figures 5.24 and 5.25. Note that only a part of each dataset is plotted (DS1: whole slit and the first 84 min; DS2: 170 px – 295 px along the

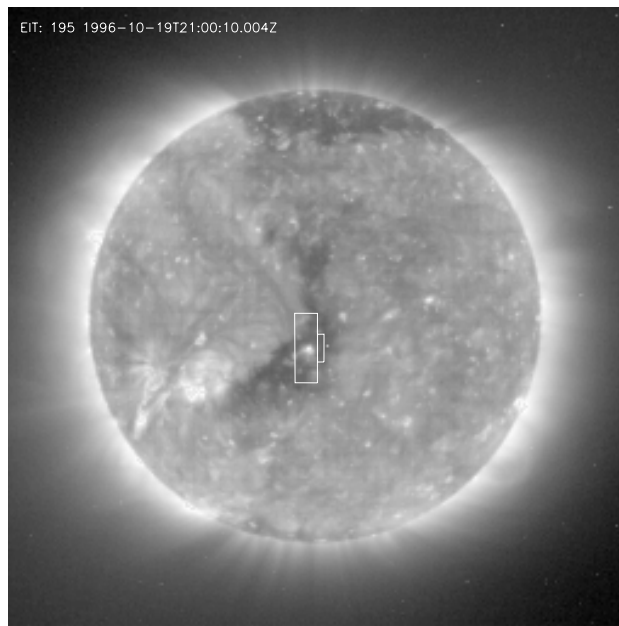


Figure 5.23: An equatorial coronal hole as seen in the 195 Å channel of EIT observed on 19 October, 1996, with the field-of-view of SUMER being superimposed as a white box.

slit and the first 200 min), which was obtained from a deep region in the hole (see Figure 5.23: left half in the right frame window for DS1; upper-right corner in left frame window for DS2).

As will be discussed in the next chapter, the transition region lines such as N IV and O IV have on average a red shift of around 5 km s^{-1} , while the Ne VIII has a blue shift of around 5 km s^{-1} . We can thus make a wavelength calibration with respect to these values for each line. The data gap indicated by white vertical lines in Figure 5.25 is due to a long exposure time of 200 s used by SUMER during these periods, which are not considered here.

In the quiet Sun, such observations have been made with SUMER to study the oscillatory natures of the solar chromosphere and transition region (see, e.g., Carlsson *et al.*, 1997; Judge *et al.*, 1997; Curdt and Heinzel, 1998; Wikstøl *et al.*, 2000; Hansteen *et al.*, 2000). In this study, we make no attempt to do such work quantitatively. The main purpose here is to give a qualitative description of the rapid variations, in both intensity and line shift, as obtained from these high-time-cadence observations in equatorial coronal holes.

Figures 5.24 and 5.25 show that the two transition region lines have a very similar appearance when seen in both intensity and line shift images, which should be not surprising because they have a similar formation temperature. The intensity images shown in these two figures (left panel) indicate the selected hole area was mainly occupied by the network. At a first sight one can find that the two transition region lines display a very dynamic behaviours, while the Ne VIII line appears much smoother and less structured, except for some bright structures. Both temporal and spatial variations in brightness can be clearly seen for the N IV and O IV lines. The temporal variation is here referred to a change of brightness within a period of 6 min. The visible bright structure has a typical size of $5''$ to $20''$ along the slit, which is indeed falling in the range of the network width.

A clearer pattern of such a dynamic behaviour can be seen in the line shift maps (right panels in Figure 5.24 and Figure 5.25). All three lines analyzed here show a very structured pattern. The two transition region lines have generally red shifts, but blue patches with a small area can always be found, particularly for DS1, which has an exposure time of 20 s. On the other hand, the Ne VIII line is on average blue shifted, but the Dopplergram is still highly structured. The areas with larger blue shifts appear also as blue patches. Such blue patches seen in the Ne VIII line spatially coincide with those seen in the N IV and O IV lines, but have apparently a larger areal extension. In addition, their size along the slit and the location of the structures seen in line shift usually correspond to similar fractures seen in intensity maps. Their durations in time are different. A rapid variation can be recognized to last only for one exposure time (20 s for DS1 and 60 s for DS2).

The main result from this study that should be emphasized here is that the transition region and coronal base are not “quiet” but very dynamic when observed at high time resolution. Although the Ne VIII line reveals on average a blue shift in the hole, the velocity field of the flow indicated by this measurement shows sizable variations, perhaps indicating very unstable properties of the nascent solar wind when detected at this height. This result is

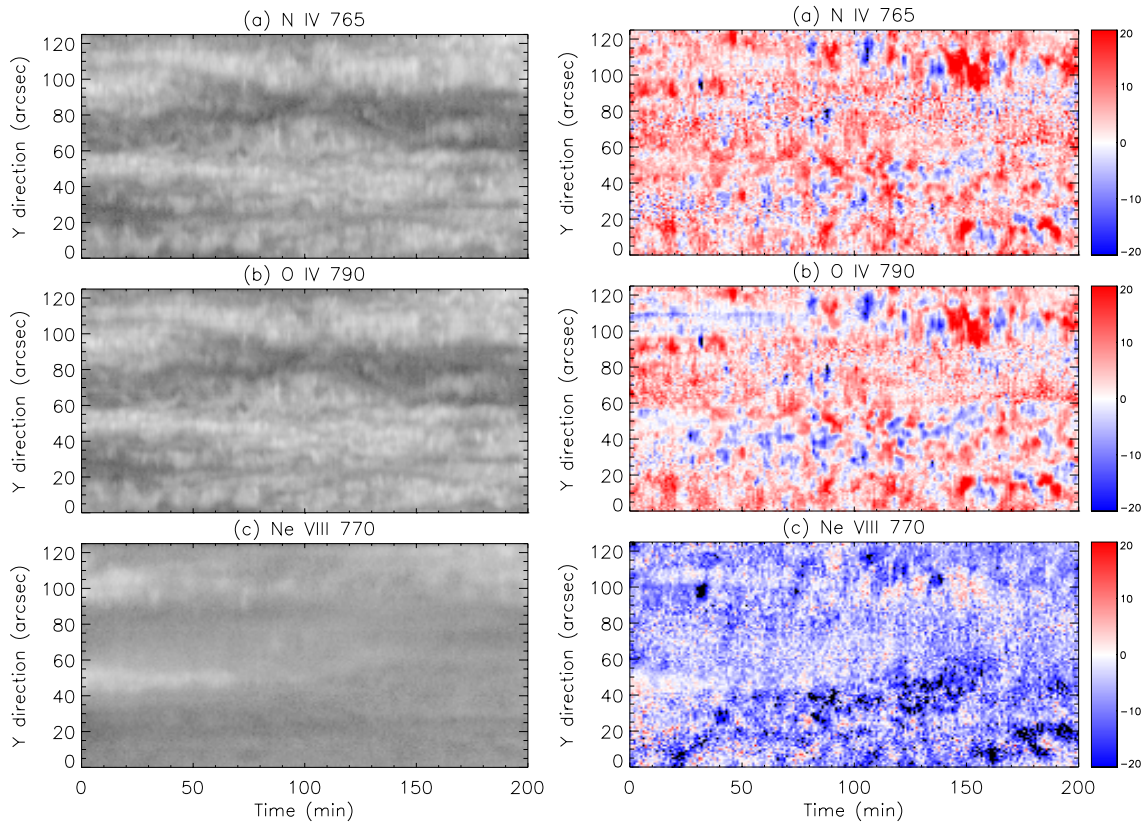


Figure 5.24: Images in intensity (logarithmic scale) and line shift (right frames) in the spectral window from 760 Å to 795 Å. (a) N IV (765 Å); (b) O IV (790 Å) and (c) Ne VIII (770 Å). An exposure time of 60 s has been applied.

consistent with the widely accepted conception that very-small-scale magnetic activities may be the ultimate source for heating the corona and accelerating the solar wind.

5.9 Case study of a transient event

During the observation of the coronal hole on 12 March, 1999, SUMER captured an interesting transient event in CH6. This event can be readily distinguished in Figure 5.3 as well as in Figure 5.26 in details, in which there is a prominent bright point in the heliograms of the continuum and the C I line. In the heliograms of the N V line, this location is marked as a black data gap, because this line was broadened in excess of the small spectral window selected for determining the line position. For the Mg X line, we are unable to determine the line parameters, due to its weak signature relative to the largely enhanced continuum and other chromospheric lines. The spectra of this event between 1234 Å and 1254 Å, together with the normal network spectra in this hole, are given in Figure 5.27 (bottom panel). The upper panel shows the intensity ratio between this event and the normal network of the coronal hole.

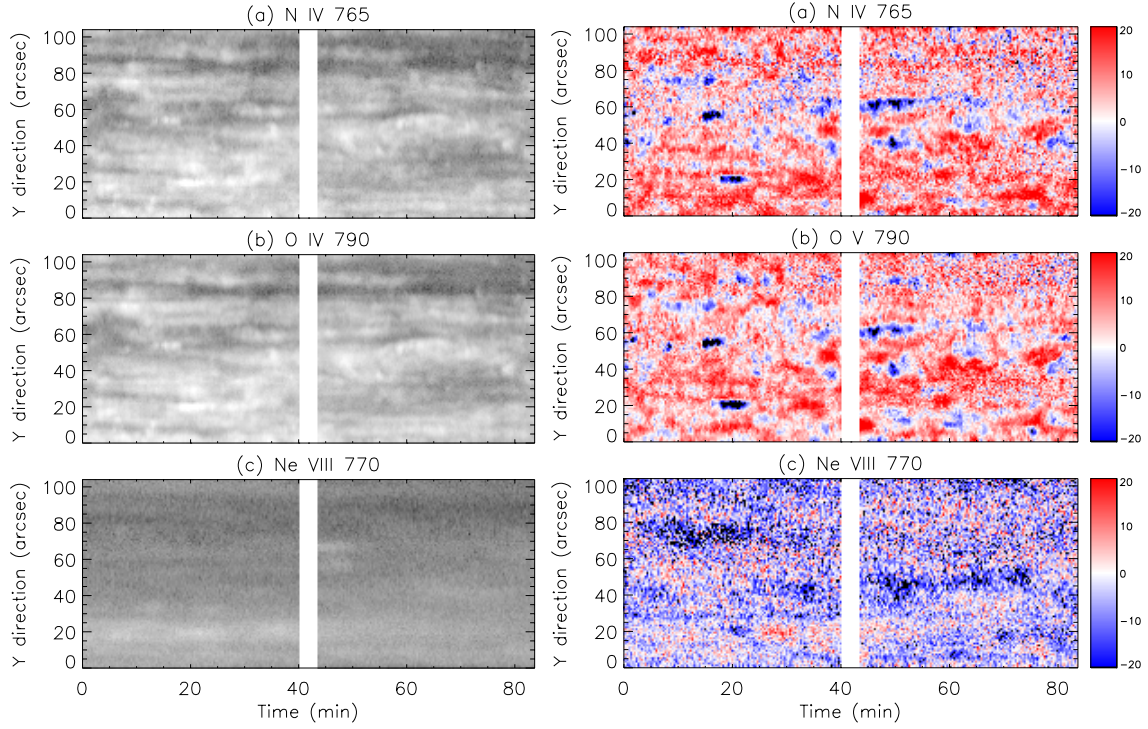


Figure 5.25: Images in intensity (logarithmic scale) and line shift (right frames) in the spectral window from 760 Å to 795 Å. (a) N IV (765 Å); (b) O IV (790 Å) and (c) Ne VIII (770 Å). An exposure time of 20 s has been applied.

From Figure 5.27, this event had a visible spatial size of about $8''$ along the slit direction and lasted 3 raster steps, which equals about $4.5''$ along the raster direction, if the effect of the solar rotation is included. The lifetime can thus be determined to be longer than 7.5 min, according to the total time that the SUMER slit has scanned across the bright area.

Furthermore, it can be seen that the N V lines were largely enhanced and broadened, and attained non-Gaussian profiles (see Figure 5.27). The central intensities increased to about 40 times the normal network value. The full width at half maximum (FWHM) reached about 1.5 Å (360 km s^{-1}). The continua and C I lines were enhanced by a factor of about 10 through the entire spectral window. The Mg X line can no longer be identified in the spectra. This means that this line has no prominent enhancement in emission during the event. Moreover, the integrated intensity of the two N V lines increased by a factor of about 300. They can be separated roughly by two Gaussians, whose central positions deviated by about $\pm 95 \text{ km s}^{-1}$ from the normal central position, respectively.

We suggest that this transient event (network flare) has properties similar to an explosive event, but with a larger size and a longer lifetime as compared with a typical explosive event (lifetime of about 1 min and size of about $2''$). It is interesting that this event has also a large enhancement in the continuum and C I emission, which is not obvious and hardly observed in normal explosive events.

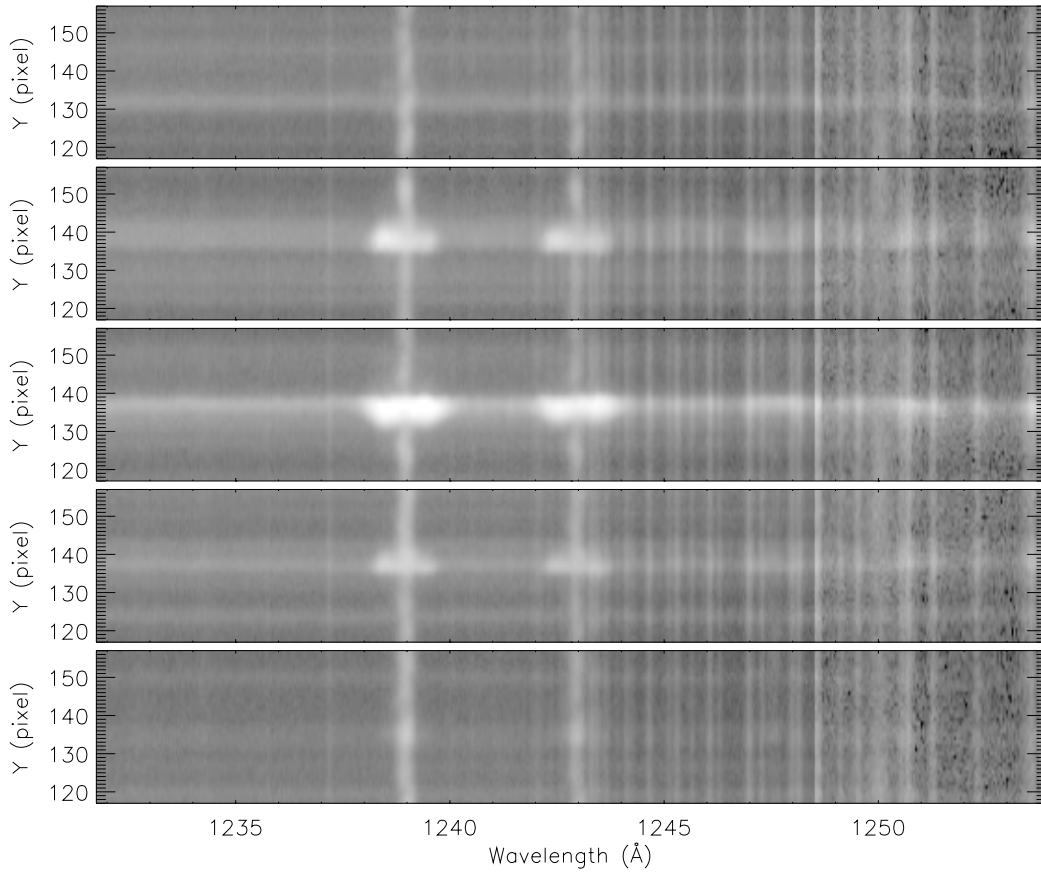


Figure 5.26: SUMER spectral window from 1234 Å to 1254 Å which includes the C I lines, two N V lines and a Mg X line (in 2nd order). Only part of the detector readout is shown around the transient event located from spatial pixels 130 to 140. The 4 rasters were taken sequentially with an interval in time of 150 s and a raster step of 1.13''. Note the dramatic broadening of the N V lines and the enhancement of emission along the whole spectral window.

5.10 Summary and discussion

In this chapter, the general morphological properties of the equatorial coronal holes have been discussed. By combining the observations of SUMER, EIT, MDI and NSO/KP, various structures in the coronal holes and the relationships between the line parameters (intensity, Doppler shift and width) as well as the underlying magnetic field have been studied. At the same time, a comparison of the CH and QS regions has also been made. We summarize some results and conclude as follows:

- The bases of equatorial coronal holes as seen in chromospheric lines have generally similar properties as normal QS regions. Small bright points with a size of about 2''–10'' are the predominant features in the network as well as in cell interiors. With the increase of the temperature, these features become less pronounced. An obvious difference has been found in the shape of the H I L β line, which has much asymmetric (towards

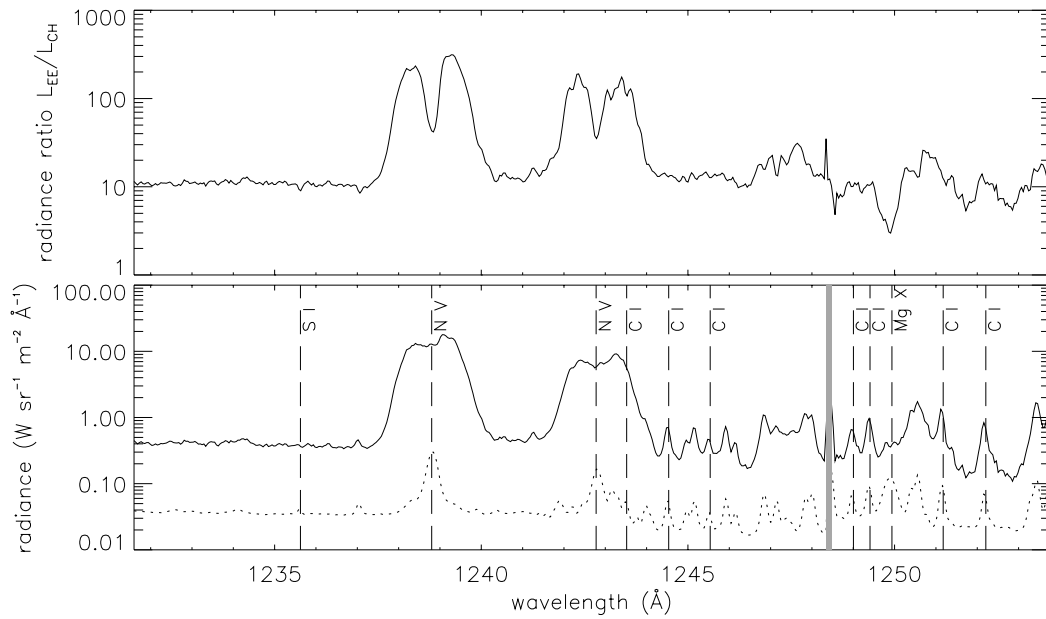


Figure 5.27: Spectral window from 1234 Å to 1254 Å which includes the C I lines, two N V lines and a Mg X line (in 2nd order) showing a transient event. The solid line represents the spectrum of the transient event, while the dotted line for averaged profile obtained from the normal network in the CH observed on 12 March, 1999.

the blue side) profiles in the coronal hole.

- The network width as seen in all transition region lines appears to be broader in CHs than in the QS. Loop-like structures are the most prominent features in the transition region, in both CH and QS regions. They have visible widths of about $10''$ and lengths of about $10''$ – $30''$, and can be seen more clearly in lines emitted by Li-like ions and also in the H I, He I and C III lines. In coronal holes, we found that many of such structures seem to have one footpoint rooted in the intranetwork and to extend into the cell interiors. Some of them appear as star-shape clusters.

- In Dopplergrams of the O VI line, there are also fine structures with apparent blue shifts, although they are on average associated with red shifted. Many structures appear as loop-like and spatially coincide with the locations of the loop-like structures seen in the intensity image, while some appear as blue points, which have less extension and are located at the same footpoints of the loop-like structures seen in the intensity image. The blue structures usually have a broader line width. They seem to represent plasma above the concentration of a large unipolar magnetic field, without obvious bipolar magnetic features nearby. Moreover, the locations with blue shifts seen in the O VI line are usually also blue shifted if seen in the $L\beta$ line. For the C II line, blue shifts appear seldom in Dopplergrams, but if visible, they are of smaller magnitude, the corresponding regions of smaller spatial size.

- Some coronal holes have a very different appearance as seen in the Ne VIII line in comparison with as observed in the Fe XII and Fe XV EIT channels. We suggest that this

difference may have two reason: First, the average magnetic flux density (signed) is small in such coronal holes. Second, there are many small bipolar magnetic structures present there, leading to formation of small bright structures that dominate the emission observed in lines with lower formation temperatures, but such bright structures eventually disappear with the increase of temperature, and thus are not observed in the coronal channels of EIT.

– The Doppler shifts derived from the Ne VIII and Mg X lines are on average blue in the coronal holes. Red shifts are present only in bright structures, with mixed-polarity magnetic structures underlying. Conversely, the larger blue shifts are mainly associated with the dark regions, where the strong magnetic flux of a single polarity is concentrated. On the other hand, the Dopplergram of the Mg X line is more homogeneous in the hole area. It seems that this is in agreement with a geometry of the hole consisting of coronal funnels, namely, the corona must be more uniform at a higher altitude. The results are in agreement with the notion that the fast solar wind is initially accelerated in such open magnetic funnels. The measured outflow velocity in the central region of the network is comparable with the values predicted by the models.

The results given here are obtained mainly from our qualitative studies. Some of them will be confirmed again by a more quantitative analysis, which will be described in details in the next chapter.

Chapter 6

Statistical and Quantitative Analysis

6.1 Introduction

It has long been known that the coronal holes are the source of the fast solar wind (see, e.g., Krieger *et al.*, 1973; Nolte *et al.*, 1976)). Since the Skylab time, various efforts have been made to investigate the signatures of the fast solar wind, by both morphological and statistical studies and by comparison of the coronal holes and the quiet Sun. One of the most interesting topics is the velocity field in the coronal hole. As was discussed in Chapter 2, early work has been done by measuring the Doppler shifts of several coronal lines such as the Mg IX, Mg X and Si XI lines. During the SOHO mission, one gained advantage from the observations with the high spectral resolution of the SUMER. Such work has been done by many authors for both the quiet Sun and polar coronal holes (PCHs) (see, e.g., Brekke *et al.*, 1997; Hassler *et al.*, 1999; Peter and Judge, 1999; Wilhelm *et al.*, 2000). In polar coronal holes, the average Doppler shift of the Ne VIII (770 Å) line and the relationship between Doppler shift and chromospheric network has been studied by Hassler *et al.* (1999). They found observational evidence that the largest outflow velocity is closely associated with the underlying chromospheric network, whereby they used the Si II (1533 Å) brightenings in the radiance image as an indication of the chromospheric magnetic network. An extended work, done by Stucki *et al.* (2000a), who did a statistical analysis, showed a positive correlation between the blue shift of the Ne VIII line and the intensity of the N IV line (765 Å) (used also as an indicator of the network) in the polar coronal hole, and thus corroborated the results of Hassler *et al.* (1999).

Another interesting observational result is that the largest blue shifts of the Ne VIII line coincide spatially with very dark regions in the intensity image of the coronal hole when seen in the same line (Wilhelm *et al.*, 2000). This has been confirmed in Section 5.6 by inspection of the Dopplergram of the Ne VIII line together with the magnetogram observed by NSO/KP, in which it was found that bright points seen in this line have usually smaller blue shifts.

By systematically analyzing various lines obtained by SUMER in the quiet Sun, the temperature dependence of the average Doppler shift and line width has been studied by some authors (Chae *et al.*, 1998c,a; Peter and Judge, 1999; Teriaca *et al.*, 1999). However, a systematic investigation in the equatorial coronal hole by using SUMER data has not yet been carried out. A similar analysis of the SUMER data in ECHs is of great interest, because the viewing conditions near the disk center are suitable for an investigation of the Doppler shift, in particular for the upper transition region and coronal lines. Moreover, the magnetic field measurements are more reliable near the disk center than on the disk far from the center. We are thus able to make an analysis, combining SUMER spectroscopic data with magnetic field maps, as presented before in Chapter 5. Such a study can give

us a clearer physical picture of the plasma conditions and flow pattern prevailing at the coronal hole base.

The chapter is organized as follows: we first give a brief description of the selection and analysis of data in the next section; The statistical study of the line parameters are presented in Section 3; In Section 4 we discuss the average properties of line parameters in both CH and QS regions; A temperature-dependence of the average line parameters will be summarized in Section 5; Then, a relationship between deduced line parameters of the coronal line of Mg X and the underlying magnetic field will be investigated in Section 6; Finally, the summary and discussion are given in Section 7.

6.2 Data selection and analysis

In the following section we will discuss the average properties deduced from line parameters in coronal holes, i.e., the average intensity, Doppler shift and line width. The data are selected from 5 coronal holes and a QS region. Among them, CH1 and CH2 were observed during the solar minimum in 1996, while CH6, CH7 and CH13 were observed during the solar maximum in 1999. The instrumental parameters used by SUMER during these observations are given in Table 4.1. Since CH6 and QS1 were observed with both “raster scan” and “reference spectrum” modes and included a large number of lines emitted from different layers of the solar atmosphere, they will be used for a statistical study and comparison of their different properties between the CH and QS regions. For CH1, CH2 and CH7, only the coronal line of Mg X is analyzed to investigate the relationship between the hole corona and the underlying magnetic field.

The general description of the method of data analysis has been given in Chapter 4. Here we give some additional explanations about the data reduction. There are three methods to deduce an average line parameters: one is simply to bin the individual profile over an observed hole area that we are interested in, and to fit afterward the averaged line profile; a second method is to deduce line parameters pixel by pixel, then calculate the average values; or one can first normalize the individual profile and then get an averaged profile for a further fitting to deduce the Doppler shift and line width. The reason to apply the last two methods is to avoid the intense profiles dominating the weak ones. This may occur if we study the difference between different structures with high contrast of the intensity, for example, a network and cell region or a bright point and dark region. In fact, previous studies (see, e.g., Brynildsen *et al.*, 1998; Stucki *et al.*, 2000a) have shown the correlation between the observed line shift and intensity. A discussion of this question can be found in previous papers (Chae *et al.*, 1998c; Teriaca *et al.*, 1999). In the quiet Sun, Teriaca *et al.* (1999) found no relevant difference between the first and second method. In our study, we also make such a comparison using the data observed on November 8, 1999 in CH13. The slit 4 was used. Three lines (O I at 1027 Å, C II at 1036 Å and O VI at 1032 Å) with high signal-to-noise ratios have been analyzed. Between the two methods, a maximal difference of 0.05 px (0.6 km s^{-1}) is found for the line shift, and 0.02 px (0.3

km s⁻¹) for the Doppler width, smaller than the uncertainty resulting from other analyzing procedures. Hence, we confirm the result obtained by Teriaca *et al.* (1999) that there is no essential deviation between the first two methods. According to these studies, we adopt the first method to deduce the line parameters for studying the average properties of the coronal hole in Section 6.4.

6.3 Statistical studies of line parameters

In this section, statistical methods are applied to study the distribution of the line parameters, the relationship between the line intensity, Doppler shift and line width, as well as the difference between the CH and QS regions. By using the continuum as an indicator of the chromospheric network, the relationship between line parameters and the network is also discussed. The most data were obtained in March 1999 (CH6 and QS1), in which a large number of data samples were obtained.

6.3.1 Distribution of line intensity, Doppler shift and width

Histograms of the continuum, integrated line intensity, Doppler shift and line width are plotted in Figures 6.1, 6.2, 6.3, 6.4 and 6.5 for both CH and QS regions. Among them, all Doppler shifts are related to their average value for each region, and the line width is defined as $v_{1/e} = c\Delta\lambda_D/\lambda$ (see Eq.3.29), from which the instrumental broadening has not been subtracted.

Distribution of the intensity

The distribution of the intensity has been investigated in equatorial coronal holes by several authors (see, e.g., Huber *et al.*, 1974; Lemaire *et al.*, 1999), as well as in polar coronal holes (see, e.g., Dammasch *et al.*, 1999a; Stucki *et al.*, 2000b, 2002). From the histogram of the intensity obtained in the QS, a low-intensity peak and a high-intensity tail, a pronounced characteristic related to the network and cell, can always be found for the chromospheric and transition region lines. By comparison of the CH and QS region, previous observations revealed that such a distribution is also present in the CH spectrum, but more sharply peaked in transition region lines (Stucki *et al.*, 2002). In addition, the distribution of the intensity, if shown on a logarithmic-linear scale, was found to have a Gaussian shape in both regions (Damasch *et al.*, 1999a; Wilhelm *et al.*, 1998a; Griffiths *et al.*, 1999).

In this study, we start with describing such an intensity distribution for the chromospheric continuum. Figure 6.1 shows the intensity distribution of the continuum in three ranges of the wavelength (around 1539 Å, 1235 Å and 912 Å), which should be statistically reliable because they are obtained by averaging over 25 – 45 spectral pixels. From this figure,

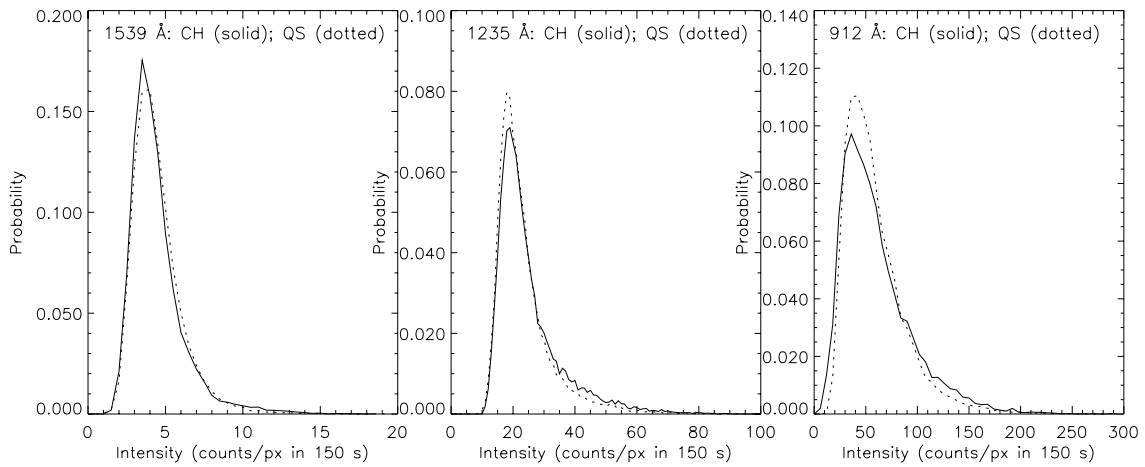


Figure 6.1: Histograms of chromospheric continuum at 1539 Å, 1235 Å and 933/912 Å, with the solid line for the CH and dotted one for the QS.

one can find that a prominent change of the profile obtained in different wavelengths. For the continuum at 1539 Å, the distribution is less different between the CH and QS region, except for a slightly higher peak for the CH data. This may indicate that the network and cell structure has only a slight difference between the two regions. On the other hand, a slightly higher peak and lower tail can be found for the QS data in the other two wavelengths. The latter situation can be also found in the Si II line (see Figure 6.2).

In Figure 6.2, the two chromospheric lines (Si II and He I) reveal very different properties. The Si II line shows a similar property as the continuum at 1235 Å and 912 Å. However, the He I line observed in the CH region is more sharply peaked at the lower intensity, with a much smaller tail of the higher intensity, which indicates that the network becomes much weaker in the CH when seen in this line. This line behaves indeed like the transition region lines of N V and O VI, which will be discussed in the following.

Three transition region lines (C II, C IV and S VI) exhibit similar distributions of their intensities in each region (see Figures 6.3 and 6.4). The CH data have a lower intensity of the peak contributed by the cell region, but with a higher probability, while the tails contributed by the network show less difference. For the other two transition region lines (N V and O VI), the difference between the CH and QS regions becomes more apparent. Like the He I, they have a much sharper distribution of intensities in the CH region, and their tails are weaker and less extended towards the high intensity. Clearly, this reflects the reduced contrast of the intensity between the network and cell regions. Such a tendency becomes much clearer for the Ne VIII and Mg X lines, in which the weakening tails for both regions may indicate the eventual absence of the network structure (see Figure 6.5), as was discussed in Chapter 2. Moreover, the CH data reveal a much higher peak, but at much lower intensity. Thus the CH region appears much darker and more uniform than the QS region.

From the distribution of intensities, there is evidence that a slight difference between the CH and QS regions has already been present in the upper chromosphere. The apparent dif-

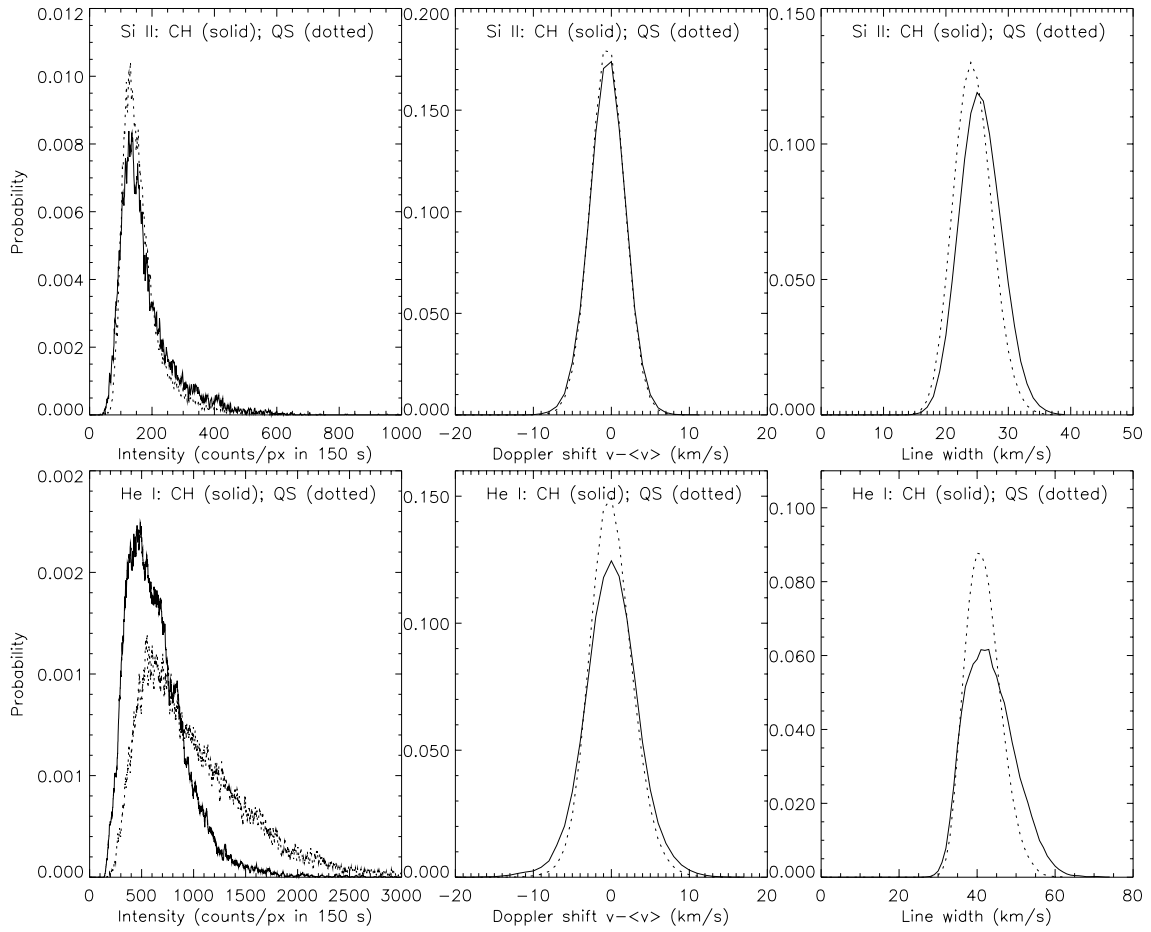


Figure 6.2: Histograms of integrated line intensity, Doppler shift and line width, with the solid line for the CH and dotted one for the QS. Upper panels: Si II; Lower panels: He I.

ference between the two regions, when seen in the He lines, has been found since a long time (see, e.g., Andretta and Jones, 1997). Previous SUMER observations also showed that the He I resonance line at 584 Å is depleted in the polar coronal holes (Peter, 1999; Wilhelm *et al.*, 2000). This helium line originates in plasma where helium is essentially partially ionized below the lower transition region, and thus it has a very complicated behaviour due to being sensitive to the line formation mechanism, particle diffusion and mass flow (see, e.g., Andretta and Jones, 1997; Fontenla *et al.*, 2002). On the other hand, the obvious discrepancy being present in the CH and QS region, when seen in transition region lines emitted by Li-like ions of N^{4+} and O^{5+} , may be directly determined by their wider formation temperatures, which means that they are emitted from a larger height range between the transition region and lower corona. The apparently high counts in the high-intensity tail are consistent with the morphological results that the loop-like structures seen in these lines are more extended into the cell interiors than those of other lines.

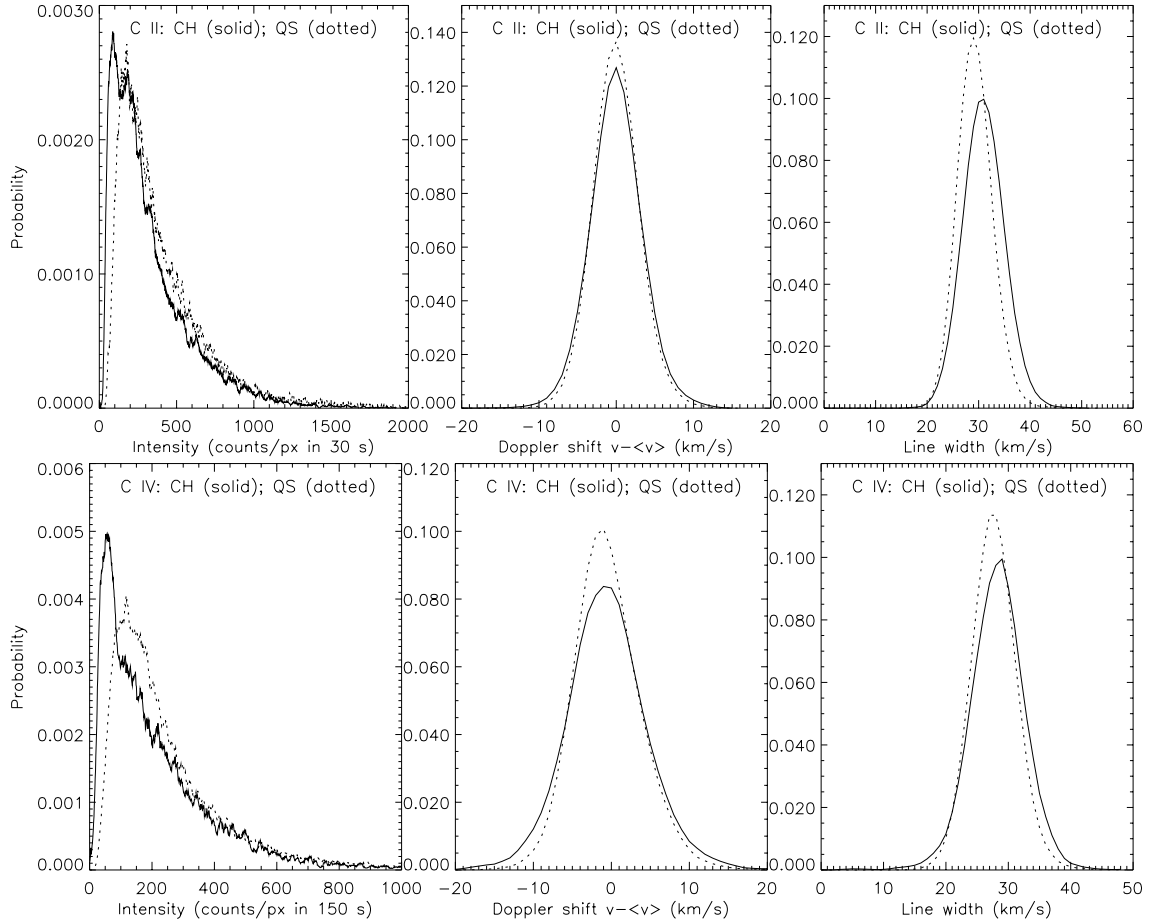


Figure 6.3: The same of Figure 6.2 but for C II (upper) and C IV (lower).

Distribution of the Doppler shift and line width

At first sight the distribution of the Doppler shift and line width exhibits a nearly Gaussian shape for all lines studied here. This is in agreement with a previous result obtained in a polar coronal hole (Damasch *et al.*, 1999a).

Our statistical results show that the distribution of Doppler shifts has a temperature-dependent variation. For the chromospheric line of Si II, there is no obviously difference between the CH and QS regions. For the He I line, this distribution becomes wider in the CH. The tendency is much more apparent for the O VI, Ne VIII and Mg X lines. In combination with the result that most lines formed in and above the transition region are on average bluer shifted in the CH than in the QS (this result will be discussed in the next section), this indicates that in the CH there are more areas with a blue shift of these lines, as it is expected when considering the origin of the fast solar wind.

A slightly larger line width in the CH than in the QS region can be found for all lines, which is generally in agreement with the result obtained in another equatorial coronal hole (CH5) (Lemaire *et al.*, 1999). However, the wider line width shown in the chromospheric

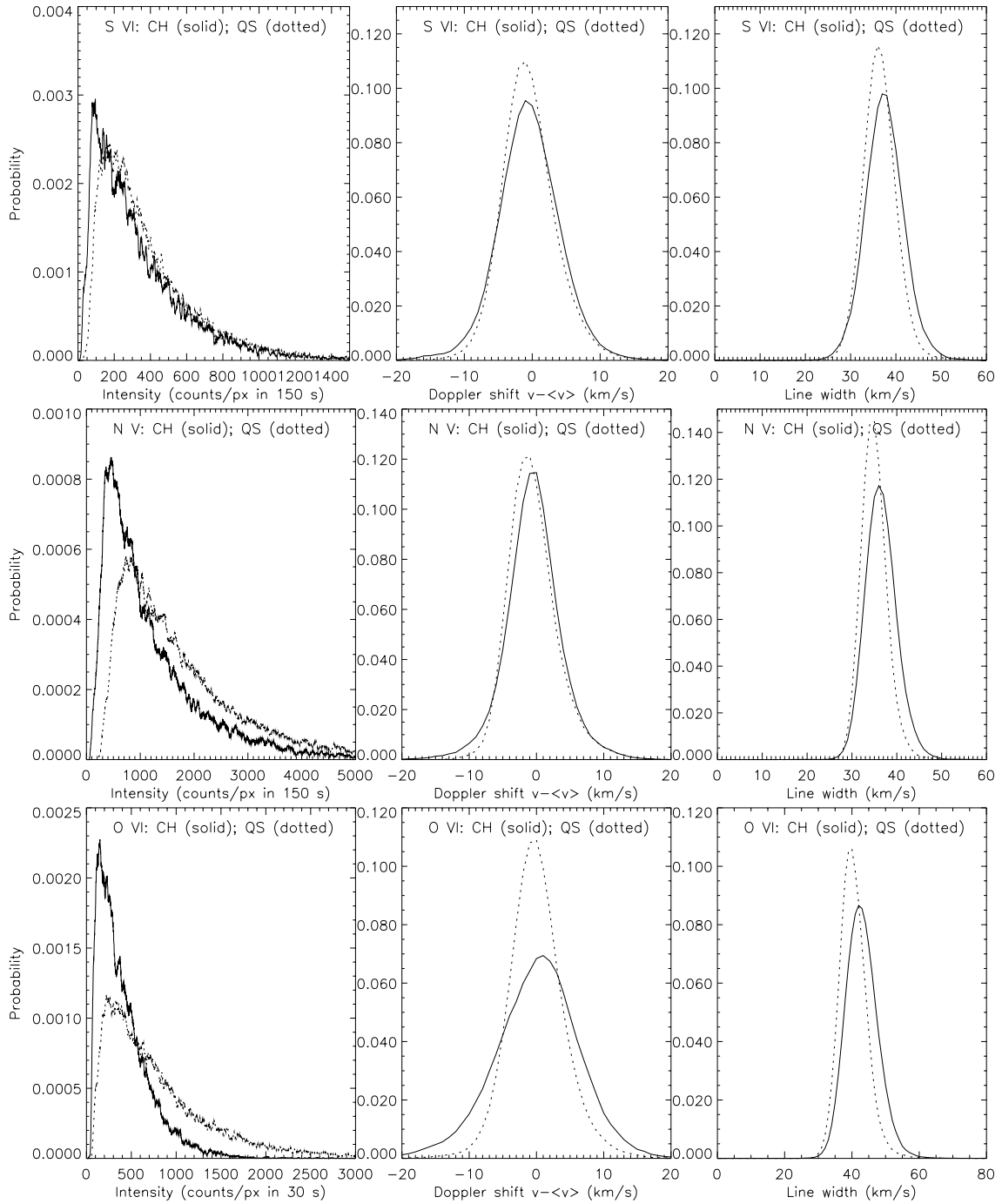


Figure 6.4: The same of Figure 6.2 but for S VI (upper), N V (middle) and O VI (lower).

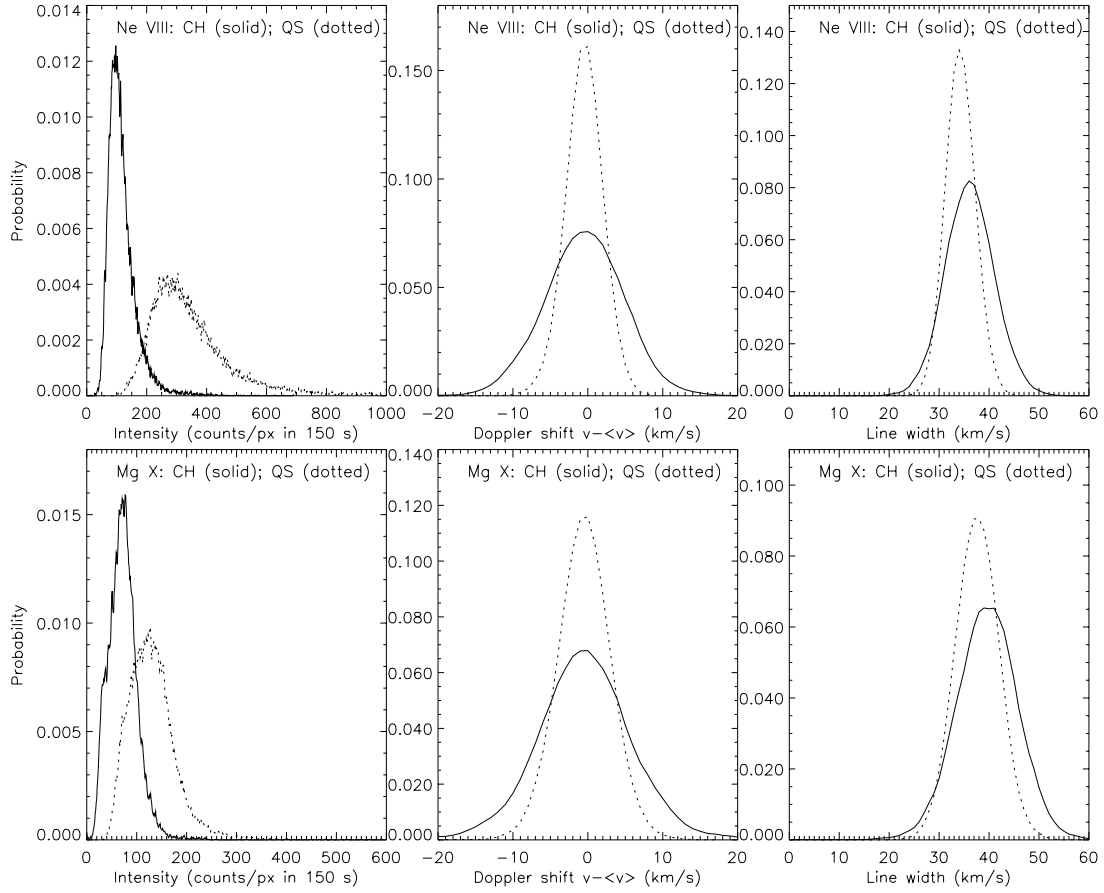


Figure 6.5: The same of Figure 6.2 but for Ne VIII (upper) and Mg X (lower).

lines should be interpreted with caution because they are less or more affected by opacity, which may also lead to broadening of the measured line width. A more quantitative analysis and discussion of this difference will be presented in the next section.

6.3.2 Relationship between line parameters and the chromospheric network

The relationship between the Doppler shift and the chromospheric network has been recently studied in polar coronal holes by some authors (Hassler *et al.*, 1999; Stucki *et al.*, 1999, 2000a). Their data showed a positive correlation between the Doppler shift of the Ne VIII line and the line intensity of chromospheric lines in polar coronal hole. In this study of equatorial holes, we show some more detailed statistical results concerning the relationship between the line parameters for a given line and the network structure seen in the chromospheric continuum.

As was discussed in Section 5.5, there exists a very close relationship between a brightening of the chromospheric emission and the magnetic network with a concentration of

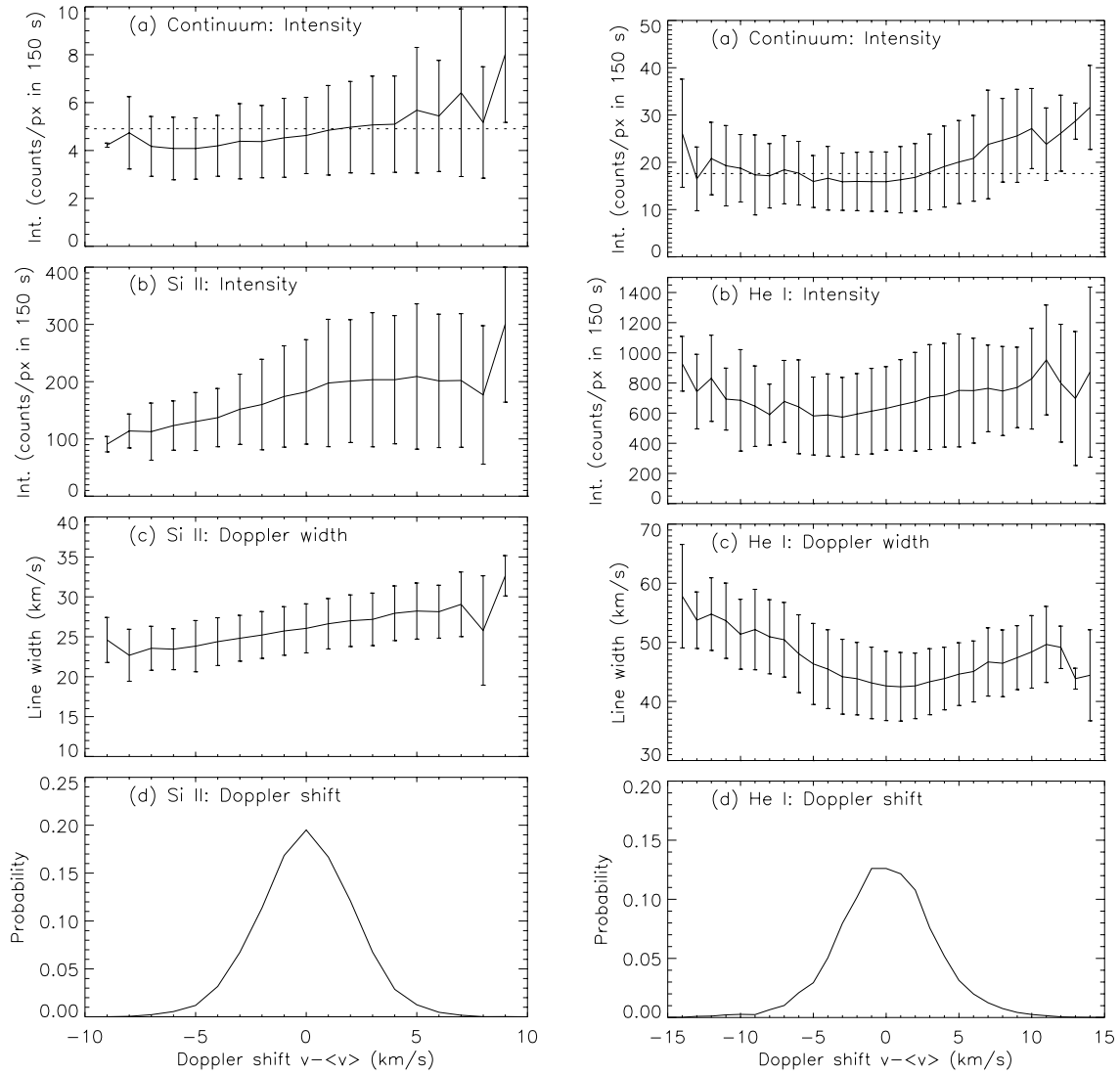


Figure 6.6: The relation between the chromospheric network and line parameters. The histogram of the Doppler shift with a bin-size of 1 km s^{-1} is shown in (d); The average Doppler width, integrated line intensity and continuum for each velocity interval are shown in (c), (b) and (a), respectively. The bar indicates the standard deviation of each parameter. The dotted line in (a) presents the threshold of the continuum intensity between the network and cell, set by the assumption that the network occupied 1/3 of the total area. Left panel: Si II; Right panel: He I.

the photospheric magnetic flux. Thus we can use an intensity map of the continuum as an indicator of the network in order to search for the relationship between line parameters and such network structures. The threshold of the intensity difference between the network and cells can be roughly determined by fitting the low-intensity peak in intensity histograms by a Gaussian profile (see discussion in Mariska, 1992). We find that the network area occupies around 1/3 of the total area determined by such a method using the continuum in different wavelengths. We thus adopt a threshold of the intensity determined

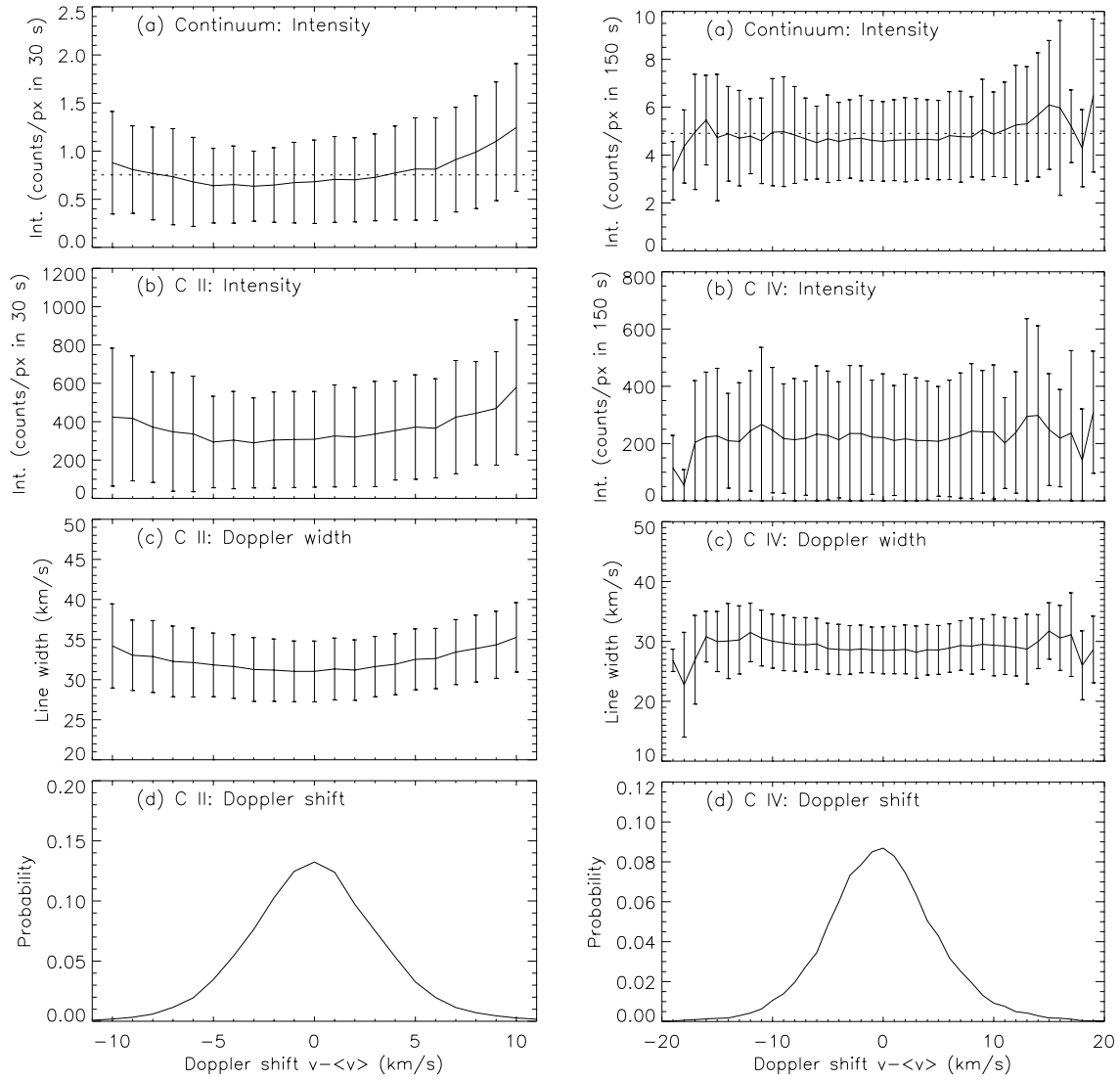


Figure 6.7: The same format as Figure 6.6 but for C II (left) and C IV (right).

by assuming that the network occupies 1/3 of the total area.

The results are shown in Figures 6.6 to 6.9. The solid line in the bottom panel is the histogram of the Doppler shift with a speed interval of 1 km s^{-1} , and the middle two panels indicate the average line width and the intensity of the same line, as calculated in each velocity interval. The same plot shown in the upper panel is for the continuum, which serves as an indicator of the chromospheric network. The dotted line represents the boundary between the network and cell region (above this value is network).

From the left column of Figure 6.6, one infers that the Doppler shift of the Si II line exhibits a very clear positive correlation with the line width. It is also clear that the relatively blue shifts correspond mainly to the lower intensities of this line and the continuum, i.e., from the cell region. The relatively red shifts relate to the higher intensities on average,

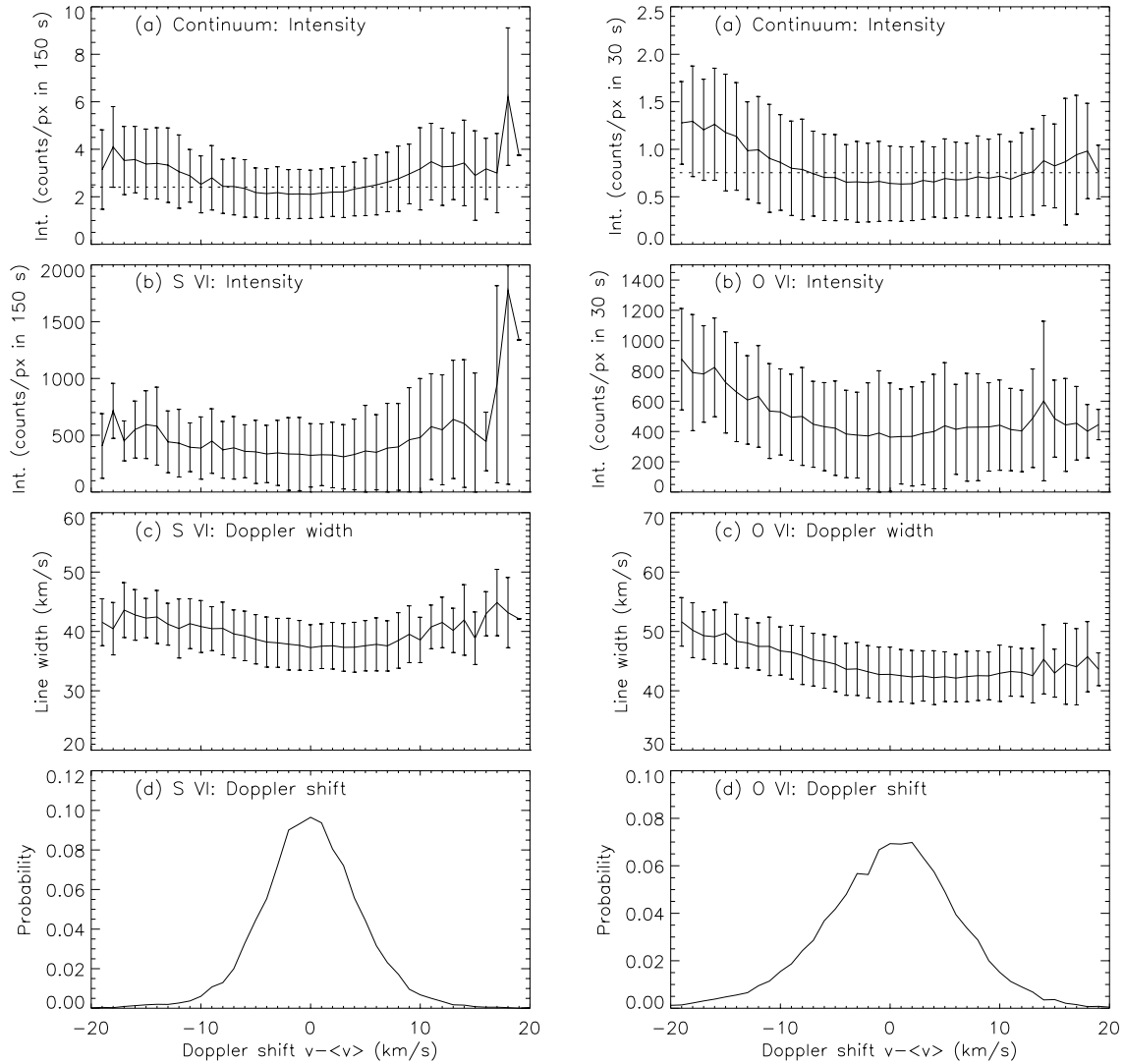


Figure 6.8: The same format as Figure 6.6 but for S VI (left) and O VI (right).

but with a large scatter for both intensities of the line and continuum. This implies that some of the red shifts are also produced in the cell region, although they mainly come from the network.

An apparent correlation between line parameters and the network can be found for the He I and transition region lines except for the C IV line. First, regions with an average Doppler shift (this average value $\langle v \rangle$ is set here as 0 km s⁻¹) usually have the lowest average line width and intensity. They also correspond to the lowest average intensity of the continuum, which indicates that they are mainly contributed by the cell region. Second, the magnitude of the relative Doppler shift ($|v - \langle v \rangle|$) seems to have a positive correlation with the line width and intensity. A larger blue or red shift usually has a larger line width and intensity, and also corresponds to a larger intensity of continuum, which implies that they mainly occur in the network.

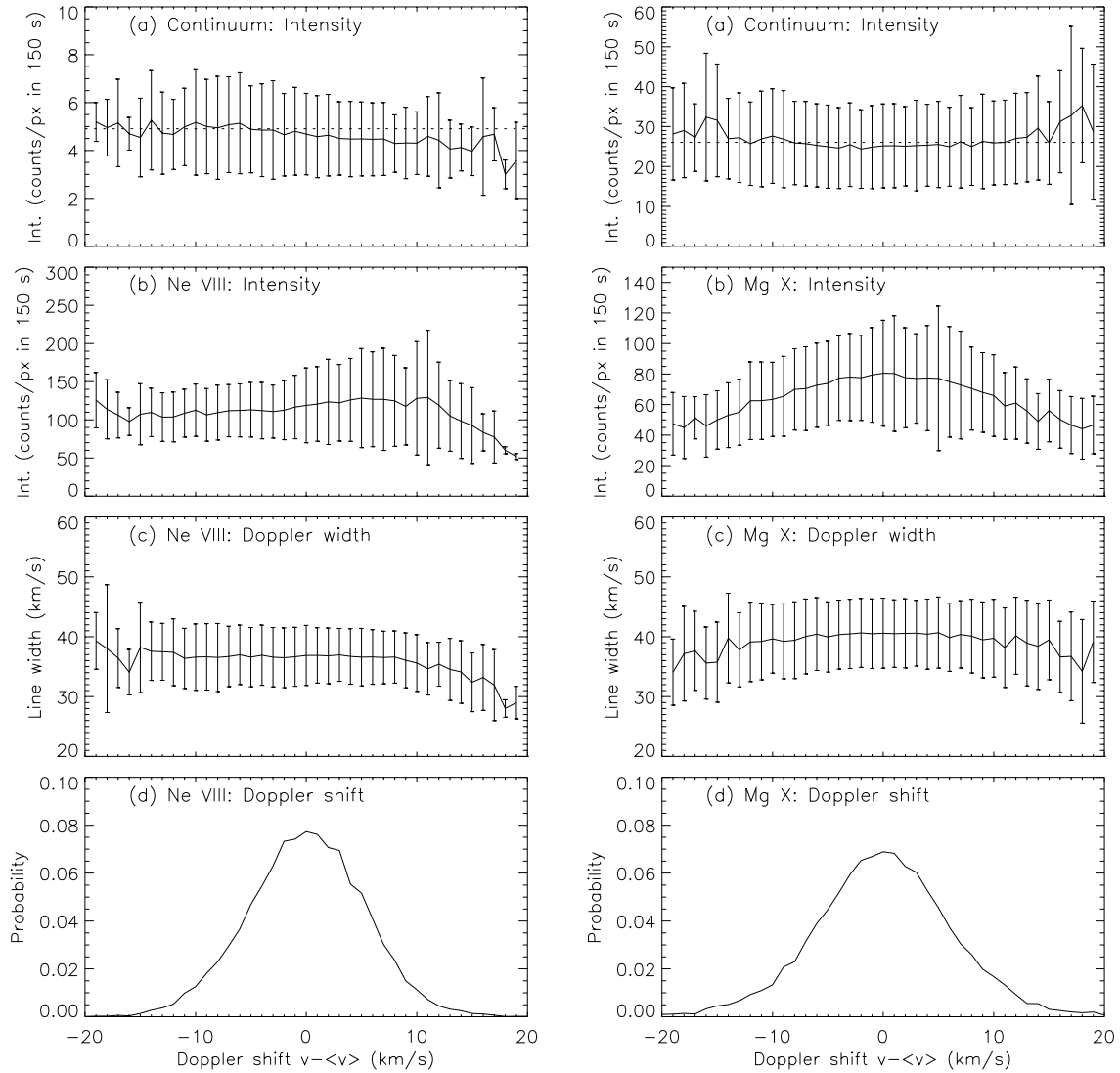


Figure 6.9: The same format as Figure 6.6 but for Ne VIII (left) and Mg X (right).

However, such a relationship is not clearly present for the C IV line, as was also found by Mullan and Waldron (1987) in a low-latitude coronal hole observed by SMM and recently confirmed by Peter (1999) in a polar coronal hole observed by SUMER. This is in contrast with the results observed in other regions on the Sun (Gebbie *et al.*, 1981; Athay *et al.*, 1983a,b; Peter, 1999).

In the Ne VIII line, there exists a slightly positive correlation between the Doppler shift and intensity. A bluer shift tends to have a lower intensity and a redder one corresponds to a larger intensity. For the line width, the tendency reveals to be inverse. Compared with the continuum, the most counts with a larger blue shift have an averaged continuum intensity around the threshold defined as the limit between of network and cells. The result is consistent with that discussed in Section 5.6, in which it was found that larger Doppler

shifts come mainly from the network with a concentration of an unipolar magnetic field, but with a larger areal extension as compared with the network area defined in chromospheric lines. This also confirms that such a tendency deduced from an equatorial coronal hole is similar to the one in polar coronal holes.

For the Mg X line, the relationship between line parameters and the network seems to be different. Their line parameters show a very weak dependence on the network structure. Its average Doppler shift seems to correspond to the largest intensity and line width. It should be mentioned that this line is very weak in the coronal hole, and the large uncertainty of the deduced parameters for pixels with weak radiance may influence the distribution of the parameters. In spite of this, a very weak correlation between line parameters and the network indicates that the coronal hole seen in this line appears more uniform. This is consistent with the concept of an open funnel structure, with its area increasing with the altitude in the coronal hole.

6.3.3 Relationship between Doppler shifts of different lines

From the morphologic study in Chapter 5, it is found that the locations with blue shifts seen in the O VI line are usually blue shifted if seen in the H I L β and C II lines, but often appearing as small blue points with a smaller value of the blue shift and spatial size. These lines were simultaneously observed in the same spectral window. We use here a statistical method to look for the relationship between Doppler shifts of these lines. In Figure 6.10, the histogram of the Doppler shift (O VI) with a bin-size of 1 km s⁻¹ is plotted in the bottom panel. The Doppler shifts of two other lines (H I L β and C II), averaged in each velocity interval of the O VI line, are shown in the middle and upper panels. The bar indicates the standard deviation. The two datasets were obtained on March 11, 1999 in CH6 (left column) and on 8 November, 1999 in CH13 (right column).

In Figure 6.10, one can find a clear positive correlation between the Doppler shifts. Moreover, the corresponding magnitudes of the Doppler shifts vary with different lines formed at different layers, i.e., a larger value of the Doppler shift seen in the O VI line corresponds to a smaller one in the C II line and an even smaller one in the H I L β line. This statistical result nicely confirms our previous result obtained in the morphologic study.

If a Doppler shift is considered to be caused by a steady mass flow, the result also naturally agrees with a convection pattern obey the law of mass-flux conservation in a flux tube. This means that the flow velocity should be lower in the chromosphere due to the higher plasma density there than in the transition region. On the other hand, considering a partial mass flux taken away by the solar wind would also reduce the average red shift of a line, even though the average Doppler shift is absolutely red (Pneuman and Kopp, 1978). This may also be true for all transition region lines, as will be further discussed in the following section.

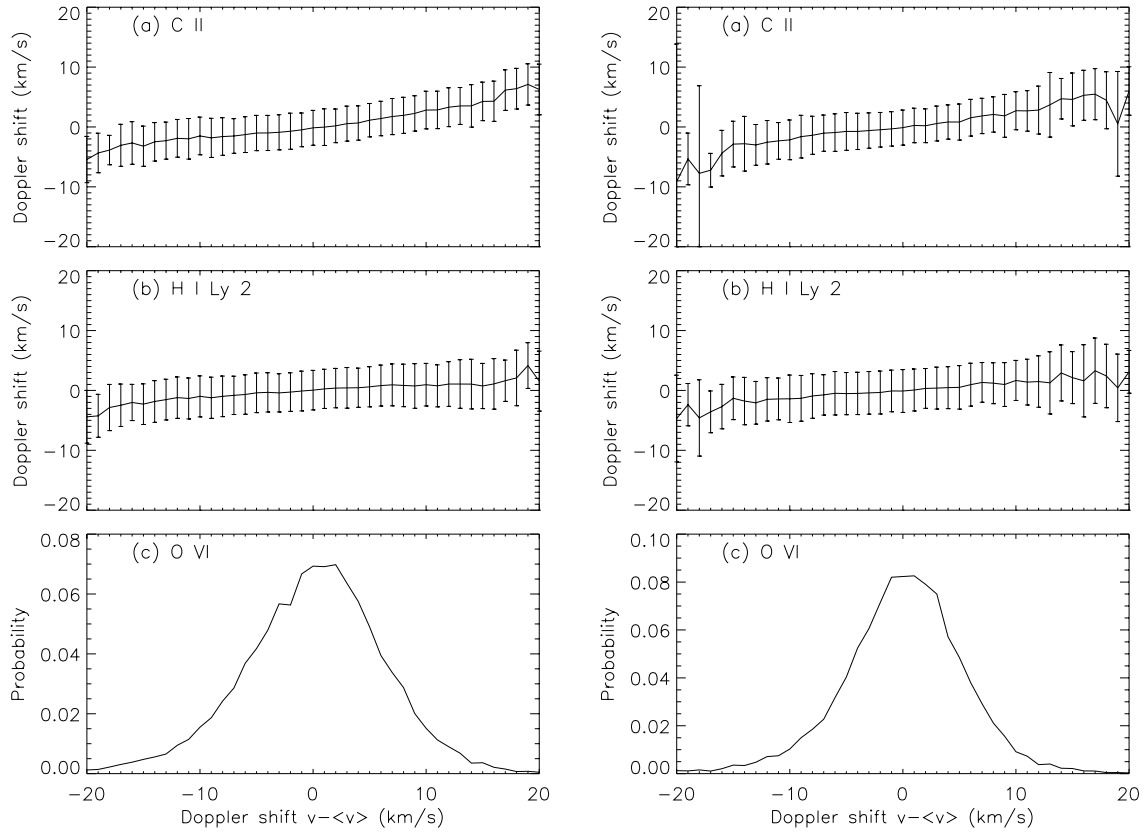


Figure 6.10: Relationship between Doppler shifts of different lines. The histogram of the Doppler shift (O VI) with a bin-size of 1 km s^{-1} is shown in (c); The Doppler shifts of other lines (H I $L\beta$ and C II) averaged in each velocity interval of the O VI line are shown in (b) and (a), respectively. The bar indicates the standard deviation. Left: Data observed on March 11, 1999 in CH6; Right: Data observed on November 08, 1999 in CH13.

6.4 Average intensity, Doppler shift and line width

An average intensity, Doppler shift and line width has been derived for various lines from the averaged spectrum for both QS and CH regions. Note that if spectral profiles of some lines are shown in the following, a radiometric calibration has been applied always in 1st order to correct the different spectral responsivity at different wavelengths and on different portions of the detector. This is different from the results listed in the tables, in which a line recorded in the 2nd order of diffraction has been correspondingly calibrated in 2nd order.

In this section the following notation will be used: Remark (R) in the first column denotes the “reference spectrum” used by SUMER, otherwise a “raster scan” mode is used. If more than one line of the same ion is measured, a notation of “(1)”, “(2)”, is used to denote different wavelengths. The first and second letter of “AK, AB, BK, BB” before the pixel number in the second column represent detector A/B and KBr/bare portion of detector, respectively. In addition, all intensities listed in the tables are integrated line intensity.

The errors given for Doppler shifts are calculated by the relation, $\sigma = \sqrt{\sigma_{ft}^2 + \sigma_{rf}^2 + \sigma_{gc}^2}$, where σ_{ft} , σ_{rf} and σ_{gc} denote the fitting error, the error of dispersion relation derived from the reference lines and the error of geometric calibration, respectively, as was discussed in Section 4.4.1.

In addition, the regions are indicated in the first column of each table. The detector and the line position in pixel address (in a reversed spectral coordinate, i.e., the pixel address begins at a shorter wavelength) are listed in the second column. It should be noted that the positive velocity represents the red shift and the negative one is for the blue shift, which we will always use in the following discussion.

6.4.1 Lines with formation temperatures $T_e > 0.5$ MK

Mg X at 625 Å

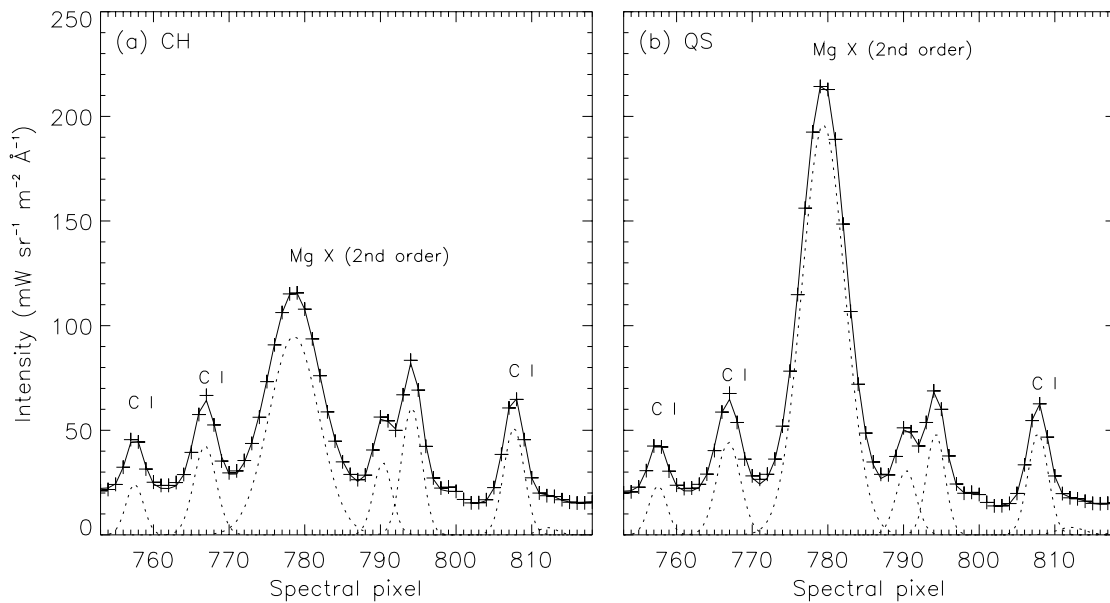


Figure 6.11: Line profiles of the Mg X line with its reference lines of C I nearby. The “+” represents the data points from observations. Dotted lines are line profiles fitted with the Gaussian shape, while the solid lines represent the profiles obtained by background plus Gaussian fitting.

In the present data, the Mg X line with a high formation temperature of 1.1 MK is the only coronal line that can be used to reliably measure the absolute Doppler shift in coronal holes. This line is of particular interest for studying the coronal plasma, and can be detected with a good signal-to-noise ratio in second order on the bare portion of SUMER’s detectors. On the KBr portion it is usually very weak or almost absent in coronal holes, and blended by two weak lines (Si II and P II?) (see discussion in Dammasch *et al.*, 1999b). However, these first-order blends can be largely depressed in the bare portion that are used by us. In addition, the spectrum includes a series of C I lines nearby that can be used as

Table 6.1: Derived line parameters for the Mg X line

Region	Pixel	Dispersion (mÅ/pixel)	Doppler shift (km s ⁻¹)	Intensity (mW sr ⁻¹ m ⁻²)	Non-thermal v. (km s ⁻¹)
CH1(R)	BB 207	42.75	-9.4±2.0	2.8±0.3	22.5±2.1
CH2(R)	BB 211	42.73	-2.9±2.4	2.8±0.3	15.1±2.3
CH6(R)	AB 218	42.94	-4.5±1.9	45.6±1.6	32.9±0.8
CH6(R)	AK 513	43.42	-8.0±1.9	39.1±3.7	30.5±2.3
CH6	AB 779	43.33	-5.0±1.6	41.4±1.0	38.1±0.7
CH6(R)	AB 808	43.12	-6.3±1.6	49.5±1.7	30.1±1.0
QS1(R)	AB 219	42.96	1.3±1.6	71.1±1.2	27.9±0.4
QS1(R)	AK 514	43.38	-1.3±1.7	70.0±5.1	24.9±1.4
QS1	AB 779	43.23	2.9±1.5	73.8±0.7	29.4±0.3
QS1(R)	AB 808	43.14	0.1±1.5	75.4±1.2	23.9±0.4
CH7	AB 774	43.27	-7.1±1.9	25.6±1.0	29.9±1.1
CH13(R)	AB 221	43.01	-7.5±1.0	8.9±0.7	32.9±1.8
CH13(R)	AB 811	43.16	-12.2±0.9	9.6±0.7	34.9±1.8

reference lines to determine the line shift (see Figure 6.11). In this study, four of them (1249.004 Å, 1249.405 Å, 1251.176 Å and 1252.208 Å) are used to calculate the dispersion relation and the relative shift of the Mg X line. With them the dispersion relation can be calculated with a very small uncertainty if the signature is strong enough. This is indeed valid for the data obtained during solar maximum. The averaged profiles obtained in CH and QS regions (CH6 and QS1) together with the fitted profiles are shown in Figure 6.11. This line can be fitted nicely with Gaussian in both regions.

In addition, a modified rest wavelength (624.968 Å) determined by Peter and Judge (1999) and Dammasch *et al.* (1999b) has been applied. The previous versions of its rest wavelength were reported as 924.950 Å (Kelly, 1987) and 924.943 Å (Kaufman and Martin, 1991), which deviate, in terms of Doppler shift, from the new one by -5.8 km s^{-1} and -8.1 km s^{-1} , respectively. The deduced line parameters for five coronal holes and one QS region are listed in Table 6.1.

The results listed in Table 6.1 show that the Doppler shifts in the CH regions range from -2.9 km s^{-1} to -12.2 km s^{-1} , while in the QS region it has a small blue or red shift. A discrepancy of up to 4.5 km s^{-1} can be found in the same hole measured by different observations. Such a discrepancy may be caused by instrumental errors, arising possibly from the determination of the line position and local geometric distortions of the spectrum, which have not been sufficiently corrected by calibration, or/and by solar effects (position- and time-dependence of the coronal properties). From our data obtained in CH6 and QS1, we find that this discrepancy is reduced to be about 2 km s^{-1} if the Doppler shift is calculated relative to the QS region for each observation at the same position on the detector. Therefore, instrumental effects may likely influence the uncertainty of the

measured absolute Doppler shift. A better way to compare the Doppler shift in different regions is to use data obtained under the same conditions during the observations, in order to reduce the systematic deviations. In this study, we did not evaluate such deviations between different data sets, but simply regarded them as a scatter of the results.

The integrated line intensity, which has been calibrated in 2nd order, seems to be very different between different holes. A lowest value was measured at solar minimum in CH1 and CH2, while a large one in CH6. Compared with the QS region observed during the same period within several days, the Mg X line in CH6 is weaker by about 40%.

The line width shown here has been transformed into a non-thermal velocity. A lower value can be found for the CHs observed at solar minimum in 1996. The Mg X line in CH6 is on average larger by about 6 km s^{-1} compared with QS1.

Ne VIII at 770 Å

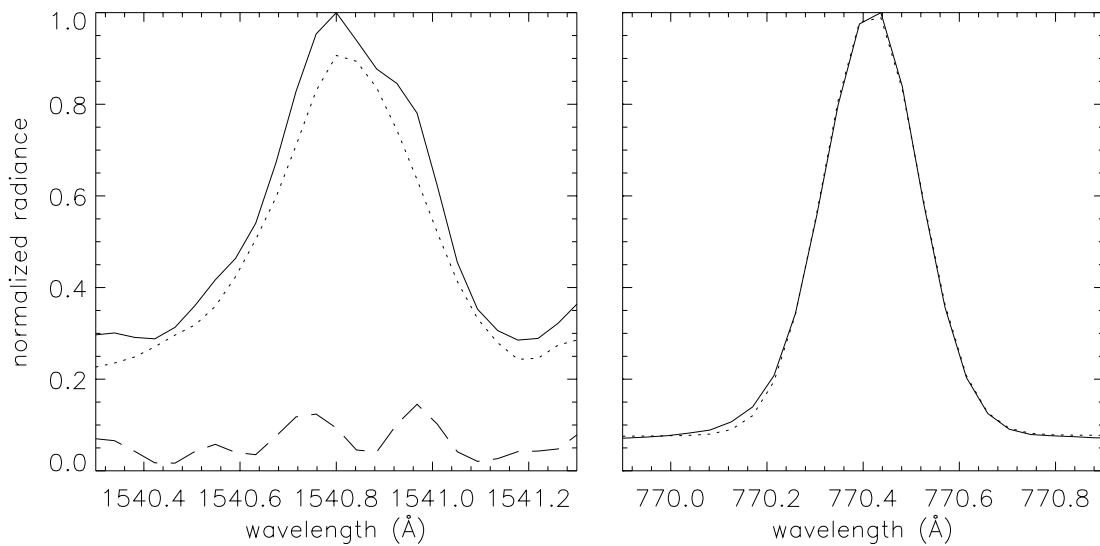


Figure 6.12: Left: Average line profile of the Ne VIII (770 Å) line in the second order (solid line). This coronal hole profile was derived from observation in CH6 on March 11, 1999. It shows that there is a pronounced red shoulder which is also obtained by other investigators (Damasch et al., 1999c; Wilhelm et al., 2002). The dashed line represents the synthetic profile of several blending Si I lines (from Kelly (1987)). The dotted line shows the profile of this line after the blended Si I lines are subtracted. It can then be seen that the red shoulder is almost removed. Right: Average line profile of the same line but observed in the first order (solid line). This coronal hole profile was derived from the observation in CH3 on October 19, 1996. It shows that it can be fitted by a single Gaussian very well (see dotted line) and obviously has no red shoulder. Other observations of this line in first order (detector B) in CHs yields a similar result.

The Ne VIII line has a formation temperature of 0.63 MK, and can be observed in first order on detector B and second order on detector A. In second order, the Doppler shift of this line can be determined with an absolute wavelength calibration by using the cooler Si

II (1533.432 Å) and C I 1542.1766 Å lines in the same spectral window as reference lines. However, this line is much weaker in coronal holes than in the quiet Sun, and blended by several Si I lines. The detailed average line profile of the Ne VIII (770 Å) line in the 2nd order (solid line) is given in Figure 6.12. This coronal hole profile was derived from observations in CH6 on 11 March, 1999. It shows that there is a pronounced shoulder on its red wing, while its quiet-Sun profile has no shoulder. Similar profiles were also observed by other investigators in the polar coronal holes (Damasch *et al.*, 1999c; Wilhelm *et al.*, 2000). Damasch *et al.* (1999c) concluded that the shoulder could only partly originate from a blend of some Si I lines. Such a shoulder leads to a significant deviation of the Ne VIII line from a Gaussian shape, which leads to a further uncertainty in the determination of the Doppler shift of this line. It is necessary to distinguish if such a deviation is caused only by superposition of other lines or by an other unknown physical reason or by both effects.

Naturally, the red shoulder should not exist in profiles when observed in first order of diffraction if it is caused mainly by blends of others lines. We analyse such data obtained by SUMER in coronal holes with the first order of diffraction at wavelength 770 Å using detector B. The average line profile of this line is shown in Figure 6.12 (solid line), which was derived from observation in CH3 on 19 October, 1996. One can find that it can be fitted by a single Gaussian nicely (see dotted line) and obviously has no red shoulder. Other observations of this line in first order (detector B) in ECHs yields a similar result.

In fact, we can roughly eliminate the contribution of the blended components of other lines. The dashed line represents the synthetic profile of several blended Si I lines (from Kelly (1987)). The peak intensity of these blending lines can reach about 20% of the total one. The dotted line shows the profile of Ne VIII after the blending Si I lines are subtracted. It can then be seen that the red shoulder is almost removed. The central position of this line derived from the original profile is determined, by fitting Gaussian, to be red shifted by 0.15 pixels (1.2 km s^{-1}) with respect to the profile obtained after subtracting the Si I blends. In the work of Hassler *et al.* (1999), they subtracted these blends using a first-order High-Resolution Telescope and Spectrograph (HRTS) spectrum and found that this redshift was less than 0.03 pixels (0.2 km s^{-1}). However, it seems that the peak intensity of the Ne VIII line obtained by them was stronger than the current one (see Figure 2B in their paper), so that the central position was less affected by the Si I blends.

Table 6.2 shows the deduced line parameters for coronal holes as well as in the QS region. The new rest wavelength (770.428 Å) determined by Peter and Judge (1999) and Damasch *et al.* (1999c) is used here. The wavelength reported by Kelly (1987) is 770.409 Å, a value of -7.4 km s^{-1} shifted from the new one. Because it is obviously blended, the non-thermal velocity measured in the KBr portion of the detector is less reliable for the CH6.

The Doppler shift measured in this line is comparable with one obtained in the Mg X line for each region. The intensity between the CH6 and QS1 is about 1/3 for the raster data. A larger non-thermal velocity by 7 km s^{-1} is found in CH6 than in QS1. In addition, the blue shift obtained in CH13 is consistent with the result discussed in Section 5.6, in which

a large blue shift with more than 10 km s^{-1} was found above the network without bright points nearby.

Previous studies have also shown similar blue shifts for the Ne VIII line and coronal lines. For example, Wilhelm *et al.* (2002) obtained 5 km s^{-1} for Ne VIII and 10 km s^{-1} for Mg IX; Rottman *et al.* (1981, 1982) measured 12 km s^{-1} relative to outside the CH for the Mg X line ($625 \text{ \AA}$); Cushman and Rense (1976) had results of 13 km s^{-1} for the Si XI line ($303 \text{ \AA}$), 12 km s^{-1} for the Mg X line ($610 \text{ \AA}$), and 14 km s^{-1} for the Mg IX ($368 \text{ \AA}$), which are all relative to outside the CH region.

Table 6.2: Derived line parameters for the Ne VIII line

Region	Pixel	Dispersion ($\text{m\AA}/\text{pixel}$)	Doppler shift (km s^{-1})	Intensity ($\text{mW sr}^{-1}\text{m}^{-2}$)	Non-thermal v. (km s^{-1})
CH6	AK 483	41.90	-5.5 ± 2.1	45.8 ± 3.7	30.6 ± 1.3
CH6(R)	AB 823	42.00	-5.4 ± 1.7	41.1 ± 1.8	32.6 ± 0.8
QS1	AK 483	41.89	-1.5 ± 1.9	143.8 ± 2.9	25.3 ± 0.4
QS1(R)	AB 821	42.01	-3.3 ± 1.7	90.8 ± 0.8	25.3 ± 0.2
CH13(R)	AB 828	41.96	-11.8 ± 1.6	36.9 ± 1.4	34.2 ± 1.0

6.4.2 Transition region lines

In Figure 6.13, three examples of averaged profiles are given for the N V, S VI and O VI lines in both CH and QS regions. Their profiles fitted by a single Gaussian are also plotted. From this figure, one may find a common feature that there is always a slight deviation between the observed profile and the fitted one at its low-intensity wings. This has led to an interpretation that the multi-component nature in the profiles of the transition region lines may reflect the complicated configuration of the network. As was discussed in Section 5.7.2, some authors (see, e.g., Peter, 2000, 2001, and references therein) treated the lines by fitting two Gaussians and found that the presence of a second broader spectral component of transition region lines is a general feature. This second component, with a broader and weaker profile, is suggested to originate from large loops in the quiet Sun or open funnels in the coronal hole, while the core (first component) stems from small cooler loops, and thus has a narrower line width and stronger intensity.

In this study of the coronal hole, we realize that the situation may be more complicated. From the morphological study in Sections 5.7.2 and 5.5, a coronal hole is often mixed with various structures, such as areas with an open magnetic field (funnels), bright points due to an interaction of the bipolar magnetic features and ambient unipolar ones, and small-scale loops associated with the magnetic activity along the network lanes. If a similar study should be carried out in the coronal hole, such different structures should be treated

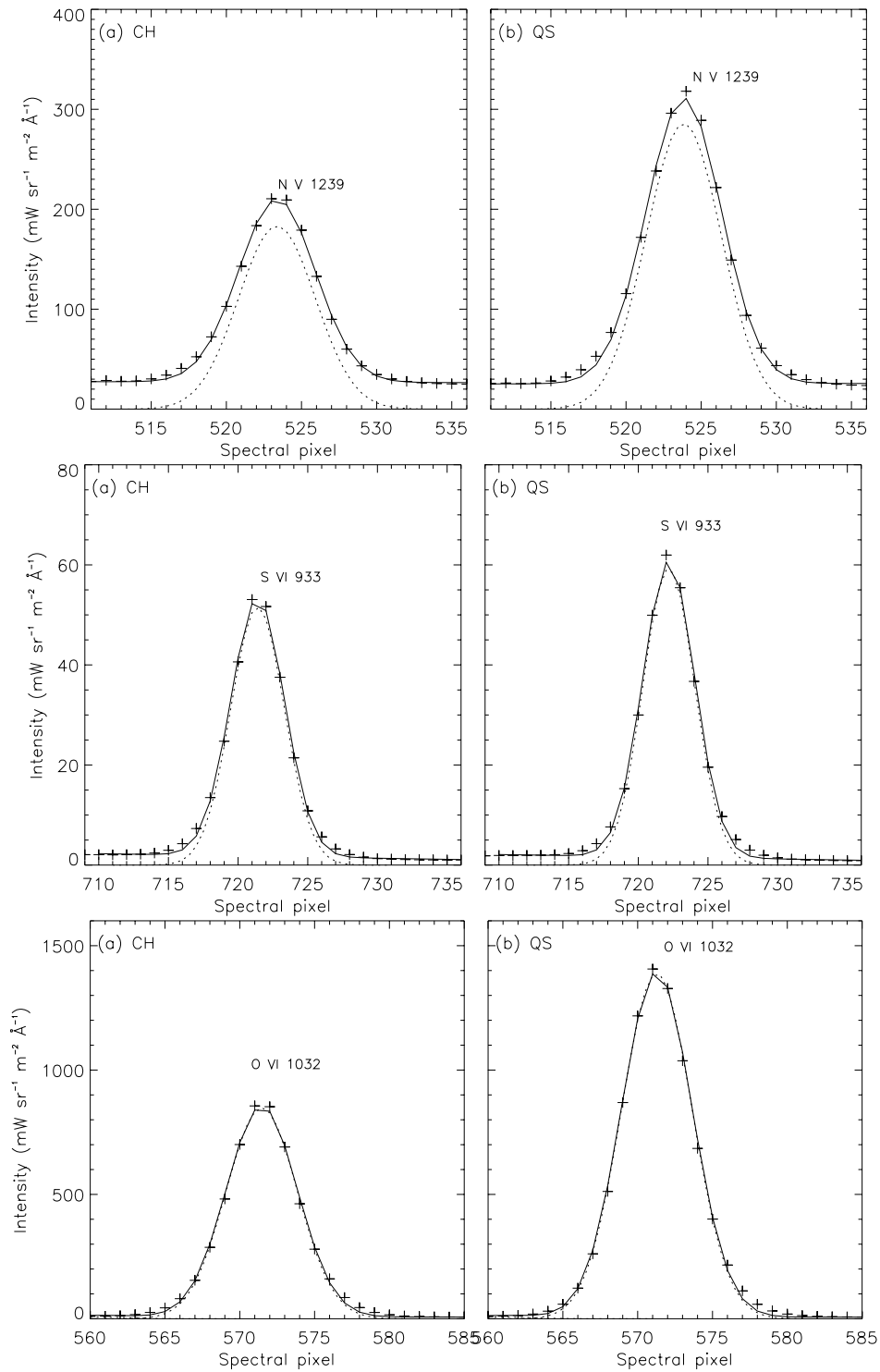


Figure 6.13: Line profiles of the N V, S VI and O V lines at 1239 Å, 933 Å and 1032 Å. The “+” represents the data points from observations. Dotted lines are line profiles fitted with the Gaussian shape, while the solid lines represent the profiles obtained by background plus Gaussian fitting.

separately, which will increase the difficulties in the data analysis and physical interpretation. Hence, we have no attempt to do this in the following study, but only pay attention to the main features of the profiles.

O VI at 1032 Å and 1037 Å

The O VI lines at 1031.9261 Å and 1037.6167 Å (Kaufman and Martin, 1989) have a very strong radiance with a high signal-to-noise ratio, and thus can be used for reliably deducing the line parameters with a high spatial resolution, as was discussed in Chapter 5. They are formed at 0.29 MK. The four O I lines (1027.431 Å, 1028.157 Å, 1040.943 Å and 1041.688 Å) in the same spectral window can be used as reference lines. Another O I line at 1039.230 was not used here, because it was located near the border of KBr and bare on SUMER's detector in some data sets.

From the results listed in Table 6.3, one can see that these two resonance lines have very different Doppler shifts in different coronal holes. A slight blue shift for O VI 1032 Å and small red shift for O VI 1038 Å are deduced for CH13. In other CHs and QS, these lines are red shifted. For each line, the shift is lower in the CHs than in the QS. However, the two lines have a different Doppler shift of about 2–3 km s⁻¹. An even larger difference has been found by adopting the same rest wavelengths used here (Warren *et al.*, 1997b,a). The non-thermal velocity deduced for O VI 1032 Å is systematically lower by 2–3 km s⁻¹ than that of O VI 1038 Å. We suggest that this discrepancy occur because O VI 1038 Å is located very near the C II line at 1037 Å, and thus the analysis may be influenced by the procedure of line fitting. A similar discrepancy can be also found for the C II and N V doublets. The intensity of both lines in CH is measured to be about 40% lower than in QS.

Table 6.3: Derived line parameters for the O VI line

Region	Pixel	Dispersion (mÅ/pixel)	Doppler shift (km s ⁻¹)	Intensity (mW sr ⁻¹ m ⁻²)	Non-thermal v. (km s ⁻¹)
CH6(R)(1)	AK 571	44.16	5.8±2.2	223.2±7.0	37.0±0.8
CH6(R)(2)	AK 701	44.16	8.5±2.1	100.1±5.0	34.6±1.0
QS1(R)(1)	AK 571	44.16	7.5±1.9	363.0±9.9	36.6±0.7
QS1(R)(2)	AK 701	44.16	10.5±1.8	161.6±7.4	34.7±0.7
CH11(1)	AB 208	43.94	3.2±1.6	237.3±8.2	38.9±0.8
CH11(2)	AK 337	43.94	5.4±1.7	106.4±4.0	35.6±1.0
CH13(R)(1)	AK 571	44.15	-0.2±1.8	188.6±3.4	41.2±0.6
CH13(R)(2)	AK 700	44.15	2.7±1.9	90.3±4.2	39.4±0.8

(1) 1031.926 Å; (2) 1037.617 Å.

O v at 629.730 Å

The O v line at 629.730 Å was observed in 2nd order on the bare part of the detector. The rest wavelength is adopted from Kelly (1987). The line is believed to be blended by a S II line (1259.53 Å). In the KBr portion of the detector, the O v line is estimated to be approximately 3–6 times strong than the blending line (Brekke *et al.*, 1997; Teriaca *et al.*, 1999). From our data, when it was recorded in the KBr portion of the detector, its Doppler shift is found to be around 14 km s⁻¹ for all three regions listed in Table 6.4, a value near the 16 km s⁻¹ observed by Brekke *et al.* (1997). Compared with the Doppler shift deduced from the bare portion that is listed in the table, the value deduced from the KBr portion seems to be obviously affected by the blending line.

If recorded in the bare part, Teriaca *et al.* (1999) estimated that the O v line is about 22 times stronger than the blend, and thus its line position should be less influenced. Hence, we used the data obtained from the bare portion for this study. Three chromospheric lines (C I at 1257.5649 Å, Si I at 1258.7950 and S I at 1262.8596 Å) are used as reference lines. The results obtained in two CHs and QS region are shown in Table 6.4.

The Doppler shift of this line measured in QS region is falling in the range obtained by other authors (Peter and Judge, 1999; Teriaca *et al.*, 1999), and about 2.5 km s⁻¹ larger than in CH region. A 55% lower intensity and slightly larger line width can be found in CH6 than in QS1.

Table 6.4: Derived line parameters for the O v line

Region	Pixel	Dispersion (mÅ/pixel)	Doppler shift (km s ⁻¹)	Intensity (mW sr ⁻¹ m ⁻²)	Non-thermal v. (km s ⁻¹)
CH6(R)	AB 150	43.11	5.5±1.7	369.7±25.0	30.2±1.0
QS1(R)	AB 150	43.09	8.2±1.7	839.3±28.6	29.3±0.7
CH13(R)	AB 154	42.97	6.0±1.9	561.8±22.8	31.8±0.8

S VI at 933 Å

The S VI line at 933.380 Å can be measured in several spectral windows obtained in different regions listed in Table 6.5. The rest wavelength is taken from Kaufman and Martin (1993). Three O I lines at 929.517 Å, 932.225 Å and 935.193 Å near this line can serve as reference lines.

In Table 6.5, the Doppler shifts deduced from “raster scan” mode can be found to be apparently larger than those obtained from the “reference spectrum”, and also obviously higher than those of other transition region lines formed at a similar temperature level. At present, we have not found a reason responsible for such a discrepancy.

Table 6.5: Derived line parameters for the S VI line

Region	Pixel	Dispersion (mÅ/pixel)	Doppler shift (km s ⁻¹)	Intensity (mW sr ⁻¹ m ⁻²)	Non-thermal v. (km s ⁻¹)
CH6(R)	AK 361	44.24	7.4±1.8	9.8±0.6	35.3±1.4
CH6(R)	AK 650	44.42	8.7±1.7	11.4±0.4	36.8±0.8
CH6	AK 722	44.57	15.5±1.6	11.1±0.4	34.4±0.6
CH6(R)	AB 937	44.48	7.6±1.7	11.3±0.9	36.1±1.4
QS1(R)	AK 361	44.26	10.4±1.9	12.8±0.6	33.3±1.0
QS1(R)	AK 650	44.43	13.0±2.3	13.3±0.7	32.4±1.3
QS1	AK 722	44.53	18.3±1.9	12.3±0.5	32.8±0.8
QS1(R)	AB 937	44.56	14.4±1.8	15.9±1.8	32.4±1.4
CH13(R)	AK 644	44.34	7.2±1.7	8.6±0.3	37.2±0.8

S v at 786 Å

The rest wavelength of the S v line was reported to be 786.470 Å (Kaufman and Martin, 1993) and 786.480 Å (Kelly, 1987), which is equivalent to a difference in velocity of 3.8 km s⁻¹. The results listed in Table 6.6 are derived by using the rest wavelength of 786.480 Å, which was observed in 2nd order of the diffraction. Three chromospheric lines (the Fe II lines at 1569.674 Å and 1570.244 Å, and the Si I line at 1578.478 Å) are used as reference lines. The derived line parameters are listed in Table 6.6.

Table 6.6: Derived line parameters for the S v line

Region	Pixel	Dispersion (mÅ/pixel)	Doppler shift (km s ⁻¹)	Intensity (mW sr ⁻¹ m ⁻²)	Non-thermal v. (km s ⁻¹)
CH6(R)	AK 377	41.70	8.8±1.8	31.4±1.0	26.4±0.6
QS1(R)	AK 377	41.65	10.4±1.6	55.0±1.4	24.2±0.4
CH13(R)	AK 390	41.66	5.2±1.6	55.5±1.6	24.7±0.4

N v at 1238 Å and 1242 Å

To measure the Doppler shift of these two resonance lines of N v is difficult due to a lack of reliable reference lines very near them. Only some C I lines on the red side of them can be used. Fortunately, because they were recorded on the center of the detector in our data, we may assume that there is a nearly constant dispersion relation on this central part of the detector. Therefore, we try to use three C I lines (1244.535 Å, 1245.538 Å and 1249.004 Å), whose positions can be well fitted, to determine the dispersion and the absolute shift

of the N v lines. The rest wavelengths of 1238.821 Å and 1242.804 Å are adopted from Kelly (1987). They have a deviation of 5.1 km s⁻¹ and 6.3 km s⁻¹ from those reported by Edlén (1934) (1238.800 Å and 1242.778 Å). From Table 6.7, we find that the two lines have a relatively consistent Doppler shift with a departure of less than 2 km s⁻¹, which may imply that the average Doppler shift measured in the two lines is reasonable.

Table 6.7: Derived line parameters for the N v line

Region	Pixel	Dispersion (mÅ/pixel)	Doppler shift (km s ⁻¹)	Intensity (mW sr ⁻¹ m ⁻²)	Non-thermal v. (km s ⁻¹)
CH6(1)	AK 524	43.40	5.1±1.6	50.9±1.1	33.0±0.3
CH6(2)	AK 616	43.40	6.6±1.7	27.0±1.1	32.2±0.8
CH6(R)(1)	AK 552	43.21	7.1±1.6	27.7±1.2	31.3±0.8
CH6(R)(2)	AK 644	43.21	7.7±2.1	14.5±1.6	27.9±1.8
QS1(1)	AK 524	43.35	13.0±1.6	77.9±3.6	32.1±0.8
QS1(2)	AK 616	43.35	12.7±1.7	40.0±2.4	32.0±1.1
QS1(R)(1)	AK 552	43.17	11.6±1.7	86.7±2.9	29.2±0.7
QS1(R)(2)	AK 644	43.17	11.9±1.7	41.4±2.1	26.4±1.7
CH13(R)(1)	AK 556	43.32	4.7±1.7	51.5±2.9	37.7±1.3
CH13(R)(2)	AK 648	43.32	6.5±2.1	24.8±1.7	33.1±1.7

(1) 1238.821 Å; (2) 1242.804 Å.

C IV at 1548 Å and 1550 Å

Two resonance lines of C IV at 1548.204 Å and 1550.781 Å were observed in the same spectral window as the Ne VIII line. New rest wavelengths are adopted from a recent work done by Griesmann and Kling (2000) and are very close those (1548.202 Å and 1550.774 Å) listed in Kelly (1987). The wavelength calibration is made by using the C I line (1542.1766 Å) and the Fe II line (1550.274 Å). From Table 6.10, very consistent results are obtained for each line in both CH6 and QS1. A 15% lower intensity and 1 km s⁻¹ larger non-thermal velocity can be found in the CH region.

N IV at 765 Å

The N IV line at 765.148 Å (Kelly, 1987) was observed in 2nd order. The reference lines used to make a wavelength calibration are two Si II lines at 1526.7076 Å and 1533.4320 Å. From Table 6.9, this line has a small red shift obtained in CHs. However, it should be mentioned that the reference lines of Si II themselves have a red shift of about 2 km s⁻¹ relative to the S I and C I lines. Hence, if calibrated to these lines, its Doppler shift will

Table 6.8: Derived line parameters for the C IV line

Region	Pixel	Dispersion (mÅ/pixel)	Doppler shift (km s ⁻¹)	Intensity (mW sr ⁻¹ m ⁻²)	Non-thermal v. (km s ⁻¹)
CH6(1)	AK 660	41.83	5.9±1.7	438.5±7.7	26.0±0.4
CH6(2)	AK 721	41.83	5.8±1.9	208.5±7.5	26.3±0.6
QS1(1)	AK 660	41.82	7.9±1.7	524.4±4.8	24.9±0.2
QS1(2)	AK 721	41.82	7.4±1.9	242.8±9.4	25.4±0.7

(1) 1548.204 Å; (2) 1550.781 Å.

be 4.8 km s⁻¹, 7.8 km s⁻¹ and 3.2 km s⁻¹ for CH6, QS1 and CH13, respectively. The intensity of this line is found to be 50% weaker in CH6 than in QS1.

Table 6.9: Derived line parameters for the N IV line

Region	Pixel	Dispersion (mÅ/pixel)	Doppler shift (km s ⁻¹)	Intensity (mW sr ⁻¹ m ⁻²)	Non-thermal v. (km s ⁻¹)
CH6(R)	AK 571	42.00	2.8±1.7	53.1±1.8	24.7±0.6
QS1(R)	AK 571	42.02	5.8±1.7	101.9±2.0	23.7±0.3
CH13(R)	AK 578	41.95	1.2±1.6	91.0±3.3	24.7±0.7

O IV at 1401 Å and 788 Å

The O IV lines at 1401.156 Å and 787.711 Å (in 2 nd order) were recorded in two different spectral windows. For the O IV 1401.156 Å, the reference lines are the equivalent of those of the two Si IV lines (1393.755 Å and 1402.770 Å). Three chromospheric lines serve as reference lines for the O IV line at 787.711 Å, which include the Fe II lines (1569.674 Å and 1570.244 Å) and the Si I line (1578.478 Å). The results are listed in Table 6.10. It should be mentioned that another O IV line at 790.199 Å is not used, which shows a considerably smaller red shift and broader line width, as also found by Brekke *et al.* (1997). By inspection of the literature (Kelly, 1987), this line is obviously blended by another O IV line at 790.109 Å, so that the measured line profile is actually contributed by two lines.

Si IV at 1394 Å and 1403 Å

Two Si IV lines were recorded in a same spectral window around 1400 Å. Their rest wavelengths of 1393.755 Å and 1402.770 Å are taken from Kelly (1987). Four chromospheric lines, Fe II at 1392.817 Å, Si I at 1396.1121 Å, 1401.5135 Å and 1409.337 Å are used to

Table 6.10: Derived line parameters for the O IV line

Region	Pixel	Dispersion (mÅ/pixel)	Doppler shift (km s ⁻¹)	Intensity (mW sr ⁻¹ m ⁻²)	Non-thermal v. (km s ⁻¹)
CH6(R)(1)	AK 502	42.64	7.5±1.8	15.7±1.1	29.6±1.3
CH6(R)(2)	AK 436	41.70	5.4±1.8	57.5±2.2	24.6±0.7
QS1(R)(1)	AK 502	42.65	10.7±1.8	38.7±3.0	24.9±1.0
QS1(R)(2)	AK 436	41.65	6.9±1.6	103.4±2.5	24.2±0.4
CH13(R)(1)	AK 505	42.63	6.8±1.9	27.4±1.0	27.6±0.7
CH13(R)(2)	AK 449	41.66	4.1±1.6	96.6±2.3	23.1±0.6

(1) 1401.156 Å; (2) 787.711 Å in 2nd order.

determine the dispersion relation and the Doppler shift. Table 6.11 shows the derived line parameters for the two lines.

Table 6.11: Derived line parameters for the Si IV line

Region	Pixel	Dispersion (mÅ/pixel)	Doppler shift (km s ⁻¹)	Intensity (mW sr ⁻¹ m ⁻²)	Non-thermal v. (km s ⁻¹)
CH6(R)(1)	AK 328	42.64	6.1±1.7	194.5±5.4	30.1±0.6
CH6(R)(2)	AK 540	42.64	4.7±1.9	98.3±6.4	28.6±1.1
QS1(R)(1)	AK 328	42.65	7.6±1.9	310.2±16.1	25.8±0.7
QS1(R)(2)	AK 540	42.65	7.7±1.9	162.2±11.6	27.1±0.8
CH13(R)(1)	AK 331	42.63	4.7±1.9	249.3±10.3	26.2±0.7
CH13(R)(2)	AK 542	42.63	3.7±2.0	133.4±12.5	29.1±1.4

(1) 1393.755 Å; (2) 1402.770 Å.

C II at 1036 Å and 1037 Å

In the spectral window around 1030 Å together with the two O VI lines, the C II lines at 1036.3367 Å and 1037.0182 Å (Kaufman and Edlén, 1974) are also very strong with a high signal-to-noise ratio. They are formed at a temperature of about 0.05 MK. The reference lines used for these two lines are the same as the ones for O VI lines. The results obtained in three regions are shown in Table 6.12.

As we have discussed, the C II line at 1037 Å is very near the blue side of the O VI line at 1038 Å, thus the measurement of the line width is possibly affected by such a position. This may be responsible for a systematically larger non-thermal velocity deduced for this

line than for the C II line at 1036 Å. For the Doppler shift, a discrepancy of the two lines is measured to be 1–2 km s⁻¹, relatively smaller than for the two O VI lines. In addition, no pronounced difference of the Doppler shift is found between CH6 and QS1 if considering the uncertainty of measurements. Furthermore, CH6 exhibits a marginally stronger intensity than QS1.

Table 6.12: Derived line parameters for the C II line

Region	Pixel	Dispersion (mÅ/pixel)	Doppler shift (km s ⁻¹)	Intensity (mW sr ⁻¹ m ⁻²)	Non-thermal v. (km s ⁻¹)
CH6(R)(1)	AK 671	44.16	5.2±2.1	53.2±3.2	26.9±0.8
CH6(R)(2)	AK 687	44.16	6.4±2.0	62.6±1.4	30.6±0.6
QS1(R)(1)	AK 671	44.16	4.9±2.1	49.9±3.9	24.4±1.0
QS1(R)(2)	AK 687	44.16	5.9±1.7	59.5±1.4	26.8±0.4
CH11(1)	AK 308	43.94	4.1±1.6	45.8±0.5	29.3±0.3
CH11(2)	AK 324	43.94	6.1±1.6	55.1±1.9	31.2±0.8
CH13(R)(1)	AK 671	44.15	4.9±1.8	61.5±1.8	28.8±0.4
CH13(R)(2)	AK 686	44.15	4.7±1.8	73.9±1.3	30.9±0.4

(1) 1036.337 Å; (2) 1037.018 Å.

6.4.3 Chromospheric lines

The chromospheric lines, such as S I, Si I, Fe II, C I, O I and Si II, are frequently used as reference lines to determine the Doppler shift of other lines formed at a higher temperature. The S I and Si I lines are believed to be formed at a temperature below 10000 K and are assumed to be rest (see, e.g. Chae *et al.*, 1998c). The other lines listed above have formation temperatures between 10000 K to 20000 K. Using SUMER data, Chae *et al.* (1998c) suggested that they have a redshift of 1.5–2.6 km s⁻¹ relative to S I and Si I. In the QS region, Teriaca *et al.* (1999) measured such relative Doppler shifts to be around 0 km s⁻¹ for the Fe II lines, -0.1 – 1.3 km s⁻¹ for the O I lines and 1.8 km s⁻¹ for the Si II line, respectively.

Si II

For the Si II lines, the measurement is carried out in our study in the spectral window around 1310 Å, in which a series of S I and C I lines can be used as reference lines. They include S I lines at 1302.8633 Å and 1303.1105 Å, as well as C I lines at 1311.363 Å, 1311.924 Å and 1312.247 Å. The rest wavelength of this Si II line is at 1304.3719 Å. Another Si II line at 1533.432 Å was recorded in the spectral window around 1540 Å and

used to measure the line intensity and width. All rest wavelengths are taken from Kelly (1987). The results are shown in Table 6.13.

As can be seen from Table 6.13, the Si II line at 1304 Å has a Doppler shift of 2.3 km s⁻¹ in both CH and QS regions. The non-thermal velocity for both lines is around 20 km s⁻¹, in agreement with that measured by Teriaca *et al.* (1999). The intensity, if seen in Si II 1533.432 Å that has much more data samples, is slightly higher in the CH region. Note that these lines may be affected by finite opacity, so the measured line widths may be larger than their real values.

Table 6.13: Derived line parameters for the Si II line

Region	Pixel	Dispersion (mÅ/pixel)	Doppler shift (km s ⁻¹)	Intensity (mW sr ⁻¹ m ⁻²)	Non-thermal v. (km s ⁻¹)
CH6(R)(1)	AK 311	43.00	2.3±2.0	47.8±3.6	22.0±1.3
CH6(2)	AK 306	41.90		228.5±17.8	23.7±1.1
QS1(R)(1)	AK 311	43.00	2.3±2.1	52.3±5.7	18.7±1.1
QS1(2)	AK 306	41.90		203.1±11.7	21.7±0.7
CH13(R)(1)	AK 315	43.00	1.8±1.9	50.6±6.0	20.7±1.3

(1) 1304.372 Å; (2) 1533.432 Å.

O I

The Doppler shift of the O I lines are measured in the spectral window around 1310 Å, the same as for the Si II line at 1304 Å. The two O I lines have rest wavelengths of 1304.8575 Å and 1306.0286 Å (Kelly, 1987). Three lines in other spectral windows with rest wavelengths of 929.517 Å, 1355.598 Å and 1358.518 Å are used to measure the intensity and line width. The results are shown in Table 6.14.

From the results listed in Table 6.14, one can find that these lines exhibit a small Doppler shift relative to the C I line. The line width measured for these lines shows a larger discrepancy. The lines at 1356 Å and 1359 Å have a small value of non-thermal velocity around 10 km s⁻¹, consistent with those measured by other authors (Chae *et al.*, 1998a; Teriaca *et al.*, 1999), while the others have a larger value around 20 km s⁻¹, which may suffer more from finite opacity than the former two. Again, they have a slightly larger intensity in CH than in QS regions.

C I and Fe II

From our data, we find that the Fe II line at 1550.274 Å has an average Doppler shift of -0.5 ± 1.5 km s⁻¹ relative to the Si I lines, in agreement with the result obtained

Table 6.14: Derived line parameters for the O I line

Region	Pixel	Dispersion (mÅ/pixel)	Doppler shift (km s ⁻¹)	Intensity (mW sr ⁻¹ m ⁻²)	Non-thermal v. (km s ⁻¹)
CH6(R)(1)	AK 322	43.00	1.8±1.7	514.3±29.4	25.1±0.7
CH6(R)(2)	AK 349	43.00	1.1±1.6	548.6±18.8	22.5±0.4
CH6(3)	AK 635	44.57		2.1±1.5	21.3±0.7
CH6(R)(4)	AK 326	42.76		84.4±10.1	10.5±0.8
CH6(R)(5)	AK 394	42.76		24.8±2.7	9.6±1.0
QS1(R)(1)	AK 322	43.00	1.3±1.7	737.8±34.5	21.8±0.6
QS1(R)(2)	AK 349	43.00	0.0±1.9	773.9±40.8	19.7±0.7
QS1(3)	AK 635	44.53		1.8±0.2	21.6±1.5
QS1(R)(4)	AK 326	42.76		77.3±10.5	10.9±1.1
QS1(R)(5)	AK 394	42.76		22.1±2.7	10.2±0.7
CH13(R)(1)	AK 326	43.00	1.1±1.7	584.3±22.0	21.4±0.6
CH13(R)(2)	AK 353	43.00	0.0±1.7	621.9±46.3	19.2±0.6

(1) 1304.858 Å; (2) 1306.029 Å; (3) 929.517 Å; (4) 1355.598 Å; (5) 1358.518 Å.

by Teriaca *et al.* (1999). The non-thermal velocity measured in this line has a value of 10.2 ± 1.0 km s⁻¹ in CH6 and 10.8 ± 0.8 km s⁻¹ in QS1.

For the C I line at 1542.177 Å, the non-thermal velocity has been measured to be 8.9 ± 0.6 km s⁻¹ in CH6 and 9.7 ± 0.6 km s⁻¹ in QS1. Moreover, both regions have the same value of the intensity.

It is interesting to find that, if observed in the same spectral window, the line width of chromospheric lines such as Fe II and C I lines has a very clear positive correlation with the intensity. As suggested by Doschek *et al.* (2001), this phenomenon is possible due to an opacity effect.

Continuum

In Table 6.15, the intensities of the continuum in wavelengths between 910 Å and 1540 Å are given for both CH6 and QS1. At the wavelength around 1539 Å, there is no essential difference between the two regions. A slight enhancement of the continuum in the CH can be found in wavelengths around 1235 Å, 932 Å and 912 Å. Thus, there is no problem to conclude that the chromosphere of the two regions is more or less similar, although a detailed difference still exists, for example, the intensity distribution as was discussed in the last section. It should be mentioned that this comparison is made between the coronal hole and a normal QS region, but not the surrounding area of the CH, where a pronounced enhancement of the intensity for continua with a wavelength shorter than 1100 Å can be found (Schühle *et al.*, 1999). As was pointed by these authors, the polar crown filament

may influence the brightness of the emission. If compared with the surrounding area of the equatorial coronal hole, which is usually associated with an active region, we also find that the surrounding area exhibits apparently enhanced brightness of the continuum in different wavelengths.

Table 6.15: Derived intensity of the continuum

Wavelength (Å)	Intensity (CH6) (mW sr ⁻¹ m ⁻² Å ⁻¹)	Intensity (QS1) (mW sr ⁻¹ m ⁻² Å ⁻¹)
1539	178.5	178.8
1235	23.9	22.1
932	1.7	1.5
912	49.5	47.7

6.5 Temperature dependence of intensity, Doppler shift and line width

6.5.1 Intensity ratio between the CH and QS regions

From the results discussed above, an average intensity ratio of different lines, for comparison between the coronal hole and the QS region, can thus be derived. Since the observa-

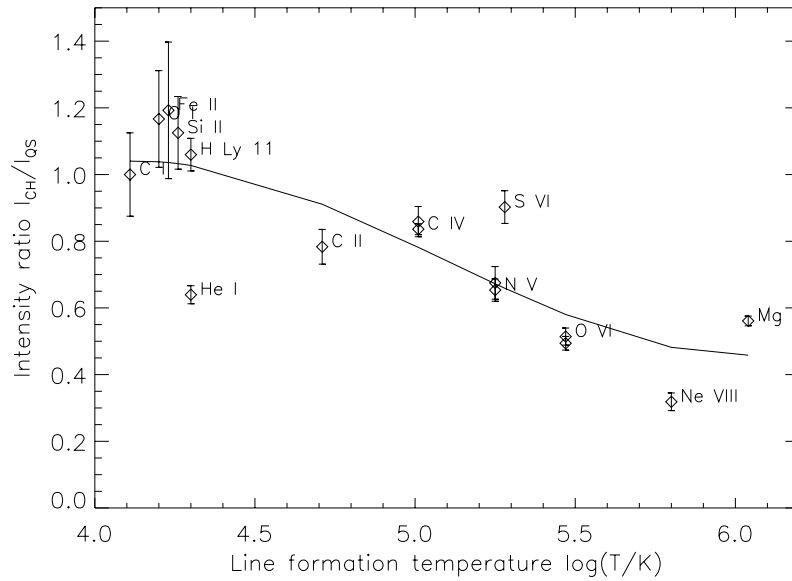


Figure 6.14: Line intensity ratio between CH and QS regions in various lines versus the line formation temperature.

tions made in “reference spectrum” mode of SUMER have less data samples, and since the intensities are sensitive to the detailed structures pointed at by the slit, only the data obtained with the “raster scan” mode in CH6 and QS1 are used here to study the variation of the average radiance in different lines. The result is shown in Figure 6.14 with a scatter plot of the intensity ratio between the CH and QS regions for each line.

The trend of the intensity ratio varying with the formation temperature shown in Figure 6.14 is generally in agreement with previous observations (Wilhelm *et al.*, 2002; Lemaire *et al.*, 1999; Dere *et al.*, 1989b; Huber *et al.*, 1974; Stucki *et al.*, 1999). However, it is worthwhile to emphasize here some additional interesting aspects. From Figure 6.14, the intensity ratio between the CH and QS region can be found to be close unity in the C I lines, rises to 1.1 to 1.2 for the other chromospheric lines (O I, Fe II and Si II) with a higher formation temperature of above 15000 K, and then drops again in the transition region and coronal lines. An exception is the He I line that has only 65% of the QS brightness. In the transition region, one can find that the intensity ratio of the Li-like ions decrease with increasing formation temperature, but the S VI line shows a relatively small change in intensity between the two regions. As was discussed in Section 6.3.1, an apparent difference of the intensity distribution is also present for the Li-like ions of N^{4+} and O^{5+} , for which we have suggested that it may be due to their wider formation temperature range.

Combined with the results of the continuum list in Table 6.15, it is interesting to note that the intensity ratio seen in the C I line and the continuum around 1539 Å indicates that the low chromosphere is indeed the same for both CH and QS regions. But the evidence shows the upper chromosphere has an enhanced brightness in the CH, as was also found by other authors in various chromospheric emission lines (Ly α , Ly β , Ca II and Mg II, which are formed at temperatures of about or less than 20,000 K) (Gopalswamy *et al.*, 1999), as well as in microwaves at frequencies between 15 and 39 GHz (Bocchialini and Vial, 1996). However, because of a rather large uncertainty of our measurements, this result needs to be confirmed in future work.

6.5.2 Average Doppler shift

A temperature dependence of the average Doppler shift is plotted for CH 6 and QS 1 in Figure 6.15. In Figure 6.15, the upper and middle panels show the average Doppler shift varying with the formation temperature in the CH and QS region, respectively, while the difference of the Doppler shift between the two regions measured in each line is illustrated in the bottom panel. The curves plotted as solid lines in each panel show the trend of the variation.

Except for the Ne VIII and Mg X lines, all other transition region lines appear on average red shifted in both CH and QS regions. Average red shifts of the transition region lines range mainly from 5 km s⁻¹ to 10 km s⁻¹ in the CH region, and 5 km s⁻¹ to 15 km s⁻¹ in the QS region. Small Doppler shifts are measured for the Ne VIII and Mg X lines

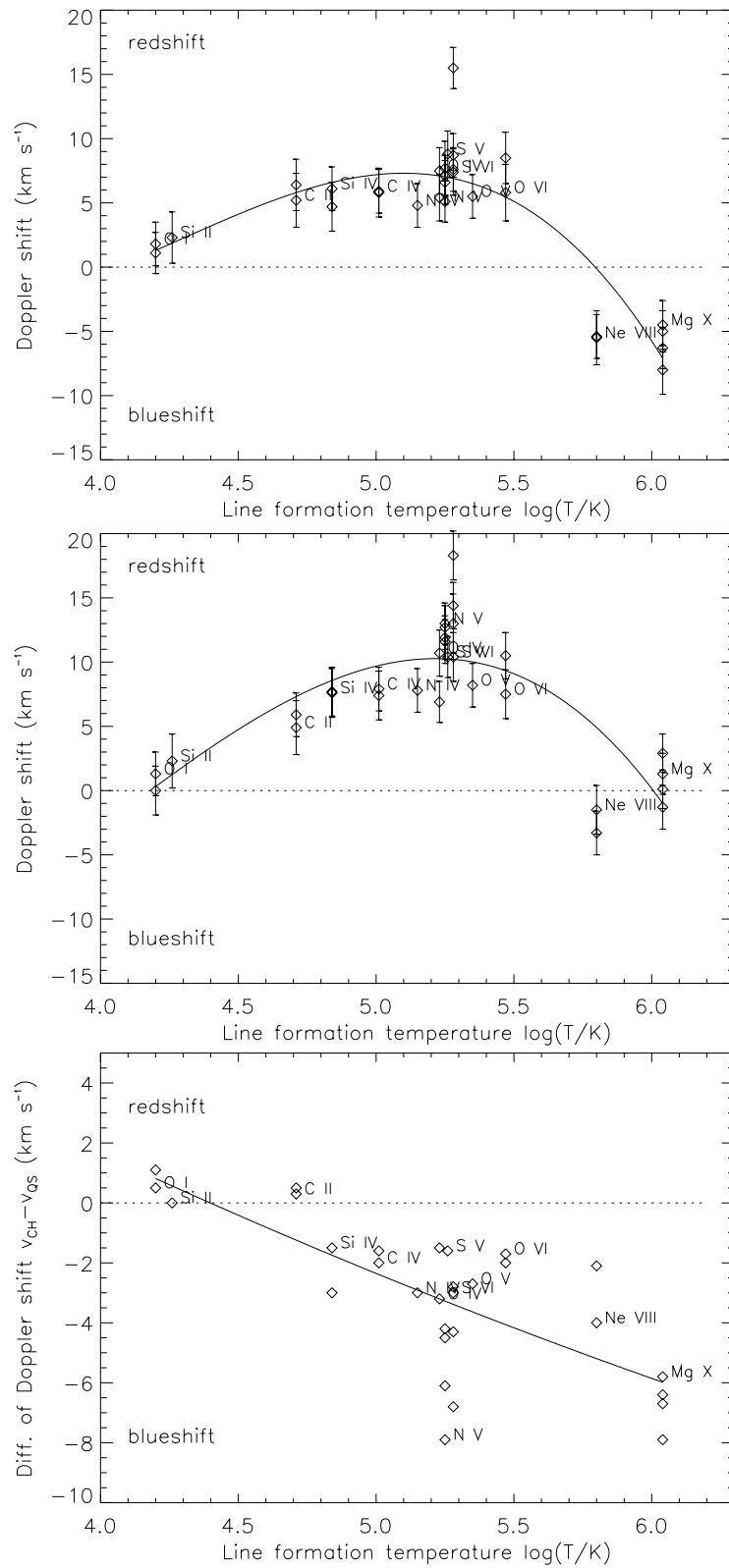


Figure 6.15: Average Doppler shift in various lines versus the formation temperature in CHs and QS. (a) CH; (b) QS; (c) Difference of the Doppler shift between the CH and QS regions

in the QS region. If considering that most of the emission of the two lines is constrained to magnetic loops in the QS region, these results are reasonable. On the other hand, both the Ne VIII and Mg X lines have average blue shift in the CH region. The temperature dependence of the average Doppler shifts, in both the CH and QS regions, are consistent with previous results obtained by (Chae *et al.*, 1998c; Peter and Judge, 1999; Teriaca *et al.*, 1999), i.e., the red shift increases first with increasing line formation temperature, but then drops again at a temperature of about 0.3 MK (at the O VI line).

The difference of the Doppler shift between the CH and QS regions also shows a temperature-dependent property. For chromospheric lines Si II and O I, such a difference is very small (within 1 km s^{-1} and rather small if considering the measurement errors). Except for the C II lines, transition region lines have systematically smaller red shifts in CHs than in the QS region. It should be emphasized that this relative Doppler shift is derived for each line with the same wavelength, which was recorded in a same pixel address, and calibrated by same reference lines for the CH and QS region. So the different shift should be more reliable because in this case only the fitting error will influence the accuracy of the measurements. The result obtained in this study is in agreement with that obtained in the PCHs (Stucki *et al.*, 2000b). Such a difference of the Doppler shift may be important to consider the mass balance in the transition region of the CH and QS regions (Pneuman and Kopp, 1978), as was discussed in Section 6.3.3.

6.5.3 Average line width

In Figure 6.16, the average non-thermal velocity deduced for CH6 and QS1 is plotted versus the line formation temperature. For the QS region, the trend of non-thermal velocity varying with the temperature is similar to that found by other authors (Chae *et al.*, 1998a; Teriaca *et al.*, 1999), who also used the data obtained by SUMER. However, both results obtained by Teriaca *et al.* (1999) and our data exhibit a generally larger value than those of Chae *et al.* (1998a). As pointed by Teriaca *et al.* (1999), the instrumental broadening estimated by Chae *et al.* (1998a) is higher than that used in the standard software in the SUMER archive. In addition, our data show a larger non-thermal velocity of the N V, S VI and O VI lines. As we have mentioned in Section 6.4.2, these lines exhibit an obvious second component in their line profiles, which may lead to a broadening of the fitted line profile because we could not deduce them separately. Another possible reason may be that our data were obtained during the solar maximum, while the observations studied by other authors mentioned above were obtained near the solar minimum. This is indeed true for the Mg X line, as will be discussed in the following.

For the line width in the CH region, the variation trend of lines formed below the upper transition region is more or less similar to that in the QS region. The difference between the two regions is small, with a larger value of around $1\text{--}2 \text{ km s}^{-1}$ in the hole. However, an apparent difference arises for the Ne VIII and Mg X lines. It should be noted that we just compare them in two regions observed within several days during the solar maximum. In other coronal holes, as shown in Table 6.1, the line width of the Mg X line shows very

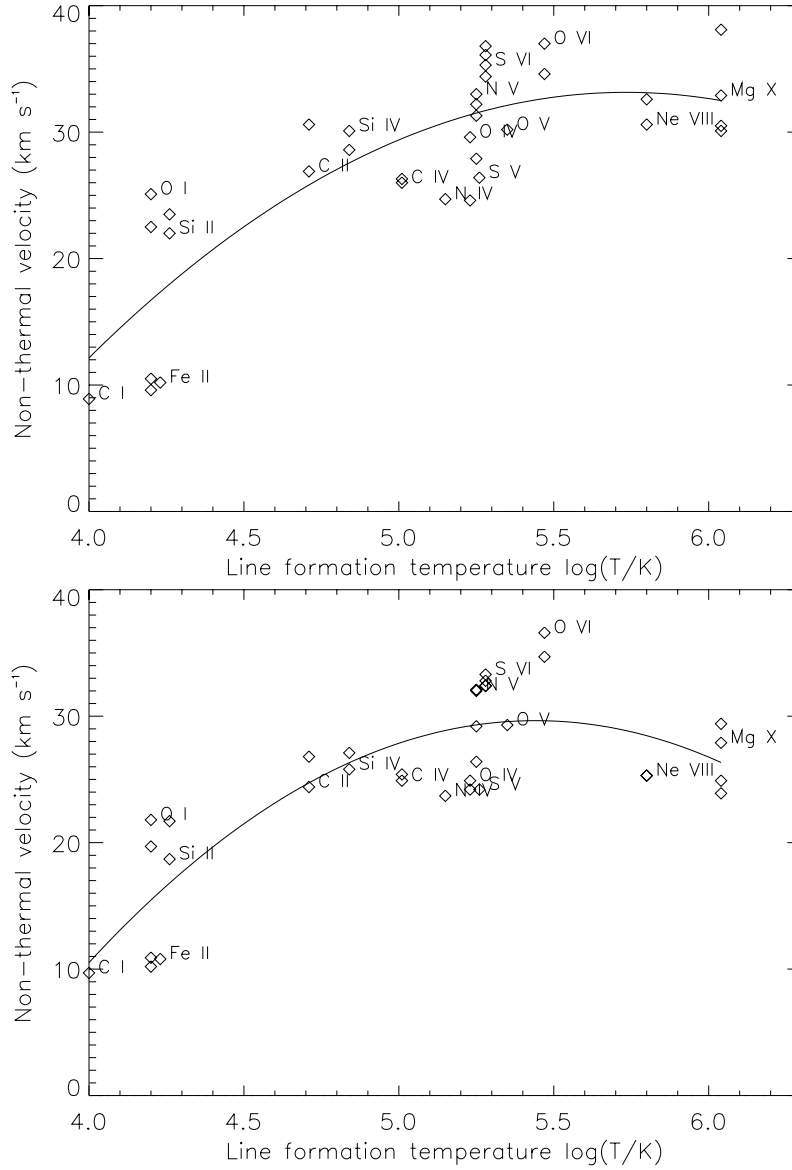


Figure 6.16: Average line width in various lines versus the formation temperature in CHs and QS. (a) CH; (b) QS.

large variation in different holes. The possible reason will be discussed in the following section by comparison of the underlying magnetic fields in these holes.

Our comparison of the non-thermal velocity between the CH and QS regions confirms the results of some previous studies, in which it was found that there is a slightly larger Doppler width in CH than in QS regions (Wilhelm *et al.*, 2000; Dere *et al.*, 1989b; Lemaire *et al.*, 1999; Stucki *et al.*, 1999). From all these studies, a conclusion may be made that the difference of the measured line width in the two regions is small for the lines formed below the upper transition region.

Furthermore, the non-thermal velocity deduced from the Ne VIII and Mg X lines in the CH region are not inconsistent with that measured above the limb in polar holes. Doschek *et al.* (2001) found that the Ne VIII line width implies a non-thermal velocity of 35 km s^{-1} at the limb, while the Mg X line has a value of 39 km s^{-1} at about $30''$ above the limb.

6.6 Plasma parameters deduced from coronal lines and relation to the underlying magnetic field

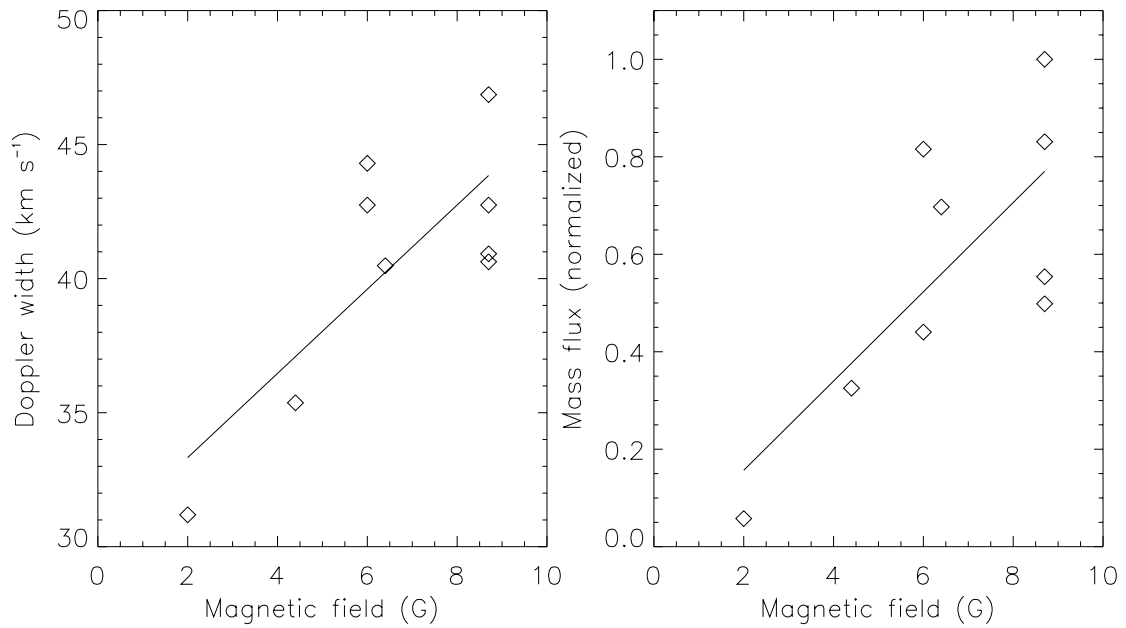


Figure 6.17: Left: Line width of the Mg X line versus the magnetic field observed in CHs. Right: Derived mass flux versus the magnetic field.

In Table 6.1, line parameters of the Mg X line have been measured in five coronal holes. Among them, two were observed during solar minimum and three at solar maximum. The results show that the plasma properties inferred by the Mg^{9+} ion seem to be different in these five holes. By inspection of the magnetic field data observed by NSO/KP, the average field flux density exhibits a different value in these holes. Motivated by this notion, we search for a possible relationship between the plasma parameters deduced from coronal lines and the underlying magnetic field.

In this study, all the plasma parameters are deduced from the Mg^{9+} ion, which is ionized at a temperature of around 1.1 MK. At this coronal temperature, the whole corona appears to be fairly homogeneous at a scale large compared with the network. The Mg X emission pattern also indicates a more uniform coronal magnetic field there, although we can not measure it directly. An reasonable assumption may be that the measured plasma parameters represent the average properties in the coronal hole at a height where the Mg^{9+} ion

has its maximal emission. These properties may be determined directly by the underlying photospheric magnetic field which can be measured by remote sensing observations. Considering that the large-scale magnetic field in the coronal hole is unipolar, we calculate only the net magnetic field flux density (signed) inside the hole, and assume that bipolar structures are locally closed (without large-scale bipolar structures reaching into the corona).

In the left panel of Figure 6.17, the line width of the Mg X line versus the net flux density of the magnetic field is plotted for different coronal holes. Instead of averaging the values, which are deduced from different datasets obtained in the same hole by SUMER, we present them in a scatter plot shown in the figure. The solid line represents a linear fit to the data, which is just used to indicate the trend. In the figure, a positive correlation between the magnetic field and line width $v_{1/e}$ can be found. The line width shows a clear trend and increases with the increase of the underlying magnetic field. Such a relation between the two parameter seems to be an important constraint on theory, and may be a key to understand the heating process of the coronal hole.

Using the same method as discussed in Section 5.6, we investigate a possible relationship between the magnetic field and the outward mass flux. The proton (main component of the solar wind) density can be roughly represented by the electron density. In principle, the electron density ratio between different regions can be estimated by the line intensity ratio, if we simply assume that the emission volume (having the same bottom area) is of the same size for the Mg X line, and that the electron temperature is same in these regions. A further assumption is that the deduced Doppler shift of the Mg X line represents the real outflow velocity of the Mg^{9+} ions which are assumed to be markers of the proton flow.

In the right panel of Figure 6.17, we plot the quantity of $v\sqrt{I}$ (with $I \sim n_e^2$) indicating the outward mass flux versus the magnetic field flux density for different coronal holes. Again, the linear fit (solid) is just used to show the trend. A clear positive correlation between the two quantities can be found from this figure. If this estimation of the mass flux ratio between different holes is reliable, it would place an observational constraint on the mass flux. Our findings indicate that the mass flux of the nascent fast solar wind may be directly associated with the net flux density of the magnetic field in the hole.

One should bear in mind, however, that the assumptions we have made above may be violated in the case that different coronal holes are involved. There may be two reasons: First, we don't know exactly the emission volume; it may vary between different regions. Second, different holes may have a different electron temperature, and therefore the Mg X emissivity may vary, since it depends on the electron temperature. For example, if one hole has an electron temperature equal to the line formation temperature, and another hole a lower one, then the total emissivity of the line will be lower in the latter hole, even if the electron density and emission volume are same for the two regions. This is possible in coronal holes because their electron temperature is often lower than 1 MK (see, e.g., Marsch, 1999), which is lower than the formation temperature of the Mg X line. A more reliable approach would be to measure the electron density directly, which will be done for CH1 and CH2 (see the next chapter). In that study, we will show that the mass flux

is indeed larger in CH1 than in CH2 by a factor of about 2.5. Simply estimated from the line ratio of the Mg X line shown in the right panel of Figure 6.17, this factor is about 5.5. Unfortunately, because the parameters for the other three CHs were measured by using detector A of SUMER, it is impossible to determine directly the coronal electron density. Therefore, the empirical relations established here between the net magnetic field strength and plasma parameters need be confirmed in future work.

6.7 Summary and discussion

In this chapter, a statistical and quantitative study has been carried out with SUMER data obtained in five ECHs and a QS region located on the disk center. Line parameters deduced from various lines in both CH and QS regions have been used to investigate the plasma properties in these regions. Moreover, a relationship between deduced line parameters of the coronal line of Mg X and the underlying magnetic field observed by NSO/KP has been investigated. The main results are summarized as follows:

- From the histogram plots of the line parameters, the intensity distribution shows that a slight difference between CHs and the QS region has already presented from the upper chromosphere. A prominent difference can be seen in the transition region lines, in particular for the Li-like ions and helium. The apparent higher counts in the high-intensity tail for the Li-like ions and helium are consistent with the morphologic results that the loop-like structures of these lines are more extended into the cell interiors in CHs than in the QS region.
- The average intensity is lower for transition region and coronal lines in CHs than in the QS region. The intensity ratio between CH and QS regions generally decreases with the formation temperature, in agreement with previous studies. Such a decrease of the intensity ratio is found to be more clearly for the helium and Li-like ions. There is a weak evidence that the lines formed in the upper chromosphere are slightly stronger in the CH region.
- The distribution of the Doppler shift exhibits a more spread profile for the O VI, Ne VIII and Mg X lines with a high formation temperature, while for other cooler lines it shows a same trend, but less pronounced.
- Except for the Ne VIII and Mg X lines, all other lines appear on average red shifted in both CH and QS regions. Average red shifts of the transition region lines range mainly from 5 km s^{-1} to 10 km s^{-1} in the CH region, and 5 km s^{-1} to 15 km s^{-1} in the QS region. Small Doppler shifts are measured for the Ne VIII and Mg X lines in the QS region. On the other hand, both the Ne VIII and Mg X lines have average blue shift in CHs. The temperature-dependence of average Doppler shifts in both CH and QS regions are consistent with previous results, i.e., the red shift increases first with increasing line formation temperature, then drops again at a temperature of about 0.3 MK (in the O VI line). Moreover, transition region lines have systematically smaller red shifts in CHs than

in the QS region. The difference of the Doppler shift between CH and QS regions also show a temperature-dependent property.

- The distribution of the line width reveals a slightly wider value for all lines in CHs, and to be more obvious for the Ne VIII and Mg X lines. By inspection of the line width deduced from averaged line profiles, it is found that the trend of non-thermal velocity varying with the temperature is similar as in the QS region as found by other authors. For the line width in CHs, the variation trend of lines formed below the upper transition region is more or less similar as in the QS region. The difference between the two regions is small, with a larger value of around $1\text{--}2\text{ km s}^{-1}$ in CHs. An apparent difference arises for the Ne VIII and Mg X lines between CH6 and QS1, which were observed at a same solar active level. However, the line width of the Mg X line shows very large variation in different holes.

- It is found that an apparent relationship between the line parameters and the chromospheric network is present for the most measured lines. The chromospheric line of Si II reveals a very clear positive correlation between its Doppler shift, intensity and line width. The relatively red shifts and larger line widths are mainly corresponding to the network. For the He I line and transition region lines, such a relation becomes more complicated. In general, a larger blue or red shift usually has a larger line width and intensity, and also corresponds to a larger intensity of continuum, which implies that they mainly occur in the network. However, such a relationship is not clearly present for the C IV line, as was also found by other authors. In the Ne VIII line, there exists a slightly positive correlation between the Doppler shift and intensity. A bluer shift tends to have a lower intensity and a redder one corresponds to a larger intensity. For the line width, the tendency reveals to be inversely. Related to the continuum, the most counts with a larger blue shift have an averaged continuum intensity around the threshold defined as the boundary of network and cells. The result is consistent with the morphological results, which show that larger Doppler shifts come mainly from the network with a concentration of an unipolar magnetic field, but with a more areal extension compared with the area of the network defined in chromospheric lines. This also confirms that such a tendency deduced from the equatorial CHs is similar to the one in polar CHs.

- There exists a clear positive correlation between Doppler shifts deduced from different lines that were observed simultaneously in the same spectral window. Moreover, the corresponding magnitudes of the Doppler shift vary with different lines formed at different layers, i.e., a larger value of the Doppler shift seen in the O VI line corresponds to a smaller one in the C II line and an even smaller one in the H I $L\beta$ line. This statistical result nicely confirms our previous result obtained in the morphologic study.

- The line width deduced from the Mg X line shows a clear trend to increase with increasing underlying magnetic field strength in CHs. Moreover, the quantity of $v\sqrt{I}$ (with $I \sim n_e^2$), which is used here as a measure of the coronal mass flux of the nascent fast solar wind, also reveals a clear positive correlation to the magnetic field strength. Such a relation between the line parameters and the underlying magnetic field seems to be an important constraint on theory, and may be a key to understand coronal heating and solar wind acceleration in coronal holes.

Chapter 7

Comparison of Coronal and in situ Observations

7.1 Introduction

The correlation of the equatorial coronal holes and the high-speed streams observed at the Earth's orbit has been studied since the Skylab time (see, e.g., Krieger *et al.*, 1973; Nolte *et al.*, 1976). It was found that the magnetic field in the coronal hole, which is rooted on the Sun's surface in the magnetic network and expands from there outward into the interplanetary space, has a rapidly diverging configuration (Zirker, 1977). In such large-scale open fields, the coronal plasma can be quickly accelerated and become supersonic within several solar radii. As discussed in Chapter 2 and 5, it is suggested by theoretical work that the nascent fast solar wind can be accelerated to several tens of km s^{-1} in the magnetic funnel (Marsch and Tu, 1997; Hackenberg *et al.*, 2000; Vocks and Marsch, 2002; Li, 2002). The plasma velocity deduced by measuring the Doppler shift of the Ne VIII and Mg X lines ranges from $3 - 12 \text{ km s}^{-1}$ at the bottom of coronal holes (see Chapter 6). We believe that these blue shifts represent the real outflow velocity of the nascent fast solar wind, because (1) the Dopplergrams of these two lines show generally blue shifts in the coronal hole, and in particular, the Mg X line has a more homogeneous velocity field; and (2) their Doppler shifts measured in the quiet Sun are rather small, which usually fall in the $0 \pm 3 \text{ km s}^{-1}$ range, as expected, and do not show prominent large-scale outflow there.

The main purpose of the work described in this chapter is to study the relationship between the equatorial coronal holes and the related high-speed solar wind streams, by directly measuring the physical parameters inside the coronal holes and then comparing with those deduced from in situ observations of the solar wind. In details, we deduce the outflow velocity, electron density and photospheric magnetic field obtained by SUMER, MDI and NSO/KP and same parameters obtained by SWE and MFI onboard WIND at 1 AU, and discuss the conservation of the mass and magnetic flux and the expansion of solar wind stream tubes. This study is motivated by the following reasons: First, the photospheric magnetic fields can be measured reliably in low-latitude coronal holes. Second, the line-of-sight component of the velocity deduced from spectral lines can approximately represent the velocity of the real flow, if the Doppler shift can be interpreted as the bulk motion of the solar wind plasma. Third, high-speed solar wind streams produced by such CHs can be observed in the ecliptic plane near the Earth, where the wind parameters were routinely observed by some satellites. Fourth, during the 1996 "Whole Sun Month Campaign" (10 August to 8 September) properties of the "Elephant's trunk" hole have been intensively studied by using various instruments or through modelling work (see, reviews of Bromage *et al.*, 2000; Gibson, 2001). For SUMER, the data obtained in the polar hole

has been analyzed by Warren and Hassler (1999) and Doschek *et al.* (1998). However, SUMER observations of this “Elephant’s trunk” hole at lower latitudes have not been reported.

The chapter is organized as follows: in the next section, we give a brief description of the selection of data and instruments; The relationship of the observed coronal holes and the high-speed solar wind streams are described in Section 3; Section 4 presents the diagnostic of the electron density in two holes by using SUMER data; Then in section 5, results from a detailed comparison of the coronal and in situ observations will be discussed; Finally, the summary and discussion are given in Section 6.

7.2 Data selection

The data were obtained in two equatorial coronal holes (CH1 and CH2 as listed in Table 4.1) by SUMER during the solar minimum in the second half of 1996. The “reference spectrum” mode and slit 2 with the size of $1'' \times 300''$ were used. The data were telemetered from SUMER’s detector B. This provides an opportunity to use coronal lines such as the Mg IX lines, which can not be recorded in detector A in the first order, to measure the electron density in the corona. Moreover, simultaneous data obtained by four other instruments onboard the spacecraft SOHO and WIND as well as the photospheric magnetic field from NSO/Kitt Peak were also completely available and thus can be used. The instruments and their measurement items are listed in Table 7.1.

Table 7.1: Instruments and their measurement items: comparison of coronal and in situ observations

Instruments	Measurements
SUMER	intensity, Doppler shift, line width and electron density
EIT	coronal hole boundaries (Fe XII 195Å)
MDI	photospheric magnetic field
NSO/KP	photospheric magnetic field
SWE	solar wind speed, density and temperature at 1AU
MFI	interplanetary magnetic field at 1AU

7.3 Equatorial coronal holes and high-speed solar wind streams

During the solar minimum in the second half of 1996, a large equatorial coronal hole (CH1), which extended from the north pole and was connected with an active region at the latitude of about 20° S, was one of the most prominent features seen on the solar disk. This

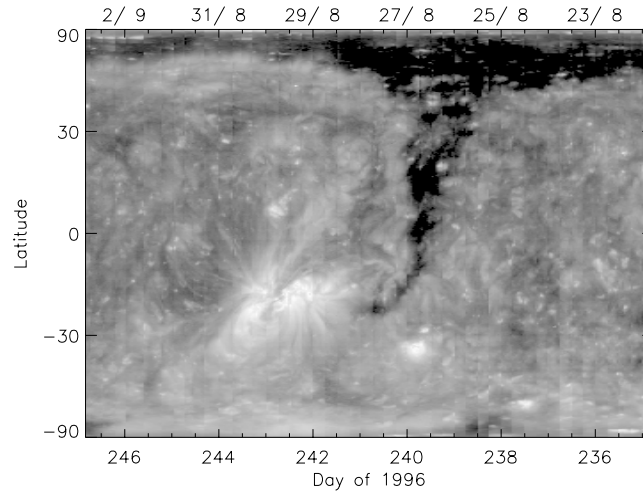


Figure 7.1: EIT meridian map during the period from 22 August to 3 September, 1996, showing the recurrent “Elephant’s Trunk” coronal hole.

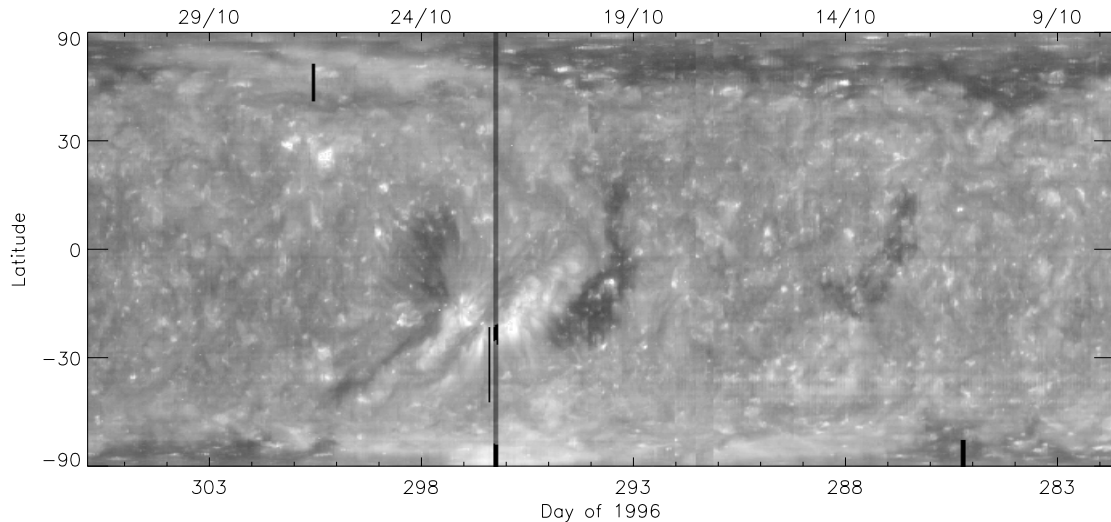


Figure 7.2: EIT meridian map during the period from 8 October to 1 November, 1996, showing three equatorial coronal holes.

“Elephant’s trunk” hole can be seen in the EIT meridian map as dark region in Figure 7.1. This meridian map was observed by EIT in the Fe XII 195 Å channel during the time from 22 August to 3 September, 1996, as a part of the Carrington rotation (CR) 1913. The main structure of this “Elephant’s trunk” persisted for several months and could still be clearly seen in the center of the EIT meridian map from 8 October until 1 November (part of CR 1914 and 1915), but then was disconnected from the polar region (see Figure 7.2). On each side of this hole, two isolated coronal holes (CH2 and CH4) can be seen in this figure. Checking the photospheric magnetic field observed by MDI and NSO/KP, it can be inferred that CH1, CH2 and CH3 have a positive polarity of the average net magnetic flux density (direction away from the Sun), while CH4 has a negative one.

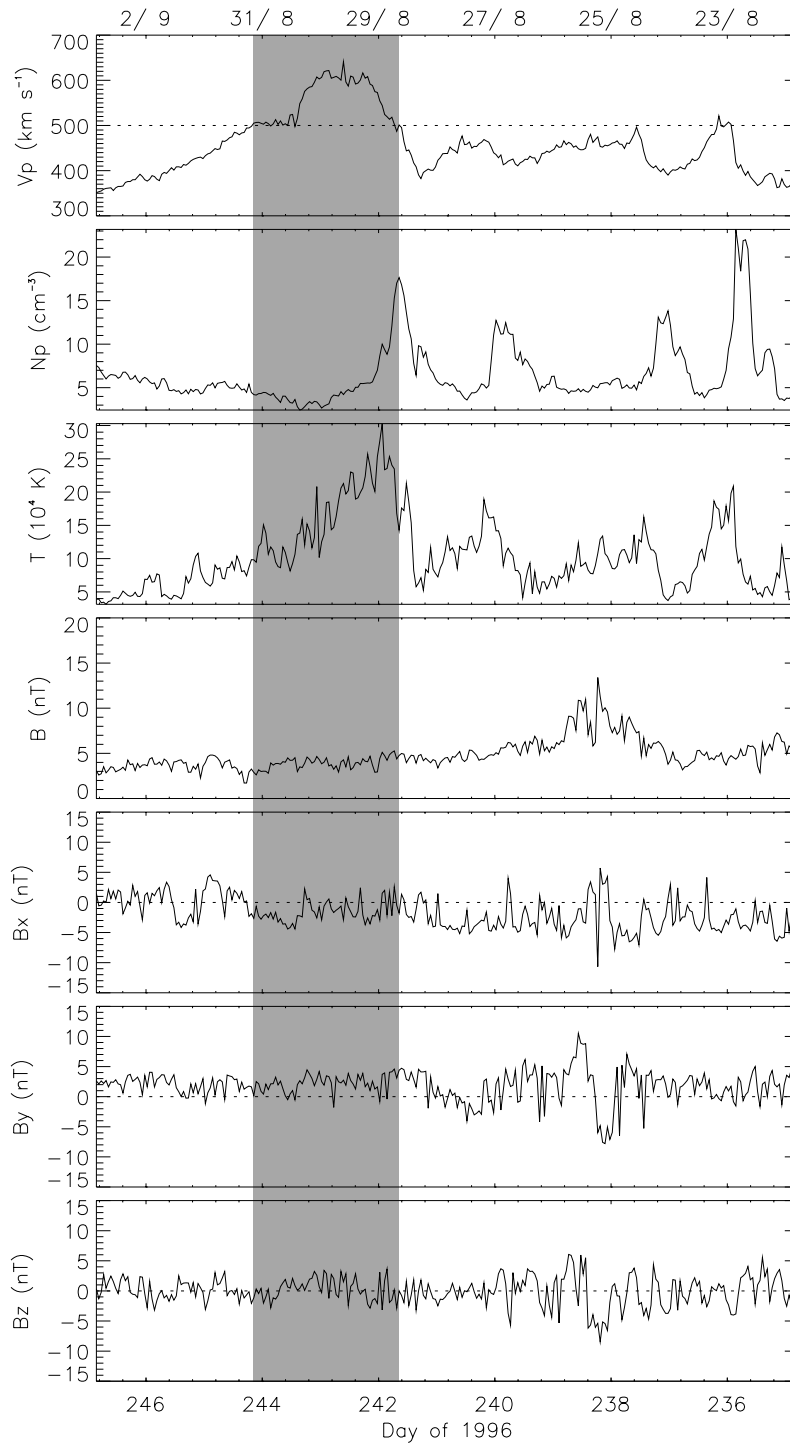


Figure 7.3: Solar wind parameters observed by SWE and MFI on WIND at 1 AU during the same period as Figure 7.1 showing a related high-speed stream. Note the displacement of the fast stream with respect to the CH in time, which is due to the travel time (3-5 days) of the stream from the corona to 1 AU.

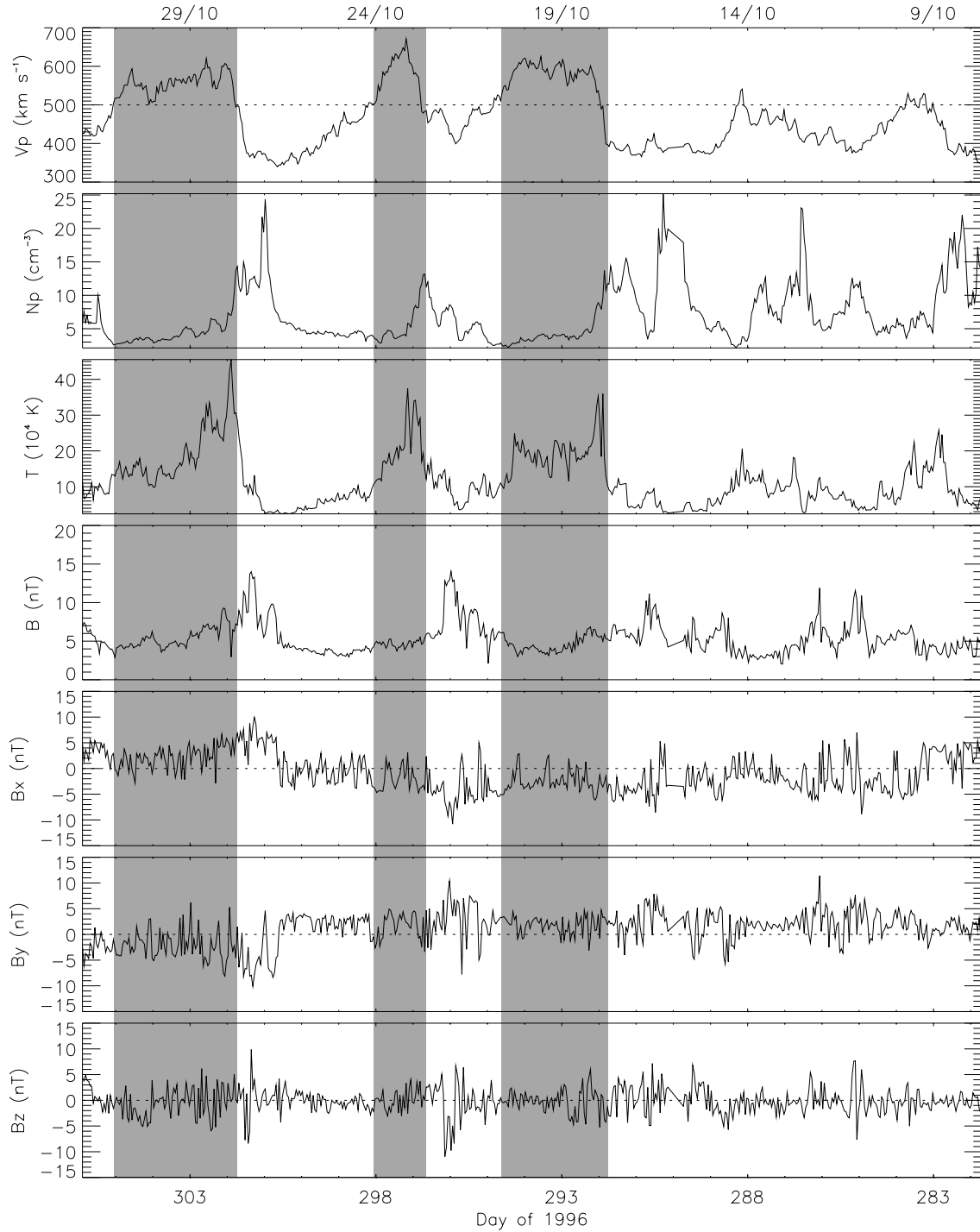


Figure 7.4: Solar wind parameters showing three related high-speed streams observed by SWE and MFI on WIND at 1AU during the same period as Figure 7.2, which shows the three associated source CHs.

The four CHs and their related high-speed streams are shown in Figures 7.3 and 7.4. In the two figures, we plot hourly-averaged plasma parameters of the solar wind (proton velocity, density, temperature) measured by SWE/WIND and the interplanetary magnetic field (IMF) (magnitude and three vector components in GSE coordinates) observed by MFI/WIND at 1AU during the same period as in Figures 7.1 and 7.2. The data were obtained when the WIND satellite was in GSE coordinates located at $X_{GSE}=30 R_E \sim 130 R_E$ (X_{GSE} pointing to the Sun, $R_E \sim 6400$ km) and $Y_{GSE}=-70 R_E \sim 10 R_E$ (Y_{GSE} pointing to the south direction). Therefore, its orbit was nearly in the ecliptic plane.

High-speed solar wind streams related to these coronal holes can be readily distinguished in Figures 7.3 and 7.4. They have typical properties, i.e., high proton velocities of above 500 km s^{-1} , low proton densities of about $3\text{-}5 \text{ cm}^{-3}$ and high proton temperatures of around $2 \times 10^5 \text{ K}$ (deduced from the most probable proton velocity). It should be noted that the time delays of the high-speed streams, compared with the time when their corresponding source regions occurred, are due to the travel time (3-5 days) of the wind from the corona to 1 AU. Moreover, compared with the typical fast solar wind, having an average velocity of 750 km s^{-1} as observed in the polar hole by Ulysses (Woch *et al.*, 1997; McComas *et al.*, 2000), the high-speed streams produced by these coronal holes had lower velocities ranging between 550 and 650 km s^{-1} , as also found by other authors (see, Gibson, 2001, and references therein).

From inspection of the magnetic field data, it can be found that the streams corresponding to CH1, CH2 and CH3 have a positive polarity of the interplanetary magnetic field, while the stream corresponding to CH4 has a negative one. They are consistent with the polarities of the photospheric magnetic field observed in these coronal holes.

7.4 Diagnostic of electron density in coronal holes

7.4.1 Line intensity ratios

As discussed in 3.4.3, the intensity ratio of an allowed emission line and a forbidden or intersystem emission line can, due to its density-sensitivity, be used to determine the electron density, making the assumption of equal electron and ion temperatures. With the SUMER spectrometer, measurements of electron density take full advantage of the high spectral resolution and wide spectral range of wavelengths. In particular, some line pairs are obtained from the same spectral window of SUMER, which ensures that the measured emission is really from the same plasma regime. In order to study the electron pressure of the transition region, measurements of electron densities in various regions have been carried out by using several density-sensitive line pairs (Si III, O V, Ne VII, Mg VIII, and Mg IX) observed by SUMER (see, e.g., Doschek *et al.*, 1998; Warren and Hassler, 1999).

For the study of the equatorial coronal holes, such measurements become more difficult due to the reduced emission in holes and the blends of cooler lines on the disk. Line profiles of useful line pairs suggested by some authors (Warren and Hassler, 1999;

Doschek *et al.*, 1998; Wilhelm *et al.*, 1995; Laming *et al.*, 1997; Mariska, 1992) have been checked carefully. We found that only the line pairs Si III, O V and Mg IX can be used for our study. Fortunately, the formation temperatures of the Si III, O V and Mg IX line are around 0.05 MK, 0.25 MK and 1 MK, respectively, and can thus represent three regions of the solar atmosphere ranging from the lower transition region to the coronal base. The theoretical intensity ratio versus the electron density of these three line pairs are shown in Figure 7.5. The three curves were calculated by using the CHIANTI atomic database and software (Dere *et al.*, 1997). It should be mentioned that the line ratios of the Si III and O V pair have a pronounced dependence on the electron temperature (see dotted and dashed lines in Figure 7.5). Detailed comments on these line pairs can be found in Mariska (1992), Warren and Hassler (1999) and Doschek *et al.* (1998).

7.4.2 Line profiles deduced from SUMER data

The CH1 and CH2 including the positions of the SUMER slit are shown in Figures 7.6 and 7.7. Because the coronal lines are quite weak in these CHs, we have binned the data along the slit in the Y direction, thereby selecting areas obtained from homogeneous dark parts of the holes, from 190'' to 335'' for CH1 (Figure 7.6) and from $-60''$ to 50'' for CH2 (Figure 7.7), in order to avoid inclusion of the bright structures. There two reasons for excluding the bright structures. First, as discussed in Chapter 5, these structures in coronal holes have a high radiance but contribute only a small part of the mass flux to the solar wind. Second, inclusion of such structures, which occurred mainly in regions having magnetic features with mixed polarities and bright emissions, will lead to smearing of the information gained from the dark parts of the holes. These dark areas have been confirmed to produce plasma with a high outward velocity and to be the main source of the fast solar wind (see Chapter 5).

The spatially averaged profiles of these lines together with the fitted ones are shown in Figure 7.8, which was deduced from the dataset obtained in CH2. In the most cases, multi-Gaussian fitting is used, because of the blends with other lines. Usually, one line of each line pair is strong enough to be fitted reliably (see Figure 7.8 (a), (c), (f) for lines Si III at 1296 Å, O V at 758 Å and Mg IX at 706 Å, respectively), while another one is quite weak (see Figure 7.8 (b), (d) for lines Si III at 1301 Å and O V at 761 Å) or blended by the neighbouring line (see Figure 7.8 (e) for the Mg IX line at 694 Å, which is near the Na IX line at 694.13 Å). For each line pair, we therefore fit first the strong one and obtain the line width, and then fit the weak one by assuming that it has the same line width as the strong one. This procedure can reduce the number of fit parameters and make the fitting more reliable. It should also be mentioned that, although the Si III line (1301 Å) is very weak, it can still be fitted nicely owing to the smooth background around this line.

Warren and Hassler (1999) have mentioned that the line widths deduced from their datasets taken between 0232 UTC and 0830 UTC on 27 August, 1996 were systematically wider by about 20% than they were in the following observations, because the SUMER's detector B was used before it was optimized for focus. The datasets selected

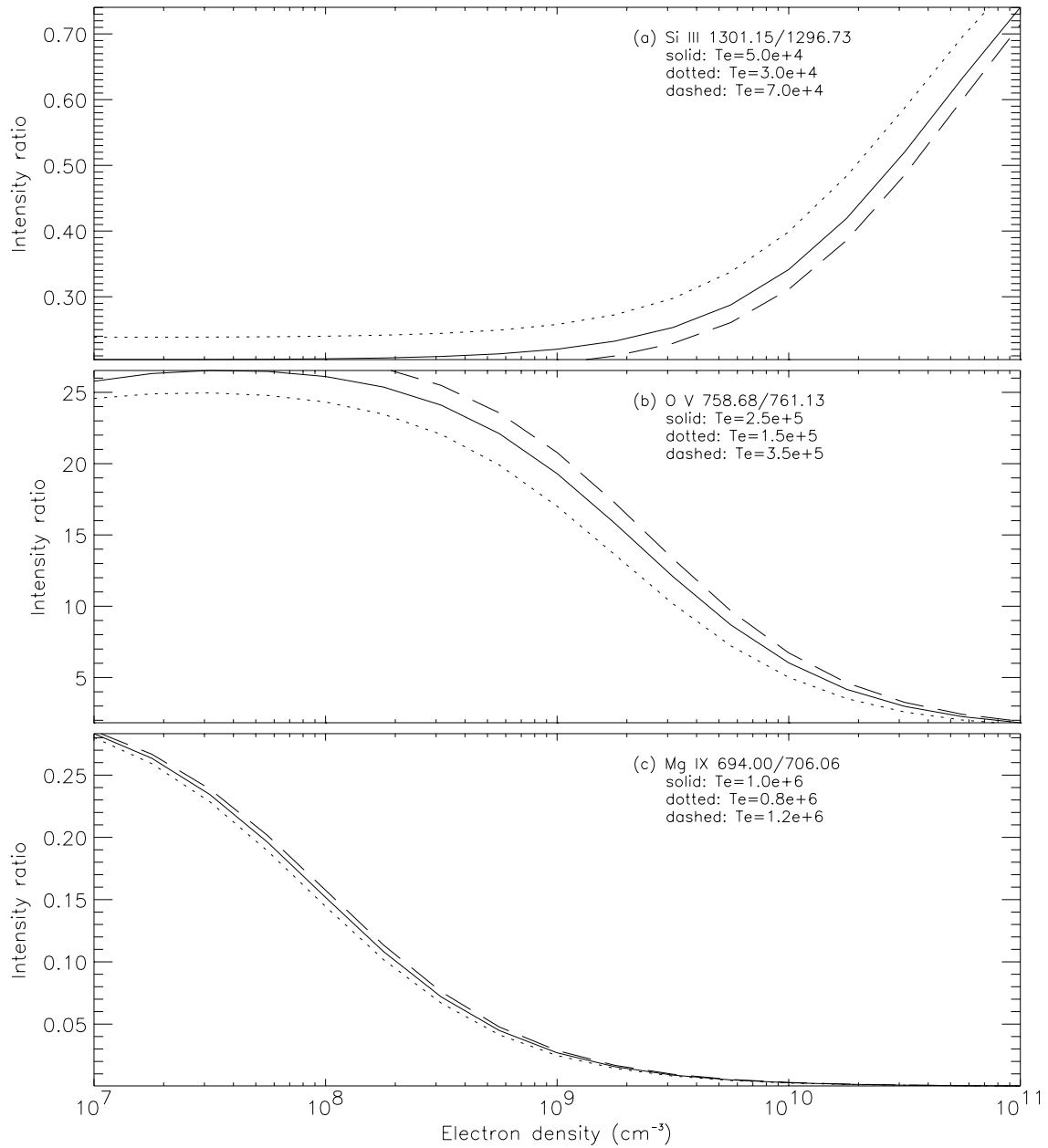


Figure 7.5: Theoretical line intensity ratios versus electron density for different electron temperatures. The ratios are calculated by means of the CHIANTI atomic database and software. The solid lines correspond to the temperatures of ionization equilibrium. (a) Si III; (b) O V; and (c) Mg IX.

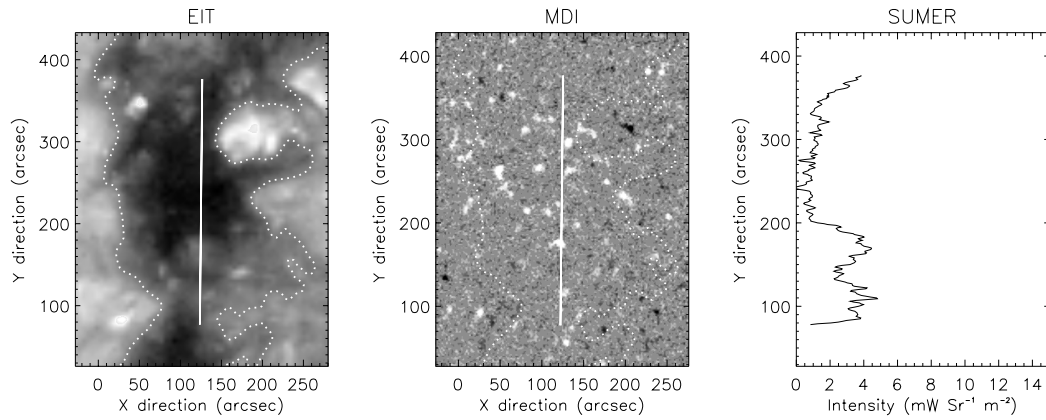


Figure 7.6: Left: EIT map on 27 August, 1996, showing part of the coronal hole “Elephant’s Trunk”. Middle: MDI magnetogram. Right: Integrated line intensity of the Mg IX line (706 Å) along the slit of SUMER. Note the coronal hole boundary indicated by a dotted line and the SUMER slit position indicated by a solid line in the EIT and MDI images.

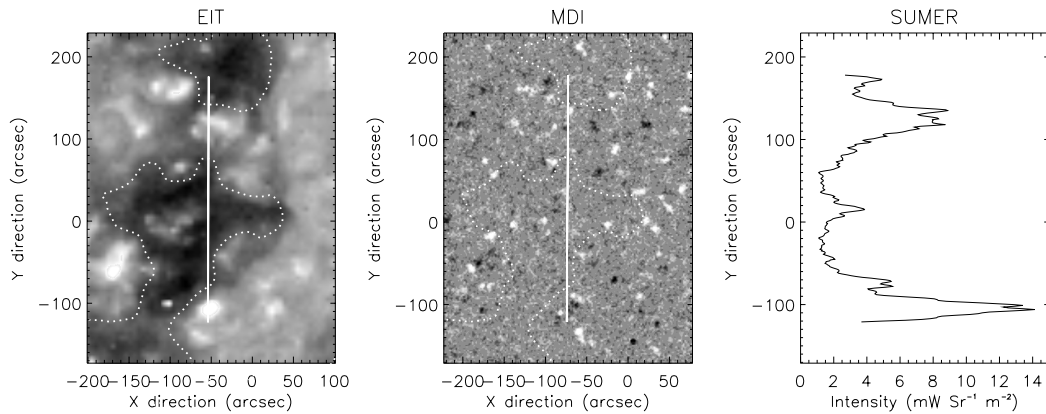


Figure 7.7: The same as Fig. 7.6 but for the coronal hole observed on 12 October, 1996.

here were taken between 1125 UTC and 1635 UTC. A careful check of our datasets has been carried out by comparing the line widths of cooler lines with those observed later in CH2 on 12 October, 1996. Only a 2% difference in the line width has been found for the Si I line (1300.9 Å). Thus, the datasets used here have no such effects.

7.4.3 Electron densities deduced from SUMER data

After having observed the line intensity ratios of three line pairs, the theoretical line intensity ratios shown in Figure 7.5 are used to determine the electron densities in CH1 and CH2. CH1 was also observed by CDS/SOHO at the same time. CDS covered an area ranging from $-50''$ to $200''$ in the solar Y-direction (Del Zanna and Bromage, 1999), while the SUMER slit covered it from $80''$ to $380''$. From the EIT map and also the intensity of the Mg IX line shown in Figures 7.6, the coronal hole appears brighter below $190''$ in Y-

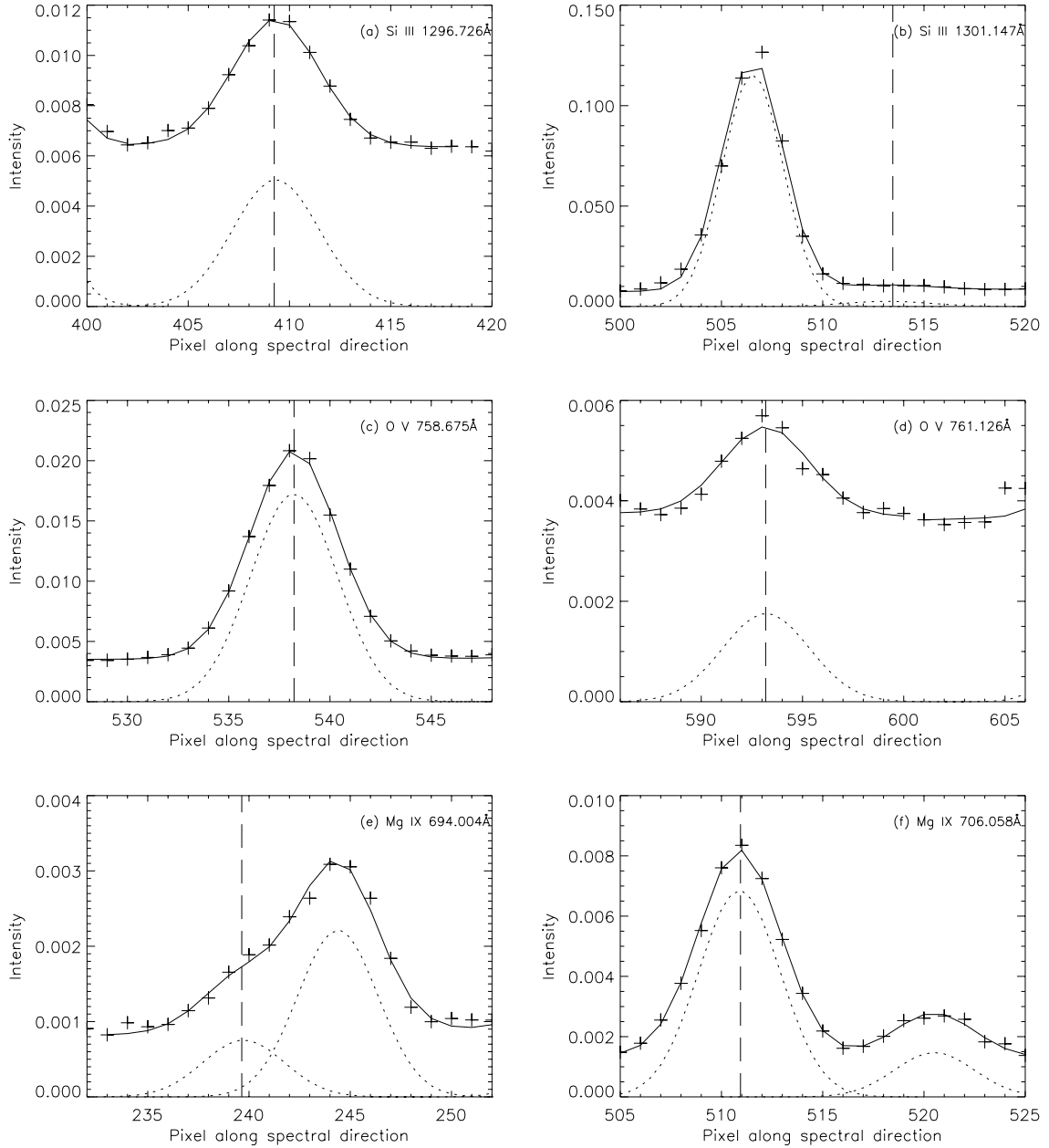


Figure 7.8: Line profiles of line pairs Si III, O V and Mg IX used to measure electron densities. The “+” represents the data points from observations. Dashed lines indicate the positions of these lines. Dotted lines are line profiles fitted with the Gaussian shape, while the solid lines represent the profiles obtained by background plus Gaussian fitting. Note that the units of the intensity is $W\ sr^{-1}m^{-2}\ \text{\AA}^{-1}$.

direction. The average electron density along the slit below $335''$ in CH1 (combined with both dark and bright area) has been measured to be about $2 \pm 0.5 \times 10^8 \text{ cm}^{-3}$, which is consistent with the result obtained by Del Zanna and Bromage (1999) using the line pair Si IX ($350 \text{ \AA}/342 \text{ \AA}$), which has a similar formation temperature as the line pair of Mg IX. With SUMER data obtained $10'' - 20''$ above the limb, Warren and Hassler (1999) used this line pair of Mg IX and measured the electron density to be $1.7 \times 10^8 \text{ cm}^{-3}$.

Integrated line intensities, intensity ratios and deduced electron densities together with the uncertainties measured in the dark regions of CH1 and CH2 are listed in Table 7.2. The uncertainties are determined only from the fitting errors of integrated line intensities and widths. For the two transition region lines (Si III and O V), the differences between the two coronal holes is marginal when compared with the pronounced uncertainties of the measurements. The results are comparable with those obtained by Doschek *et al.* (1998) in the quiet Sun and coronal holes on the disk. In the Mg IX line, the electron density measured in CH1 is only about $8 \times 10^7 \text{ cm}^{-3}$, which is a factor of 2 lower than that measured in the same hole, but including all structures in the hole. The measurement uncertainties of this line pair are relatively small. In fact, a lower electron density has been also obtained by Young and Esser (1999) using CDS/SOHO data observed in dark regions of the polar hole. These indicate that inhomogeneities would indeed result in a multi-density structures of the plasma (Doschek, 1984). The density measured in CH1 is also lower by a factor of 2 than in CH2. We suggest that this may be due to different conditions prevailing in these two holes, which will be discussed in the following section.

Moreover, the electron pressure can be inferred from the measured electron density at different temperatures. In the transition region, the electron pressure can be estimated to be about $1 - 1.5 \times 10^{15} \text{ K cm}^{-3}$ ($p_e = N_e T_e$) from the Si III and O V lines, and infers to a constant electron pressure of the transition region for each hole. From the Mg IX line, the electron pressure at the coronal base is about $1 \times 10^{14} \text{ K cm}^{-3}$ in CH1 and $2 \times 10^{14} \text{ K cm}^{-3}$ in CH2. The difference in electron pressure between the transition region and the coronal base is large (7 – 10 times higher in the transition region). Hence, the result demonstrates that there exist large thermal pressure gradients between the two layers. This thermal pressure gradient may drive the initial outflows of the fast solar wind in coronal funnels.

Table 7.2: Electron densities deduced from SUMER data

Ion	λ ($\text{\AA}$)	T_e (MK)	Region	Integrated Int. ($\mu\text{W sr}^{-1}\text{m}^{-2}$)	Ratio	N_e (cm^{-3})
Si III	1301.15/	0.05	CH1	794/1822	$0.44 \pm 20\%$	$2.0^{+1.3}_{-0.9} \times 10^{10}$
	1296.73		CH2	583/1153	$0.50 \pm 20\%$	$2.8^{+2.0}_{-1.3} \times 10^{10}$
O V	758.68/	0.25	CH1	4430/418	$10.60 \pm 18\%$	$4.0^{+1.6}_{-1.0} \times 10^9$
	761.13		CH2	4137/419	$9.87 \pm 7\%$	$4.6^{+0.6}_{-0.5} \times 10^9$
Mg IX	694.00/	1.0	CH1	116/685	$0.17 \pm 17\%$	$0.8^{+0.4}_{-0.3} \times 10^8$
	706.06		CH2	169/1538	$0.11 \pm 15\%$	$1.8^{+0.4}_{-0.4} \times 10^8$

7.5 Comparison of coronal and in situ observations

The measurement of the Doppler shift has been discussed in Chapter 4 and 6. In this study, the Mg x line (624.968 Å, $T_e=1.1$ MK) observed in 2nd order is used to deduce the outflow speed at the coronal base. The formation temperature is near that of the Mg IX lines, which are used to measure the electron density. For wavelength calibration we used cool lines of C I. Chae *et al.* (1998c) have estimated that C I lines have only a small average red shift of about 1.5 km s^{-1} . This value has been subtracted from the average Doppler shift of the Mg x line. By assuming that the velocity of the Mg^{9+} ion represents the bulk velocity of the protons, the particle flux of the solar wind at the coronal base can be estimated to be $6.3 \times 10^{13} \text{ cm}^{-2} \text{ s}^{-1}$ in CH1 and $2.5 \times 10^{13} \text{ cm}^{-2} \text{ s}^{-1}$ in CH2. All the values are list in Table 7.3.

MDI (Michelson Doppler Imager) full-disk magnetograms (sampled at a rate of 15/day) are used to estimate the average photospheric magnetic field across those areas that spatially coincide with the ones selected to deduce the outflow speed and electron density by using SUMER data (Figures 7.1 and 7.2). The average net magnetic flux density (signed) is estimated to be about 3.1 G for CH1 and 1.0 G for CH2. For a comparison, the magnetic fields measured by NSO/KP at the same day were also analyzed. The average net magnetic flux density is estimated to be about 4.4 G for CH1 and 2.0 G for CH2. The lower values determined by MDI data in both holes may result mainly from an underestimation of the net field strength. This is caused by the higher noise level of the field data measured by MDI. In that case part of the net flux contributed by weak magnetic fields may be cancelled if the field values are below the noise level. All the net flux densities of the photospheric magnetic are consistent with the previous work by Harvey *et al.* (1982). They found that average magnetic strengths ranged from 1 G to 7 G during the solar minimum.

A simple computation is performed to determine the parameter values of the plasma and magnetic field of the high-speed streams at 1AU, by averaging the measured values over the least variable part of a high-speed stream between its leading and trailing edge. We believe that the central part of a high-speed stream can represent its average properties. The average proton flux and the radial component of the magnetic field are thus determined to be $2.6 \times 10^8 \text{ cm}^{-2} \text{ s}^{-1}$ and 2.8 nT for CH1, and $2.2 \times 10^8 \text{ cm}^{-2} \text{ s}^{-1}$ and 2.7 nT for CH2. These values are falling in the typical range of the parameters of a high-speed solar wind stream (see Table 2.1).

Coronal parameters deduced from SUMER, MDI and NSO/KP and in situ parameters obtained by SWE and MFI are listed in Table 7.3 and in Table 7.4. By inspection of

Table 7.3: Coronal parameters deduced from SUMER, MDI and NSO/Kitt Peak

	N_e (cm^{-3})	V (km s^{-1})	$f_e=N_e*V$ ($\text{cm}^{-2}\text{s}^{-1}$)	B_0 (MDI) (G)	B_0 (NSO/KP) (G)
CH1	0.8×10^8	7.9	6.3×10^{13}	3.1	4.4
CH2	1.8×10^8	1.4	2.5×10^{13}	1.0	2.0

Table 7.4: In-situ parameters deduced from SWE and MFI

	N_p (cm^{-3})	V_p (km s^{-1})	$f_p = N_p * V_p$ ($\text{cm}^{-2} \text{s}^{-1}$)	B_r (nT)
CH1	4.3	606	2.6×10^8	2.8
CH2	3.6	594	2.2×10^8	2.7

Table 7.3 and Table 7.4, we can infer that the two high-speed streams produced by CH1 and CH2 have similar properties, particularly for the radial component of the magnetic field. If we trace back the proton flux and magnetic field under the assumption of a radial expansion of the streams, they should have an average proton flux of about $10^{13} \text{ cm}^{-2} \text{s}^{-1}$ and a magnetic field of about 1.2 G at the Sun's surface. Comparison with the photospheric magnetic field measured by MDI and NSO/KP indicates that CH1 has an expansion factor of about 3 derived from MDI data and 4 from NSO/KP data, and CH2 of about 1 inferred from MDI data and 2 from NSO/KP data. The larger expansion factor in CH1 may be interpreted as being due to CH1 having a stronger photospheric magnetic field. Again, as discussed above, the lower expansion factors determined by MDI data in both holes may mainly result from our underestimation of the net field strength caused by the noise level of the field data. On the other hand, if we determine the expansion factor by means of the mass flux measured by SUMER in the holes and by SWE at 1 AU, this expansion factor should be about 5 for CH1 and about 2 for CH2. It should be noted that the expansion factor referred to here is essentially the areal expansion between the coronal base and 1 AU, so it can be considered as the global expansion factor.

In addition, measurements of the line widths show that the Mg IX and Mg X lines have non-thermal velocities of 42 km s^{-1} and 22 km s^{-1} in CH1, and 33 km s^{-1} and 15 km s^{-1} in CH2, respectively. The widths of both coronal lines indicate that they have larger non-thermal velocities in CH1 than in CH2.

7.6 Summary and discussion

In this chapter, measurements of the electron density in coronal holes, by using ultraviolet lines obtained with SUMER, have been discussed. We have also correlated coronal with in-situ measurements.

The electron densities in the transition region and at the coronal base have been determined by use of line pairs (Si III, O V and Mg IX). The results were compared with previous measurements made by CDS and SUMER. Exploiting these results, the electron pressures can be estimated in the transition region and at the coronal base in the two holes analyzed. It is found that the electron pressures inferred by the two transition region lines are nearly constant. However, there exists a large thermal pressure gradient between the transition region and the coronal base. This pressure gradient may drive the initial outflow of the fast solar wind in coronal funnels.

By direct comparison of the coronal and in situ observations, it is found that the global expansion factor of the solar wind stream tube is estimated to be about 4–5 for CH1 and 2 for CH2. The factors are determined consistently from two independent groups of parameters, relating to the conservation of the mass flux and magnetic flux, respectively. It should be mentioned that the estimation of the plasma parameters (density and velocity) in coronal holes has a pronounced uncertainty, and the average net magnetic flux density determined by the photospheric magnetic field is roughly estimated, because of the difficulties in the determination of the hole boundaries. We also do not know how much of the magnetic flux within these holes is responsible for the interplanetary magnetic field observed at 1 AU. However, considering the conditions of the fast solar wind to be rather stable, the methods used here can still be considered reasonable.

The magnetic field strength and mass flux for the two holes are prominently different at the coronal base. They are both larger by a factor of about 2 in CH1 than in CH2. On the other hand, in situ observations shows that the velocity, mass flux and radial magnetic field strength of the streams produced by them are rather similar. The observational results of this study are in agreement with those obtained by a previous empirical study (Wang, 1995), which showed that the mass and energy flux densities in coronal holes tend to increase with the magnetic field strength at the coronal base and with the areal expansion factor. Theoretical models of the fast solar wind (Sandbæk *et al.*, 1994) also indicated that the mass flux observed at 1 AU could be nearly constant, if the mechanical energy flux heating the corona varied in proportion to the magnetic field strength at the coronal base. Moreover, the larger non-thermal velocities of the Mg IX and Mg X lines obtained in CH1 than in CH2 may also imply a larger mechanical energy deposition in CH1.

Chapter 8

Discussion: Implication to the Origin of the Fast Solar Wind

8.1 Fine structures and the network in coronal holes

Like in the QS region, one of the most striking features observed by SUMER in ECHs is that small structures with an enhanced emission are present everywhere. The spatial distribution and the size of the fine structures have obviously a temperature-dependent property. Their appearances in the chromosphere and transition region are similar to those observed with SUMER in the QS region (Warren and Winebarger, 2000; Feldman *et al.*, 2001).

In the chromosphere, such structures appear to be small bright patches with a size of about $2'' - 10''$, and are the predominant features in the network as well as in cell interiors. With the increase of the temperature, these features become more diffuse, and have an enlarged size. When the network seen in transition region lines, many of the bright structures appear to have a loop-like shape. They have visible widths of about $10''$ and lengths of about $10'' - 30''$, and can be seen more clearly in lines emitted by Li-like ions and also in the H I, He I and C III lines. In coronal holes, our observations show that many of such structures seem to have one footpoint rooted in the intranetwork and to extend into the cell interiors, and some of them appear as star-shape clusters. Moreover, their visible footpoints apparently correspond to the bright patches seen in chromospheric emissions. This result seems to be different from that observed in the quiet Sun by Feldman *et al.* (2001). They suggested that most of the loops straddle the chromospheric network and have no visible connections with bright patches seen in chromospheric lines. A possible interpretation is that such a difference may be due to the different geometry of the magnetic field in the two regions. Above the upper transition region, the most structures disappear and the corona becomes more homogeneous than in the QS region, except for some bright points. The results indicate that, at least in the chromosphere and the low transition region, the CH region shows more or less similar properties as in the QS region. A further implication is that the two regions may essentially be heated by a same mechanism, as suggested, e.g., by Marsch *et al.* (2003).

Comparing the network structure outlined by continuum emission with the magnetogram, the network seen in ultraviolet images spatially coincides with the locations, where the photospheric magnetic field flux is concentrated. As was expected, all coronal holes are dominated by a single polarity of the magnetic field, while there are also mixed-polarity features present. The magnetic flux of the opposite polarity occupies about 10%–40% of the total unsigned flux in the CHs. Larger mixed-polarity magnetic features, if can readily be distinguished in the magnetogram, usually correspond to locations with bright points

seen in upper transition region and coronal lines. Moreover, the locations of these bright cores spatially coincide with those seen in the chromospheric lines, but with a brightness similar to the normal network. We have traced two examples of such bright points, and found that they were caused by the interaction of the closed magnetic loops with the unipolar fields in the coronal hole. On the other hand, many of bright structures had no connection with the intranetwork magnetic field having mixed polarities, as also found in the QS region by Warren and Winebarger (2000), which indicates that they are likely open into the corona.

According to previous model studies of the network (Dowdy *et al.*, 1986; Dowdy, 1993), the network is believed to consist of both low-lying loops (less than 10^4 km) and large-scale magnetic funnels being open into the corona. Very recently, a model sketch of the transition region structure has been suggested by Peter (2000, 2001), who studied several components of the transition region lines observed by SUMER in the quiet Sun, and found that a presence of the second broader spectral component is a general feature of transition region lines. This second component, with a broader and weaker profile, is suggested to originate from the large loops in the quiet Sun or open funnels in the coronal hole, while the core (first component) is from the smaller loops, and has a narrower line width and stronger intensity. Although we have not made a same analysis to deduce the second component, the positive correlation between the blue shift and the line width of the total profile has indeed been obtained. Thus, our results obtained in ECHs are generally in agreement with the prevailing network model, as suggested by various authors (see, e.g., Dowdy *et al.*, 1986; Axford and McKenzie, 1992, 1997; Marsch and Tu, 1997; Peter, 2000, 2001).

Furthermore, our rapid-time-cadence (20 s) observations also show that the transition region and coronal base are not “quiet” but very dynamic, if seen in the Dopplergrams as well as the spectroheliograms. This result is consistent with the widely accepted conception that very-small-scale magnetic activities may be the ultimate source for heating the corona and accelerating the solar wind.

8.2 Fine structures, spicules and plumes

According to recent SUMER observations (Budnik *et al.*, 1998; Wilhelm *et al.*, 2000; Wilhelm, 2000), the visible structures observed above the limb are dominated by EUV spicules. They have a width of $10''$ – $20''$ when seen in transition region lines, and disappear at a temperature above 0.5 MK. Geometrically, they appear to be nearly straight, but not as loops (Wilhelm, 2000). Spicules and macrospicules both have different orientations observed in polar CH regions (see the figures in Wilhelm, 2000; Wilhelm *et al.*, 2000). The connection between the $H\alpha$ and EUV spicules has not yet established. However, it is suggested that EUV spicules are very likely the hot sheath of cooler $H\alpha$ spicules (Sterling, 2000).

As was discussed above, many of the fine loop-like structures observed in ECHs have no connection with the intranetwork magnetic field having mixed polarities. As a possible explanation, Warren and Winebarger (2000) suggested that these fine features may be related to small loops connected to the internetwork (cell interiors) magnetic field or highly dynamic structures, such as spicules, which are not really loops. The possible solution of this difficulty may be to consider that both structures (loops and spicules) are present, both anchored in the network. On the one hand, the small loops are less extended in height (less than $10''$) and can not be distinguished if observed above the limb due to considerable overlapping of such loops (Warren and Winebarger, 2000). On the other hand, EUV spicules extend much higher (above $10''$), but can not readily be distinguished from the loops on the disk, due to either their projection effect or their overlapping with low-lying magnetic structures. Such a situation may really be true in coronal holes.

If we conjecture that the loop-like structures, which have a broader line width and blue shift seen in the O VI line in ECHs, are confined in a magnetic flux tube that is open to the corona, and that those with a narrower line width and a red shift are from the cooler magnetic loops that are closed locally, these results are in agreement with those obtained in the quiet Sun by Peter (2000, 2001). Moreover, if it is true that such structures with a bluer shift are open to the corona, it is possible that some of them will appear as visible EUV spicules or macrospicules if seen above the limb. On the disk, however, they may have projection with different lengths.

The bright points (BPs) seen in the transition region and coronal lines seem to have similar properties like those in polar coronal holes, where they have been identified as the base of the plumes. BPs have an enhanced emission, but have less blue shifts than the dark regions. Our estimate of the mass flux by measuring the Doppler shift is consistent with the previous estimate obtained for polar plumes by Wang (1994), and suggests that the portion of the mass flux contributed by bright points to the fast solar wind is at most comparable to the areal ratio of the BPs to the CH and thus negligible. Thus they may not be the main source of the fast solar wind due to their occupation of a smaller area.

8.3 Doppler shifts and the nascent fast solar wind

Average blue shifts in the Ne VIII and Mg X lines

The Doppler shifts derived from the Ne VIII and Mg X lines are on average blue in coronal holes. For the Ne VIII line, red shifts are present only in bright structures, with mixed-polarity magnetic structures underlying. Conversely, the larger blue shifts are mainly associated with the dark regions, where the strong magnetic flux of a single polarity is concentrated. On the other hand, the Dopplergram of the Mg X line is more homogeneous in the hole region.

It seems that this is in agreement with a geometry of the hole consisting of coronal funnels, namely, the corona must be more uniform at a higher altitude. The results are in agreement

with the notion that the fast solar wind is initially accelerated in such open magnetic funnels. The measured outflow velocity in the central region of the network is comparable with the values predicted by the models (see, e.g., Marsch and Tu, 1997; Hackenberg *et al.*, 2000; Li, 2002; Vocks and Marsch, 2002). Moreover, an estimation of the mass flux, inferred by the blue shift of the Mg X line and by the electron density measured in the Mg IX line, may also imply that the blue shifts measured in ECHs can represent the real outflow velocity of the nascent fast solar wind, although such a blue shift has also been interpreted alternatively as effects of nanoflare-induced waves propagating through coronal loops in the QS region (Hansteen, 1993; Wikstøl *et al.*, 1997).

On the other hand, the Doppler shifts measured for the Ne VIII and Mg X lines in the quiet Sun are rather small, which usually fall in a range of $0 \pm 3 \text{ km s}^{-1}$, as expected, and do not show prominent large-scale outflow there. They have also a narrower distribution when seen in the histogram of the line shifts. These results are reasonable, if considering that the most emission of the two lines is constrained to magnetic loops in the QS region, thereby without large-scale loops that may lead to a siphon flow.

Doppler shifts of other lines

Except for the Ne VIII and Mg X lines, all other transition region lines are on average red shifted in both CH and QS regions. Average red shifts of the transition region lines range mainly from 5 km s^{-1} to 10 km s^{-1} in the CH region, and 5 km s^{-1} to 15 km s^{-1} in the QS region. The temperature dependence of the average Doppler shifts, in both CH and QS regions, are consistent with previous results (Chae *et al.*, 1998c; Peter and Judge, 1999; Teriaca *et al.*, 1999), i.e., the red shift increases first with increasing line formation temperature, but then drops again at a temperature of about 0.3 MK (at the O VI line). Moreover, the average Doppler shifts measured are systematically bluer in the CH region than in the QS region. The difference of the Doppler shift between the two regions also shows a temperature-dependent property, which increases with increasing line formation temperature.

By inspection of the spatial distribution of the Doppler shifts, a clear positive correlation between the Doppler shifts for different lines can also be found. Moreover, the corresponding magnitudes of the Doppler shifts vary with different lines formed at different layers, for example, a larger value of the Doppler shift seen in the O VI line corresponds to a smaller one in the C II line and an even smaller one in the H I $L\beta$ line.

In an individual structure, such tendency can be identified more clearly. For example, although the O VI line is on average red shifted in coronal holes, blue shifts can also be found in its Dopplergram, and tend to occur in the network where a large unipolar magnetic field is concentrated, which may be open to the corona. By inspection of the Dopplergrams of the H I $L\beta$ and C II lines simultaneously recorded in the same spectral window, we can find that at the locations, where blue shifts are seen in the O VI line, in general, blue shifts are also seen in the $L\beta$ line. For the C II line, the shifts often appear

in Dopplergrams as small blue points with a smaller magnitude of shifts and a smaller spatial size.

The results may be important for us to understand the persistent red shifts and the process of the mass balance in the transition region. If a Doppler shift is considered to be caused by a steady mass flow, the results naturally agree with a convection pattern obey the law of mass-flux conservation in a flux tube. This means that the flow velocity should be lower in the chromosphere due to the higher plasma density there than in the transition region. On the other hand, a partial mass flux taken away by the solar wind would also reduce the average red shift of a line, even though the average Doppler shift is absolutely red (Pneuman and Kopp, 1978).

The persistent downflow would imply that plausible mechanisms should be given to interpret how this mass flux is injected into the transition region. One hypothesis has been proposed to explain this question by the return of spicular material (see, e.g., Pneuman and Kopp, 1978; Athay and Holzer, 1982; Athay, 1984; Cheng, 1992). Averaged over the Sun's surface, spicules carry an upward mass flux of about $4 \times 10^{-9} \text{ g cm}^{-2} \text{ s}^{-1}$ into the corona, if 1% areal coverage of spicules is considered. Compared with that, the mass flux taken away by the solar wind is about $3 \times 10^{-11} \text{ g cm}^{-2} \text{ s}^{-1}$. Because the portion taken away by the solar wind is only 1%, most of the spicular material must fall back into the chromosphere. This idea is consistent with the fact that the globally averaged downward hydrogen flux inferred from UV observations is comparable to the upward hydrogen flux in spicules (Withbroe, 1983). However, it is not clear whether all the mass flux of the solar wind is contributed by such structures, even if this mechanism does work.

8.4 Line width and heating mechanism

For the line width in the CH region, the variation trend of lines formed below the upper transition region is more or less similar to that in the QS region. The difference between the two regions is small, with a slightly larger value in the hole. However, an apparent difference arises for the Ne VIII and Mg X lines. It should be noted that we just compare them in two regions observed within several days during solar maximum. In other coronal holes, the line width of the Mg X line shows very large variation in different holes, and tends to increase with increasing strength of the underlying magnetic fields. Furthermore, the non-thermal velocity deduced from the Ne VIII and Mg X lines in CHs at solar maximum are comparable with that measured above the limb in polar holes (Marsch *et al.*, 1997; Doschek *et al.*, 2001).

A line broadening can be caused by the combined effects of the differential bulk motion, thermal motion and wave/turbulence motion of the ions. Therefore, an exact interpretation of the resulting line width is difficult. However, evidence for preferential heating and acceleration of the ions very near the Sun have been found by observations with UVCS and SUMER (Kohl *et al.*, 1997, 1998; Tu *et al.*, 1998). This supports the idea that dissipation of high-frequency Alfvén waves in coronal funnels may be a prime candidate for

heating of the magnetically open corona (see, e.g., Marsch, 1992; Axford and McKenzie, 1992, 1997; Marsch and Tu, 1997). If the increase of the line width can be interpreted as being caused by enhanced wave/turbulence motions, our results seem to indicate that the wave-mechanical energy flux correlates with the strength of the magnetic field in coronal holes.

8.5 Magnetic field and the global fast solar wind

The quantity of $v\sqrt{I}$ (with $I \sim n_e^2$) has been used as a measure of the coronal mass flux of the nascent solar wind in our study. A clear positive correlation between the mass flux and the magnetic field flux density has been found by analyzing five ECHs. If this estimation of the mass flux ratio between different holes is reliable, it would place an important observational constraint on the mass flux. Our findings indicate that the mass flux of the nascent fast solar wind may be directly associated with the net flux density of the magnetic field. This can also be supported by direct estimation of the mass flux inferred by the blue shift of the Mg X line and by the electron density measured in the Mg IX line.

Because the properties of the fast solar wind has been demonstrated to be rather similar in Earth's orbit, as we have also found in our case studies, a coronal hole with a larger mass flux at the coronal base must have a larger global expansion of the solar wind stream. This can be supported by our estimation of the expansion factor inferred by the magnetic fields observed at the coronal base and in Earth's orbit, relating to the conservation of the magnetic flux. Our results are also in agreement with those obtained by a previous empirical study (Wang, 1995), which showed that the mass and energy flux densities in coronal holes tend to increase with the magnetic field strength at the coronal base and with the areal expansion factor. Theoretical models of the fast solar wind (Sandbæk *et al.*, 1994) also indicated that the mass flux observed at 1 AU could be nearly constant, if the mechanical energy flux heating the corona varied in proportion to the magnetic field strength at the coronal base. This may also be implied by the positive correlation between the line width of the Mg X line and the strength of the underlying magnetic field in the ECH, as we have discussed above.

Chapter 9

Summary

In this thesis, the general properties of equatorial coronal holes and their relation to the high-speed solar wind streams have been investigated, by combining the remote sensing observations with SUMER, EIT, MDI and NSO/KP as well as in situ observations of the solar wind with SWE and MFI onboard WIND. We summarize important results and conclude as follows:

Morphological results

- Equatorial CHs seen in chromospheric lines have generally similar properties as the normal QS region. Small bright patches with a size of about $2''$ - $10''$ are the predominant features in the network as well as in cell interiors. With the increase of the temperature, these features become less pronounced.
- The network width as seen in all transition region lines appears to be broader in CHs than in the QS region. Loop-like structures are the most prominent features in the transition region, in both CH and QS regions. They have visible widths of about $10''$ and lengths of about $10''$ - $30''$, and can be seen more clearly in lines emitted by Li-like ions and also in the H I, He I and C III lines. In CHs, we found that many of such structures seem to have one footpoint rooted in the intranetwork and to extend into the cell interiors. Some of them appear as star-shape clusters.
- In Dopplergrams of the O VI line, fine structures with apparent blue shifts are also present, although on average they are red shifted. Some of the structures appear as loop-like and spatially coincide with the locations of the loop-like structures seen in intensity images, while some appear as blue points, which have less extension and are located at the same footpoints of the loop-like structures seen in intensity images. The blue structures usually have a broader line width. They seem to represent plasma above the concentration of a large unipolar magnetic field, without obvious bipolar magnetic features nearby. Moreover, the locations with blue shifts seen in the O VI line are usually also blue shifted if seen in the $L\beta$ line, and for the C II line, they often appear as blue points with a smaller magnitude of shifts and a smaller spatial size.
- Some CHs have a very different appearance as seen in the Ne VIII line in comparison with as observed in the Fe XII and Fe XV EIT channels. We suggest that this difference may have two reason: First, the average magnetic flux density (signed) is small in such CHs. Second, there are many small bipolar magnetic structures present there, leading to formation of small bright structures that dominate the emission observed in lines with lower formation temperatures, but such bright structures eventually disappear with the increase of temperature, and thus are not observed in the coronal channels of EIT.

– The Doppler shifts derived from the Ne VIII and Mg X lines are on average blue in CHs. Red shifts are present only in bright structures, with mixed-polarity magnetic structures underlying. Conversely, the larger blue shifts are mainly associated with the dark regions, where the strong magnetic flux of a single polarity is concentrated. On the other hand, the Dopplergram of the Mg X line is more homogeneous in the hole area. It seems that this is in agreement with a geometry of the hole consisting of coronal funnels, namely, the corona must be more uniform at a higher altitude. The results are in agreement with the notion that the fast solar wind is initially accelerated in such open magnetic funnels. The measured outflow velocity in the central region of the network is comparable with the values predicted by the models.

Statistical and quantitative results

– From the histogram plots of the line parameters, the intensity distribution shows that a slight difference between CH and QS regions has already presented from the upper chromosphere. A prominent difference can be seen in the transition region lines, in particular for the Li-like ions and helium. The apparent higher counts in the high-intensity tail for the Li-like ions and helium are consistent with the morphological results that the loop-like structures of these lines are more extended into the cell interiors in CHs than in the QS region.

– The average intensity is lower for transition region and coronal lines in CHs than in the QS region. The intensity ratio between CH and QS regions generally decreases with the formation temperature, in agreement with previous studies. Such a decrease of the intensity ratio is found to be more clearly for the helium and Li-like ions.

– The distribution of the Doppler shift exhibits a more spread profile for the O VI, Ne VIII and Mg X lines with a high formation temperature, while for other cooler lines it shows a same trend, but less pronounced.

– Except for the Ne VIII and Mg X lines, all other lines are on average red shifted in both CH and QS regions. Average red shifts of the transition region lines range mainly from 5 km s^{-1} to 10 km s^{-1} in the CH region, and 5 km s^{-1} to 15 km s^{-1} in the QS region. Small Doppler shifts are measured for the Ne VIII and Mg X lines in the QS region. The temperature-dependence of average Doppler shifts in both CH and QS regions are consistent with previous results. Moreover, transition region lines have systematically smaller red shifts in CHs than in the QS region. The difference of the Doppler shift between CH and QS regions increases with increasing line formation temperature.

– The distribution of the line width reveals a slightly wider value (with a larger value of around $1\text{--}2 \text{ km s}^{-1}$) for all lines formed at a temperature below 0.5 MK in CHs. The trend of non-thermal velocity varying with the temperature is similar to the one in the QS region, as found by other authors. Obviously larger line widths have been measured for the Ne VIII and Mg X lines in CH6 than in QS1, which were observed at a same solar active level. However, the line width of the Mg X line shows very large variation in different holes.

- It is found that an apparent correlation between the line parameters and the chromospheric network is present for the most measured lines. The chromospheric line of Si II reveals a very clear positive correlation between its Doppler shift, intensity and line width. For the He I line and transition region lines, such a relation becomes more complicated. In general, a larger blue or red shift usually has a larger line width and intensity, and also corresponds to a larger intensity of continuum, which implies that they mainly occur in the network. In the Ne VIII line, there exists a slightly positive correlation between the Doppler shift and intensity. A bluer shift tends to have a lower intensity and a redder one corresponds to a larger intensity. For the line width, the tendency reveals to be inversely. The results are in agreement with those obtained in polar CHs.
- There exists a clear positive correlation between Doppler shifts deduced from different lines which were observed simultaneously in the same spectral window. Moreover, the corresponding magnitudes of the Doppler shift vary with different lines formed at different layers, i.e., a larger value of the Doppler shift seen in the O VI line corresponds to a smaller one in the C II line and an even smaller one in the H I L_β line. This statistical result nicely confirms the result obtained in the morphological study.
- The line width deduced from the Mg X line in various CHs shows a clear trend to increase with the increasing underlying magnetic field strength (signed). Moreover, the quantity of $v\sqrt{I}$ (with $I \sim n_e^2$), which is used as a proxy for the coronal mass flux of the nascent fast solar wind, also reveals a clear positive correlation to the magnetic field strength.

Comparison of coronal and in situ observations

- The electron densities in the transition region and at the coronal base have been determined by use of line pairs (Si III, O V and Mg IX). The results were compared with previous measurements made by CDS and SUMER. Exploiting these results, the electron pressures can be estimated in the transition region and at the coronal base in the two holes analyzed. It is found that the electron pressures inferred by the two transition region lines are nearly constant. However, there exists a large thermal pressure gradient between the transition region and the coronal base. This pressure gradient may drive the initial outflow of the fast solar wind in coronal funnels.
- By direct comparison of the coronal and in situ observations, it is found that the global expansion factor of the solar wind stream tube is estimated to be about 4–5 for CH1 and 2 for CH2. The factors are determined consistently from two independent groups of parameters, relating to the conservation of the mass flux and magnetic flux, respectively.

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